

IEEE Standard for Electrical and Electronic Control Apparatus on Rail Vehicles

IEEE Vehicular Technology Society

Developed by the
Rail Transportation Standards Committee

IEEE Std 16™, 2020
(Revision of IEEE Std 16-2004)

Currently in preview, click buy full version

IEEE Standard for Electrical and Electronic Control Apparatus on Rail Vehicles

Developed by the

Rail Transportation Standards Committee
of the
IEEE Vehicular Technology Society

Approved 30 January 2020

IEEE SA Standards Board

Currently in preview, click buy full version

Abstract: A set of uniform design, application, and test requirements for electrical and electronic control apparatus on rail vehicles is provided in this standard.

Keywords: apparatus, control, design, electrical, electro-hydraulic, electronic, electropneumatic, IEEE 16™, rail, routine test, test, transit, transportation, type test

The Institute of Electrical and Electronics Engineers, Inc.
3 Park Avenue, New York, NY 10016-5997, USA

Copyright © 2020 by The Institute of Electrical and Electronics Engineers, Inc.
All rights reserved. Published 24 April 2020. Printed in the United States of America.

IEEE is a registered trademark in the U.S. Patent & Trademark Office, owned by The Institute of Electrical and Electronics Engineers, Incorporated.

PDF: ISBN 978-1-5044-6468-0 STD24066
Print: ISBN 978-1-5044-6469-7 STDPD24066

IEEE prohibits discrimination, harassment, and bullying.

For more information, visit <https://www.ieee.org/about/corporate/governance/p9-26.html>.

No part of this publication may be reproduced in any form, in an electronic retrieval system or otherwise, without the prior written permission of the publisher.

Important Notices and Disclaimers Concerning IEEE Standards Documents

IEEE documents are made available for use subject to important notices and legal disclaimers. These notices and disclaimers, or a reference to this page, appear in all standards and may be found under the heading “Important Notices and Disclaimers Concerning IEEE Standards Documents.” They can also be obtained on request from IEEE or viewed at <http://standards.ieee.org/ipr/disclaimers.html>.

Notice and Disclaimer of Liability Concerning the Use of IEEE Standards Documents

IEEE Standards documents (standards, recommended practices, and guides), both full-use and trial-use, are developed within IEEE Societies and the Standards Coordinating Committees of the IEEE Standards Association (“IEEE SA”) Standards Board. IEEE (“the Institute”) develops its standards through a consensus development process, approved by the American National Standards Institute (“ANSI”), which brings together volunteers representing varied viewpoints and interests to achieve the final product. IEEE Standards are documents developed through scientific, academic, and industry-based technical working groups. Volunteers in IEEE working groups are not necessarily members of the Institute and participate without compensation from IEEE. While IEEE administers the process and establishes rules to promote fairness in the consensus development process, IEEE does not independently evaluate, test, or verify the accuracy of any of the information or the soundness of any judgments contained in its standards.

IEEE Standards do not guarantee or ensure safety, security, health, or environmental protection, or ensure against interference with or from other devices or networks. Implementers and users of IEEE Standards documents are responsible for determining and complying with all appropriate safety, security, environmental, health, and interference protection practices and all applicable laws and regulations.

IEEE does not warrant or represent the accuracy or content of the material contained in its standards, and expressly disclaims all warranties (express, implied and statutory) not included in this or any other document relating to the standard, including, but not limited to, the warranties of: merchantability; fitness for a particular purpose; non-infringement; and quality, accuracy, effectiveness, currency, or completeness of material. In addition, IEEE disclaims any and all conditions relating to: results; and workmanlike effort. IEEE standards documents are supplied “AS IS” and “WITH ALL FAULTS.”

Use of an IEEE standard is wholly voluntary. The existence of an IEEE standard does not imply that there are no other ways to produce, test, measure, purchase, market, or provide other goods and services related to the scope of the IEEE standard. Furthermore, the viewpoint expressed at the time a standard is approved and issued is subject to change from time to time about through developments in the state of the art and comments received from users of the standard.

In publishing and making its standards available, IEEE is not suggesting or rendering professional or other services for, or on behalf of, any person or entity nor is IEEE undertaking to perform any duty owed by any other person or entity to another. Any person utilizing any IEEE Standards document, should rely upon his or her own independent judgment in the exercise of reasonable care in any given circumstances or, as appropriate, seek the advice of a competent professional in determining the appropriateness of a given IEEE standard.

