

IEEE Standard for Application of the Single-Failure Criterion to Nuclear Power Generating Station Safety Systems

IEEE Power and Energy Society

Sponsored by the
Nuclear Power Engineering Committee

IEEE
3 Park Avenue
New York, NY 10016-5997
USA

IEEE Std 379™-2014
(Revision of
IEEE Std 379-2000)

Currently in preview, click buy full version

IEEE Std 379™-2014

(Revision of
IEEE Std 379-2000)

IEEE Standard for Application of the Single-Failure Criterion to Nuclear Power Generating Station Safety Systems

Sponsor

**Nuclear Power Engineering Committee
of the
IEEE Power and Energy Society**

Approved 16 May 2014

IEEE-SA Standards Board

Currently in preview, click buy full version

Abstract: Requirements for the application of the single-failure criterion to the electrical power, instrumentation, and control portions of nuclear power generating safety systems are provided in this standard.

Keywords: actuator, cascaded failure, common-cause failure, design basis event, detectable failure, effects analysis, IEEE 379™, nondetectable failure, safety system, single-failure criterion, system actuation, system logic

The Institute of Electrical and Electronics Engineers, Inc.
3 Park Avenue, New York, NY 10016-5997, USA

Copyright © 2014 by The Institute of Electrical and Electronics Engineers, Inc.
All rights reserved. Published 30 May 2014. Printed in the United States of America.

IEEE is a registered trademark in the U.S. Patent & Trademark Office, owned by The Institute of Electrical and Electronics Engineers, Incorporated.

PDF: ISBN 978-0-7381-9124-9 STD98660
Print: ISBN 978-0-7381-9125-6 STDPD98660

IEEE prohibits discrimination, harassment, and bullying.

For more information, visit <http://www.ieee.org/web/aboutus/whatis/policies/p9-26.html>.

No part of this publication may be reproduced in any form, in an electronic retrieval system or otherwise, without the prior written permission of the publisher.

Important Notices and Disclaimers Concerning IEEE Standards Documents

IEEE documents are made available for use subject to important notices and legal disclaimers. These notices and disclaimers, or a reference to this page, appear in all standards and may be found under the heading “Important Notice” or “Important Notices and Disclaimers Concerning IEEE Standards Documents.”

Notice and Disclaimer of Liability Concerning the Use of IEEE Standards Documents

IEEE Standards documents (standards, recommended practices, and guides), both full-use and trial-use, are developed within IEEE Societies and the Standards Coordinating Committees of the IEEE Standards Association (“IEEE-SA”) Standards Board. IEEE (“the Institute”) develops its standards through a consensus development process, approved by the American National Standards Institute (“ANSI”), which brings together volunteers representing varied viewpoints and interests to achieve the final product. Volunteers are not necessarily members of the Institute and participate without compensation from IEEE. While IEEE administers the process and establishes rules to promote fairness in the consensus development process, IEEE does not independently evaluate, test, or verify the accuracy of any of the information or the soundness of any judgments contained in its standards.

IEEE does not warrant or represent the accuracy or content of the material contained in its standards, and expressly disclaims all warranties (express, implied and statutory) not included in this or any other document relating to the standard, including, but not limited to, the warranties of: merchantability; fitness for a particular purpose; non-infringement; and quality, accuracy, effectiveness, currency, or completeness of material. In addition, IEEE disclaims any and all conditions relating to: results; and workmanlike effort. IEEE standards documents are supplied “AS IS” and “WITH ALL FAULTS.”

Use of an IEEE standard is wholly voluntary. The existence of an IEEE standard does not imply that there are no other ways to produce, test, measure, purchase, make, or provide other goods and services related to the scope of the IEEE standard. Furthermore, the viewpoint expressed at the time a standard is approved and issued is subject to change brought about through developments in the state of the art and comments received from users of the standard.

In publishing and making its standards available, IEEE is not suggesting or rendering professional or other services for, or on behalf of, any person or entity nor is IEEE undertaking to perform any duty owed by any other person or entity to another. Any person utilizing any IEEE Standards document, should rely upon his or her own independent judgment in the exercise of reasonable care in any given circumstances or, as appropriate, seek the advice of a competent professional in determining the appropriateness of a given IEEE standard.

IN NO EVENT SHALL IEEE BE LIABLE FOR ANY DIRECT, INDIRECT, INCIDENTAL, SPECIAL, EXEMPLARY, OR CONSEQUENTIAL DAMAGES (INCLUDING, BUT NOT LIMITED TO: PROCUREMENT OF SUBSTITUTE GOODS OR SERVICES; LOSS OF USE, DATA, OR PROFITS; OR BUSINESS INTERRUPTION) HOWEVER CAUSED AND ON ANY THEORY OF LIABILITY, WHETHER IN CONTRACT, STRICT LIABILITY, OR TORT (INCLUDING NEGLIGENCE OR OTHERWISE) ARISING IN ANY WAY OUT OF THE PUBLICATION, USE OF, OR RELIANCE UPON ANY STANDARD, EVEN IF ADVISED OF THE POSSIBILITY OF SUCH DAMAGE AND REGARDLESS OF WHETHER SUCH DAMAGE WAS FORESEEABLE.

Translations

The IEEE consensus development process involves the review of documents in English only. In the event that an IEEE standard is translated, only the English version published by IEEE should be considered the approved IEEE standard.

Official statements

A statement, written or oral, that is not processed in accordance with the IEEE-SA Standards Board Operations Manual shall not be considered or inferred to be the official position of IEEE or any of its committees and shall not be considered to be, or be relied upon as, a formal position of IEEE. At lectures, symposia, seminars, or educational courses, an individual presenting information on IEEE standards shall make it clear that his or her views should be considered the personal views of that individual rather than the formal position of IEEE.

Comments on standards

Comments for revision of IEEE Standards documents are welcome from any interested party, regardless of membership affiliation with IEEE. However, IEEE does not provide consulting information or advice pertaining to IEEE Standards documents. Suggestions for changes in documents should be in the form of a proposed change of text, together with appropriate supporting comments. Since IEEE standards represent a consensus of concerned interests, it is important that any responses to comments and questions also receive the concurrence of a balance of interests. For this reason, IEEE and the members of its societies and Standards Coordinating Committees are not able to provide an instant response to comment or questions except in those cases where the matter has previously been addressed. For the same reason, IEEE does not respond to interpretation requests. Any person who would like to participate in revisions to an IEEE standard is welcome to join the relevant IEEE working group.

Comments on standards should be submitted to the following address:

Secretary, IEEE-SA Standards Board
445 Hoes Lane
Piscataway, NJ 08854 USA

Laws and regulations

Users of IEEE Standards documents should consult all applicable laws and regulations. Compliance with the provisions of any IEEE Standards document does not imply compliance to any applicable regulatory requirements. Implementers of the standards are responsible for observing or referring to the applicable regulatory requirements. IEEE does not, by the publication of its standards, intend to urge action that is not in compliance with applicable laws, and these documents may not be construed as doing so.

Copyrights

IEEE draft and approved standards are copyrighted by IEEE under U.S. and international copyright laws. They are made available by IEEE and are adopted for a wide variety of both public and private uses. These include both use by reference, in laws and regulations, and use in private self-regulation, standardization, and the promotion of engineering practices and methods. By making these documents available for use and adoption by public authorities and private users, IEEE does not waive any rights in copyright to the documents.

Photocopies

Subject to payment of the appropriate fee, IEEE will grant users a limited, non-exclusive license to photocopy portions of any individual standard for company or organizational internal use or individual, non-commercial use only. To arrange for payment of licensing fees, please contact Copyright Clearance Center, Customer Service, 222 Rosewood Drive, Danvers, MA 01923 USA; +1 978 750 8400. Permission to photocopy portions of any individual standard for educational classroom use can also be obtained through the Copyright Clearance Center.

Updating of IEEE Standards documents

Users of IEEE Standards documents should be aware that these documents may be superseded at any time by the issuance of new editions or may be amended from time to time through the issuance of amendments, corrigenda, or errata. An official IEEE document at any point in time consists of the current edition of the document together with any amendments, corrigenda, or errata then in effect.

Every IEEE standard is subjected to review at least every ten years. When a document is more than ten years old and has not undergone a revision process, it is reasonable to conclude that its contents, although still of some value, do not wholly reflect the present state of the art. Users are cautioned to check to determine that they have the latest edition of any IEEE standard.