IN NO EVENT SHALL IEEE BE LIABLE FOR ANY DIRECT, INDIRECT, INCIDENTAL, SPECIAL, EXEMPLARY, OR CONSEQUENTIAL DAMAGES (INCLUDING, BUT NOT LIMITED TO: PROCUREMENT OF SUBSTITUTE GOODS OR SERVICES; LOSS OF USE, DATA, OR PROFITS; OR BUSINESS INTERRUPTION) HOWEVER CAUSED AND ON ANY THEORY OF LIABILITY, WHETHER IN CONTRACT, STRICT LIABILITY, OR TORT (INCLUDING NEGLIGENCE OR OTHERWISE) ARISING IN ANY WAY OUT OF THE PUBLICATION, USE OF, OR RELIANCE UPON ANY STANDARD, EVEN IF ADVISED OF THE POSSIBILITY OF SUCH DAMAGE AND REGARDLESS OF WHETHER SUCH DAMAGE WAS FORESEEABLE.

Translations

The IEEE consensus development process involves the review of documents in English only. In the event that an IEEE standard is translated, only the English version published by IEEE should be considered the approved IEEE standard.

Official statements

A statement, written or oral, that is not processed in accordance with the IEEE SA Standards Board Operations Manual shall not be considered or inferred to be the official position of IEEE or any of its committees and shall not be considered to be, or be relied upon as, a formal position of IEEE. At lectures, symposia, seminars, and educational courses, an individual presenting information on IEEE standards shall make it clear that his or her views should be considered the personal views of that individual rather than the formal position of IEEE.

Comments on standards

Comments for revision of IEEE Standards documents are welcome from any interested party, regardless of membership affiliation with IEEE. However, IEEE does not provide consulting information or advice pertaining to IEEE Standards documents. Suggestions for changes in documents should be in the form of a proposed change of text, together with appropriate supporting comments. Since IEEE standards represent a consensus of concerned interests, it is important that any responses to comments and questions also receive the concurrence of a balance of interests. For this reason, IEEE and the members of its societies and Standards Coordinating Committees are not able to provide an instant response to comments or questions except in those cases where the matter has previously been addressed. For the same reason, IEEE does not respond to interpretation requests. Any person who would like to participate in revisions to an IEEE standard is welcome to join the relevant IEEE working group.

Comments on standards should be submitted to the following address:

Secretary, IEEE-SA Standards Board
445 Hoes Lane
Piscataway, NJ 08854 USA

Laws and regulations

Users of IEEE Standards documents should consult all applicable laws and regulations. Compliance with the provisions of any IEEE Standards document does not imply compliance to any applicable regulatory requirements. Implementers of the standard are responsible for observing or referring to the applicable regulatory requirements. IEEE does not, by the publication of its standards, intend to urge action that is not in compliance with applicable laws, and these documents may not be construed as doing so.

Copyrights

IEEE draft and approved standards are copyrighted by IEEE under US and international copyright laws. They are made available by IEEE and are adopted for a wide variety of both public and private uses. These include both use, by reference, in laws and regulations, and use in private self-regulation, standardization, and the promotion of engineering practices and methods. By making these documents available for use and adoption by public authorities and private users, IEEE does not waive any rights in copyright to the documents.

Photocopies

Subject to payment of the appropriate fee, IEEE will grant users a limited, non-exclusive license to photocopy portions of any individual standard for company or organizational internal use or individual, non-commercial use only. To arrange for payment of licensing fees, please contact Copyright Clearance Center, Customer Service, 222 Rosewood Drive, Danvers, MA 01923 USA; +1 978 750 8400. Permission to photocopy portions of any individual standard for educational classroom use can also be obtained through the Copyright Clearance Center.

Updating of IEEE Standards documents

Users of IEEE Standards documents should be aware that these documents may be superseded at any time by the issuance of new editions or may be amended from time to time through the issuance of amendments, corrigenda, or errata. An official IEEE document at any point in time consists of the current edition of the document together with any amendments, corrigenda, or errata then in effect.

Every IEEE standard is subjected to review at least every 10 years. When a document is more than 10 years old and has not undergone a revision process, it is reasonable to conclude that its contents, although still of some value, do not wholly reflect the present state of the art. Users are cautioned to check to determine that they have the latest edition of any IEEE standard.

In order to determine whether a given document is the current edition and whether it has been amended through the issuance of amendments, corrigenda, or errata, visit IEEE Xplore at <http://ieeexplore.ieee.org/> or contact IEEE at the address listed previously. For more information about the IEEE SA or IEEE's standards development process, visit the IEEE SA Website at <http://standards.ieee.org>.

Errata

Errata, if any, for IEEE standards can be accessed via <https://standards.ieee.org/standard/index.html>. Search for standard number and year of approval to access the web page of the published standard. Errata links are located under the Additional Resources Details section. Errata are also available in IEEE Xplore: <https://ieeexplore.ieee.org/browse/standards/collection/ieee/>. Users are encouraged to periodically check for errata.

Patents

Attention is called to the possibility that implementation of this standard may require use of subject matter covered by patent rights. By publication of this standard, no position is taken by the IEEE with respect to the existence or validity of any patent rights in connection therewith. If a patent holder or patent applicant has filed a statement of assurance via an Accepted Letter of Assurance, then the statement is listed on the IEEE SA Website at <https://standards.ieee.org/about/sasb/patcom/patents.html>. Letters of Assurance may indicate whether the submitter is willing or unwilling to grant licenses under patent rights without compensation or under reasonable rates, with reasonable terms and conditions that are demonstrably free of any unfair discrimination to applicants desiring to obtain such licenses.

Essential Patent Claims may exist for which a Letter of Assurance has not been received. The IEEE is not responsible for identifying Essential Patent Claims for which a license may be required, for conducting inquiries into the legal validity or scope of Patent Claims, or determining whether any licensing terms or conditions provided in connection with submission of a Letter of Assurance, if any, or in any licensing agreements are reasonable or non-discriminatory. Users of this standard are expressly advised that determination of the validity of any patent rights, and the risk of infringement of such rights, is entirely their own responsibility. Further information may be obtained from the IEEE Standards Association.

Participants

At the time this IEEE standard was completed, the Passenger Rail Vehicle Working Group had the following membership:

Brian Ley, *Chair*

William J. Brown	David Gregson	Bansi Patel
Timothy Cramond	Paul E. Jamieson	David R. Phelps
Jim Dietz	Kenneth Karg	Donald Sandala
Christian Girard	Walter Keevil	David Turner
Harvey Glickenstein	Michael Kipness	John Vergis
Lowell Goudge	Art Maldonado	Jeremy Vining

The following members of the individual Standards Association balloting group voted on this standard. Balloters may have voted for approval, disapproval, or abstention.

Steven Bezner	Piotr Karocki	David P. ...
William J. Brown	Walter Keevil	Prashant Prabhakar
Demetrio Bucaneg Jr.	Yuri Khersonsky	Jeffrey Sisson
William Byrd	Jim Kulchisky	Gary Smullin
Harvey Glickenstein	Benjamin Lanz	... Thurston
Randall Groves	Yung-chiang Lee	John Vergis
Werner Hoelzl	Brian Ley	Matthew Wakeham
John John	Michael Natenzon	Jian Yu
	Bansi Patel	

When the IEEE SA Standards Board approved this standard on 30 January 2020, it had the following membership:

Gary Hoffman, *Chair*

Vacant Position, *Vice Chair*

Jean-Philippe Faure, *Past Chair*

Korstanja Karachalios, *Secretary*

Ted Burse	Howard Li	Dorothy Stanley
J. Travis Griffith	Dong Liu	Mehmet Ulema
Grace Gu	Kevin Lu	Lei Wang
Guido R. Hiertz	Paul Nikolich	Sha WEI
Joseph L. Koepfinger	Damir Novosel	Philip B. Winston
John D. Kulick	Jon Walter Rosdahl	Daidi Zhong
David J. Law		Jingyi Zhou

*Member Emeritus

Introduction

This introduction is not part of IEEE Std 16-2020, IEEE Standard for Electrical and Electronic Control Apparatus on Rail Vehicles.

This standard is intended to apply to rail vehicles that are electrically powered. These vehicles include locomotives, railway electric multiple unit (EMU) cars, heavy rail vehicles, and light rail vehicles, including units that combine powered and unpowered trucks or axles. Fully-automated, driverless implementations of the above vehicle types are sometimes included in the mode of transit referred to as Automated Guideway Transit, also referred to as Automated People Mover, and, to the extent that the vehicle does not have other unique requirements, this standard can be applied. It is not intended that this standard be universally required for all such systems.