In order to determine whether a given document is the current edition and whether it has been amended through the issuance of amendments, corrigenda, or errata, visit the IEEE-SA Website at <http://ieeexplore.ieee.org/xpl/standards.jsp> or contact IEEE at the address listed previously. For more information about the IEEE-SA or IEEE's standards development process, visit the IEEE-SA Website at <http://standards.ieee.org>.

Errata

Errata, if any, for all IEEE standards can be accessed on the IEEE-SA Website at the following URL: <http://standards.ieee.org/findstds/errata/index.html>. Users are encouraged to check this URL for errata periodically.

Patents

Attention is called to the possibility that implementation of this standard may require use of subject matter covered by patent rights. By publication of this standard, no position is taken by the IEEE with respect to the existence or validity of any patent rights in connection therewith. If a patent holder or patent applicant has filed a statement of assurance via an Accepted Letter of Assurance, then the statement is listed on the IEEE-SA Website at <http://standards.ieee.org/about/sasb/patcom/patents.html>. Letters of Assurance may indicate whether the Submitter is willing or unwilling to grant licenses under patent rights without compensation or under reasonable rates, with reasonable terms and conditions that are demonstrably free of any unfair discrimination to applicants desiring to obtain such licenses.

Essential Patent Claims may exist for which a Letter of Assurance has not been received. The IEEE is not responsible for identifying Essential Patent Claims for which a license may be required, for conducting inquiries into the legal validity or scope of Patents Claims, or determining whether any licensing terms or conditions provided in connection with submission of a Letter of Assurance, if any, or in any licensing agreements are reasonable or non-discriminatory. Users of this standard are expressly advised that determination of the validity of any patent rights, and the risk of infringement of such rights, is entirely their own responsibility. Further information may be obtained from the IEEE Standards Association.

Participants

At the time this IEEE standard was completed, the Safety Systems and Single-Failure Criteria Working Group had the following membership:

Royce Beacom, *Chair*

Gary Johnson
Michael H. Miller
Frank Novak

Tom Richard
Edward Schindhelm

David Theriault
Michael Waterman
David Zaprazny

The following members of the individual balloting committee voted on this standard. Balloters may have voted for approval, disapproval, or abstention.

Satish Aggarwal
George Ballassi
Royce Beacom
William Bloethe
Daniel Brosnan
Robert Carruth
Suresh Channarasappa
Tom Crawford
David Curbo
John Disosway
Wells Fargo
Stephen Fleger
Robert Fuld
James Gleason
Dale Goodney
Randall Groves
Ajit Gwal
Daryl Harmon

Hamidreza Heidarisa
David Herrell
Werner Hoelzl
David Horvath
Peter Hung
Randy Jamison
Ronald Jarrett
Yuri Khersonsky
Robert Konnik
G Lang
Benjamin Lanz
Michael Lauxman
Jang-Soo Lee
Bruce Lord
Greg Luri
John Macdonald
Omar M...
John M... Jr

John Merando
Sujeet Mishra
Michael Neumar
W...-Gillett
...
Ted Riccio
Bartien Sayogo
Glen Schinzel
David Smith
Robert Stark
Gary Stodter
John Vergis
Michael Waterman
Kenneth White
Yvonne Williams
Tamatha Womack
Paul Yanosy

The Nuclear Power Engineering Committee (NPEC) that recommended approval of this standard had the following membership:

George Ballasi, Chair
James Parello, Vice Chair
Steven Fleger, Secretary

Ijaz Ahmad
Dheya Al-Othmany
George Attarian
Farouk D. Baxter*
Royce D. Beacom
Mark D. Bowman
Daniel F. Brosnan
Nissen M. Burstein
Keith Bush
Robert C. Carruth
John P. Carter
Suresh Channarasappa
Dennis Dellinger
David R. Desaulniers
John Disosway
Walter F. Emerson
Stephen Fleger
Robert J. Fletcher
Robert Francis

Robert B. Fuld
David Gladey
James F. Gleason
Dale T. Goodney
Robert Hall
Kuljit Hara
Daryl Harmon
David Herrell
Dirk C. Hopp
David A. Horvath
Paul R. Johnson
Christopher J. Kerr
Bok-Ryul Kim
Thomas Koshy
James K. Liming
Bruce A. Lord
John D. MacDonald
J. Scott Malcolm
Alexander Marion*

Michael H. Miller
Edward R. Mohtashemi
Yasushi Nakagawa
Julius Persensky*
Ted Riccio
Mark F. Santschi
Glen E. Schinzel
Zdenko Simic
James E. Stoner, Jr.
Marek Tengler
James E. Thomas
Masafumi Utsunomiya
Michael Waterman
Edward Weizinger
John W. White
Paul L. Yanosy, Sr.
Wang Long Yun
Dave J. Zaprazny
Doo-Pyo Zhu

* non-voting members

When the IEEE-SA Standards Board approved this standard on 16 May 2014, it had the following membership:

John Kulick, Chair
David J. Law, Vice Chair
Richard H. Ralet, Past Chair
Konstantinos Karachalios, Secretary

Masayuki Ariyoshi
Peter Balma
Farooq Bari
Ted Burse
Wael William Diab
Stephen Dukes
Jean-Philippe Faure
Alexander Gelman

Mark Malpin
Gary Hoffman
Paul Houzé
Jim Hughes
Michael Janezic
Joseph L. Koepfinger*
Oleg Logvinov

Ron Petersen
Gary Robinson
Jon Walter Rosdahl
Adrian Stephens
Peter Sutherland
Yatin Trivedi
Phil Winston
Yu Yuan

*Member Emeritus

Also included are the following nonvoting IEEE-SA Standards Board liaisons:

Richard DeBlasio, *DOE Representative*
Michael Janezic, *NIST Representative*

Patrick Gibbons
IEEE-SA Publishing

Malia Zaman
IEEE-SA Technical Community Programs

Introduction

This introduction is not part of IEEE Std 379™-2014, IEEE Standard for Application of the Single-Failure Criterion to Nuclear Power Generating Station Safety Systems.

The requirement for nuclear power generating station safety systems to meet a single-failure criterion is found in many documents, including IEEE Standards, American Nuclear Society (ANS) standards, and federal regulations. It is the intention of this document to

- Conform specifically with the requirements of IEEE Std 603™-2009^a
- Interpret the single-failure criterion as stated in IEEE Std 603-2009
- Provide guidance in the application of the single-failure criterion as stated in IEEE Std 603-2009

It is recognized that the single-failure criterion is applicable to the aggregate of electrical and mechanical systems. However, the criterion statement, as found in this document, has been developed for electrical systems. Where the interface with mechanical systems is unavoidable (e.g., sensing lines), the mechanical portions are considered to be part of the electrical system with which they interface. It should be noted that the systems include the actuation and protection systems, as well as the sense, command, and execute features of the power system (in accordance with IEEE Std 741™-2007, IEEE Standard Criteria for the Protection of Class 1E Power Systems and Equipment in Nuclear Power Generating Stations).

The purpose of this revision to the standard is to

- Update the references cited in the text. The standard working group has reviewed and identified that “indispensable” references are indeed in Clause 2 as the latest IEEE style manual (2014) directs. The remaining references have been included in the Bibliography (Annex A). This was included as part of the updating process for the standard.
- Verify that the terms not identified in the definitions of this standard (3.1) are identified in the IEEE-SA Standards Definition Database. The activity provides consistency with the latest direction in the IEEE Style Manual (2014).
- Update the standard as a result of changes in other standards resulting from other national and international standards development.
- Address any comment obtained from the user community since the issuance of IEEE Std 379-2009.
- The design basis events subclause (5.4) was revised to clarify design basis events and the involvement of single failures. It does not change the definition and it clarifies that the analysis, previously mentioned, “shall” be done.
- The common-cause failures (CCFs) subclause (5.5) was revised to clarify the description of CCFs. Additional clarifying information added to clearly state that “Common-cause failures and their failure mechanisms are not normally considered in a single-failure analysis”
- Subclause 6.1 was revised to include some of the material on probabilistic assessment from 6.3.2. It was also revised to further describe the systematic analysis that shall be performed to identify single failures and enhance the criteria to be used for the analysis.

^a Information on references can be found in Clause 2.