The classes of railway vehicles (such as those termed “Diesel Multiple Unit” [DMU]) that use non-electric propulsion system have features of the electrical systems used in these vehicles that are similar to those used in “conventional” electrically-powered vehicles. To the extent that these systems are similar to those used in electrically powered vehicles, this standard can be applied.

NOTE—Self-propelled railway vehicles operating on trackage of the general railroad system are subject to regulations issued by governmental bodies (e.g., federal, state, and local bodies). In selected jurisdictions, this is also true for rail transit vehicles. The user of this standard should recognize that such regulations always take precedence over a consensus standard.¹

Certain heavy-duty rubber tired vehicles, notably dual-mode, hybrid, and electric trolley buses and large off-highway haulage trucks, utilize electric propulsion systems. Again, to the extent that these systems are similar to those used in rail vehicles, this standard can be applied.

It should be noted that this standard makes extensive use of the phrase, “as agreed to between the supplier and the authority having jurisdiction”, as well as requiring that the “authority having jurisdiction” provide certain significant parameters and/or make important determinations relevant to a specific project and not necessarily able to be anticipated in advance. [Annex B](#) lists such citations within the standard and categorizes them as guidance for users of this standard.

¹Notes in text, tables, and figures of a standard are given for information only and do not contain requirements needed to implement this standard.

Contents

1. Overview	9
1.1 Scope	9
1.2 Purpose	9
1.3 Application	9
1.4 Word usage	9
2. Normative references	10
3. Definitions, acronyms, and abbreviations	11
3.1 Definitions	11
3.2 Acronyms and abbreviations	11
4. Design requirements	12
4.1 Service conditions	12
4.2 Supply voltages	12
4.3 Electrical transient withstand capability	14
4.4 Electromagnetic compatibility	16
4.5 Breaking and making capacity	17
4.6 Temperature rise limits	17
4.7 Clearance and creepage distance	19
4.8 Apparatus internal wiring requirements	20
4.9 Bus bar application	24
4.10 Printed circuit board design and construction	24
4.11 Protective functions	25
4.12 Grounding and bonding	26
4.13 Electro-pneumatic devices	28
4.14 Electro-hydraulic devices	28
4.15 Protective devices	28
4.16 Fiber optics	29
4.17 Material requirements	29
5. Testing requirements	29
5.1 General test requirements	29
5.2 Operational test	30
5.3 Environmental conditions testing	31
5.4 Supply voltage testing	31
5.5 Electrical transient test	32
5.6 Electromagnetic compatibility test	32
5.7 Breaking and making capacity tests	32
5.8 Temperature rise tests	32
5.9 Insulation testing	36
5.10 Environmental stress screening	39
5.11 Settings and operation of protective apparatus, relays, and static circuits	39
5.12 Tests for pneumatically-operated equipment	40
5.13 Tests for hydraulically operated equipment	40
5.14 Measurement of resistance and impedance	41
Annex A (informative) Bibliography	42
Annex B (normative) Uses of “authority having jurisdiction”	45
Annex C (informative) Recommended protective functions	47
Annex D (informative) Classification of printed circuit boards	48

IEEE Standard for Electrical and Electronic Control Apparatus on Rail Vehicles

1. Overview

1.1 Scope

This standard prescribes design, application, and test requirements for electrical and electronic control apparatus on rail vehicles.

NOTE—This standard covers neither rotating equipment nor the functional aspects of converters.

1.2 Purpose

This standard is intended to provide a set of uniform design, application, and test requirements for electrical and electronic control apparatus on rail vehicles. These requirements are the minimum necessary for suitability for use in a rail vehicle environment. Use of this standard should lead to enhanced levels of safety and reliability, and lower acquisition and maintenance costs.

1.3 Application

This standard is intended to be applied to the equipment designed for and installed on a rail vehicle. Application of this standard to the complete rail vehicle is beyond the scope and purpose of this standard.

NOTE—The selective application of this standard to the complete rail vehicle, as deemed technically correct and contractually agreed between the authority having jurisdiction and the car supplier, is recognized as appropriate in certain areas and cases.

1.4 Word usage

The word *shall* indicates mandatory requirements strictly to be followed in order to conform to the standard and from which no deviation is permitted (shall equals is required to).^{2,3}

²The use of the word *must* is deprecated and cannot be used when stating mandatory requirements, *must* is only used to describe unavoidable situations.

³The use of *will* is deprecated and cannot be used when stating mandatory requirements, *will* is only used in statements of fact.