- Subclause 6.3.2 was removed. Key content is now included in 6.1.
- To try to further promote the understanding of the concept of nondetectable failures and how they are identified differently than detectable failures, Annex B, Examples of Nondetectable Failures, was added. This Annex provides five examples of nondetectable failures in a wide range of applications and technologies used.

Several areas addressed by but not completely developed within this standard continue to evolve and may or may not have applicability to ongoing revisions to this standard:

- *Relationships with other guides and standards:* Other guides and standards should be incorporated in any good design to produce an acceptable and reliable system. The relationship of the single-failure criterion to these other guides and standards, documentation requirements, reliability and probability studies, testing, and operation is not within the scope of this standard.
- *Shared systems:* This revision of the standard describes the manner in which the single-failure criterion should be applied to shared systems. The intent is to neither endorse nor forbid the use of shared systems but rather to provide minimum requirements to assure that shared systems are analyzed as rigorously for the effects of component failures as they would be if sharing were not used.
- *Single operator error:* Operator actions should be considered, but are beyond the scope of this standard.
- *Common-cause failures:* The scope and purpose of this standard are focused on the application of single-failure criterion including the methods for the associated analysis and providing guidance for identification of these failure types. Common-cause failures and their mechanisms are not part of the scope and purpose of this standard. However, this revision illustrates, by the addition of a figure, the activity to screen CCFs from single failures.

In the future, separate development activities and standards on the subject of common-cause failure should propagate the level of importance of this subject, particularly as it continues to be a major concern in newer technologies. More specifically, comprehensive guidance, standards, and requirements should become more available on CCFs. One example of a standard that includes CCFs is IEEE Std 7-4.3.2™-2010, which addresses the analysis, the design techniques for prevention and the CCFs associated with systems that include computer hardware, software, firmware and interfaces. This will alleviate the inordinate amount of attention this standard receives on this subject if not just for discerning CCFs from single failure. Hopefully then, the future revision of this standard can point to documents that identify the requirements for all preventive measures of CCFs and the factors that they address. This standard then should not and will not be used to discern, analyze or identify CCFs or how to prevent CCFs from occurring.

Contents

1. Overview	1
1.1 Scope	1
1.2 Purpose	1
2. Normative references.....	2
3. Definitions, acronyms, abbreviations, and terms.....	2
3.1 Definitions	2
3.2 Word usage.....	4
4. Statement of the single-failure criterion	5
5. Requirements.....	5
5.1 Independence and redundancy.....	5
5.2 Nondetectable failure.....	5
5.3 Cascaded failures.....	5
5.4 Design basis events.....	6
5.5 Common-cause failures	6
5.6 Shared systems	8
6. Design analysis for single failure	8
6.1 General	8
6.2 Procedure.....	8
6.3 Analysis of portions of systems.....	9
6.4 Other considerations.....	11
Annex A (informative) Bibliography	12
Annex B (informative) Examples of nondetectable failures.....	13
B.1 Background.....	13
B.2 Three-position switch.....	13
B.3 Circuit board	13
B.4 Valve.....	14
B.5 Digital system	14
B.6 Aging mechanisms.....	15

IEEE Standard for Application of the Single-Failure Criterion to Nuclear Power Generating Station Safety Systems

IMPORTANT NOTICE: IEEE Standards documents are not intended to ensure safety, security, health, or environmental protection, or ensure against interference with or from other devices or networks. Implementers of IEEE Standards documents are responsible for determining and complying with all appropriate safety, security, environmental, health, and interference protection practices and all applicable laws and regulations.

This IEEE document is made available for use subject to important notices and legal disclaimers. These notices and disclaimers appear in all publications containing this document and may be found under the heading “Important Notice” or “Important Notices and Disclaimers Concerning IEEE Documents.” They can also be obtained on request from IEEE or viewed at <http://standards.ieee.org/IPR/disclaimers.html>.

1. Overview

1.1 Scope

This standard covers the application of the single-failure criterion to the electrical power, instrumentation, and control portions of nuclear power generating station safety systems.

1.2 Purpose

The purpose of this standard is to interpret and provide guidance in the application of the single-failure criterion, discuss failures, and present an acceptable method of single-failure analysis. It is not the function of this standard to identify where the single-failure criterion is to be applied or to force compliance on any system; however, in those cases where the single-failure criterion has been invoked, this standard establishes the requirements for its application.