

# INTERNATIONAL STANDARD

## NORME INTERNATIONALE



**Audio/video, information and communication technology equipment –  
Part 1: Safety requirements**

**Équipements des technologies de l'audio vidéo, de l'information et de la  
communication –  
Partie 1: Exigences de sécurité**



## THIS PUBLICATION IS COPYRIGHT PROTECTED

Copyright © 2023 IEC, Geneva, Switzerland

All rights reserved. Unless otherwise specified, no part of this publication may be reproduced or utilized in any form or by any means, electronic or mechanical, including photocopying and microfilm, without permission in writing from either IEC or IEC's member National Committee in the country of the requester. If you have any questions about IEC copyright or have an enquiry about obtaining additional rights to this publication, please contact the address below or your local IEC member National Committee for further information.

Droits de reproduction réservés. Sauf indication contraire, aucune partie de cette publication ne peut être reproduite ni utilisée sous quelque forme que ce soit et par aucun procédé, électronique ou mécanique, y compris la photocopie et les microfilms, sans l'accord écrit de l'IEC ou du Comité national de l'IEC du pays du demandeur. Si vous avez des questions sur le copyright de l'IEC ou si vous désirez obtenir des droits supplémentaires sur cette publication, utilisez les coordonnées ci-après ou contactez le Comité national de l'IEC de votre pays de résidence.

IEC Secretariat  
3, rue de Varembe  
CH-1211 Geneva 20  
Switzerland

Tel.: +41 22 919 02 11  
[info@iec.ch](mailto:info@iec.ch)  
[www.iec.ch](http://www.iec.ch)

### About the IEC

The International Electrotechnical Commission (IEC) is the leading global organization that prepares and publishes International Standards for all electrical, electronic and related technologies.

### About IEC publications

The technical content of IEC publications is kept under constant review by the IEC. Please make sure that you have the latest edition, a corrigendum or an amendment might have been published.

#### IEC publications search - [webstore.iec.ch/advsearchform](http://webstore.iec.ch/advsearchform)

The advanced search enables to find IEC publications by a variety of criteria (reference number, text, technical committee, ...). It also gives information on projects, replaced and withdrawn publications.

#### IEC Just Published - [webstore.iec.ch/justpublished](http://webstore.iec.ch/justpublished)

Stay up to date on all new IEC publications. Just Published details all new publications released. Available online and once a month by email.

#### IEC Customer Service Centre - [webstore.iec.ch/csc](http://webstore.iec.ch/csc)

If you wish to give us your feedback on this publication or need further assistance, please contact the Customer Service Centre: [sales@iec.ch](mailto:sales@iec.ch).

#### IEC Products & Services Portal - [products.iec.ch](http://products.iec.ch)

Discover our powerful search engine and read freely all the publications preview. With a subscription you will always have access to up-to-date content tailored to your needs.

#### Electropedia - [www.electropedia.org](http://www.electropedia.org)

The world's leading online dictionary on electrotechnology, containing more than 22 300 terminological entries in English and French, with equivalent terms in 19 additional languages. Also known as the International Electrotechnical Vocabulary (IEV) online.

### A propos de l'IEC

La Commission Electrotechnique Internationale (IEC) est la première organisation mondiale qui élabore et publie des Normes internationales pour tout ce qui trait à l'électricité, à l'électronique et aux technologies apparentées.

### A propos des publications IEC

Le contenu technique des publications IEC est constamment revu. Veuillez vous assurer que vous possédez l'édition la plus récente, un corrigendum ou amendement peut avoir été publié.

#### Recherche de publications IEC -

[webstore.iec.ch/advsearchform](http://webstore.iec.ch/advsearchform)

La recherche avancée permet de trouver des publications IEC en utilisant différents critères (numéro de référence, texte, comité d'études, ...). Elle donne aussi des informations sur les projets et les publications remplacées ou retirées.

#### IEC Just Published - [webstore.iec.ch/justpublished](http://webstore.iec.ch/justpublished)

Restez informé sur les nouvelles publications IEC. Just Published détaille les nouvelles publications parues. Disponible en ligne et une fois par mois par email.

#### Service Clients - [webstore.iec.ch/csc](http://webstore.iec.ch/csc)

Si vous désirez nous donner des commentaires sur cette publication ou si vous avez des questions contactez-nous: [sales@iec.ch](mailto:sales@iec.ch).

#### IEC Products & Services Portal - [products.iec.ch](http://products.iec.ch)

Découvrez notre puissant moteur de recherche et consultez gratuitement tous les aperçus des publications. Avec un abonnement, vous aurez toujours accès à un contenu à jour adapté à vos besoins.

#### Electropedia - [www.electropedia.org](http://www.electropedia.org)

Le premier dictionnaire d'électrotechnologie en ligne au monde, avec plus de 22 300 articles terminologiques en anglais et en français, ainsi que les termes équivalents dans 19 langues additionnelles. Egalement appelé Vocabulaire Electrotechnique International (IEV) en ligne.

# INTERNATIONAL STANDARD

## NORME INTERNATIONALE



**Audio/video, information and communication technology equipment –  
Part 1: Safety requirements**

**Équipements des technologies de l'audio vidéo, de l'information et de la  
communication –  
Partie 1: Exigences de sécurité**

INTERNATIONAL  
ELECTROTECHNICAL  
COMMISSION

COMMISSION  
ELECTROTECHNIQUE  
INTERNATIONALE

ICS 33.160.01; 35.020

ISBN 978-2-8322-7019-6

**Warning! Make sure that you obtained this publication from an authorized distributor.  
Attention! Veuillez vous assurer que vous avez obtenu cette publication via un distributeur agréé.**

## CONTENTS

FOREWORD.....	20
INTRODUCTION.....	23
0 Principles of this product safety standard .....	23
0.1 Objective .....	23
0.2 Persons .....	23
0.2.1 General .....	23
0.2.2 Ordinary person.....	23
0.2.3 Instructed person.....	23
0.2.4 Skilled person.....	23
0.3 Model for pain and injury.....	24
0.4 Energy sources .....	24
0.5 Safeguards .....	25
0.5.1 General .....	25
0.5.2 Equipment safeguard.....	26
0.5.3 Installation safeguard .....	26
0.5.4 Personal safeguard.....	27
0.5.5 Behavioural safeguards .....	27
0.5.6 Safeguards during ordinary or instructed person service conditions .....	28
0.5.7 Equipment safeguards during skilled person service conditions .....	28
0.5.8 Examples of safeguard characteristics.....	28
0.6 Electrically-caused pain or injury (electric shock).....	29
0.6.1 Models for electrically-caused pain or injury .....	29
0.6.2 Models for protection against electrically-caused pain or injury.....	30
0.7 Electrically-caused fire.....	31
0.7.1 Models for electrically-caused fire.....	31
0.7.2 Models for protection against electrically-caused fire .....	31
0.8 Injury caused by hazardous substances .....	32
0.9 Mechanically-caused injury .....	32
0.10 Thermally-caused injury (skin burn) .....	33
0.10.1 Models for thermally-caused injury .....	33
0.10.2 Models for protection against thermally-caused pain or injury .....	34
0.11 Radiation-caused injury .....	35
1 Scope.....	36
2 Normative references .....	37
3 Terms, definitions and abbreviated terms .....	44
3.1 Energy source abbreviated terms.....	44
3.2 Other abbreviated terms .....	46
3.3 Terms and definitions.....	47
3.3.1 Circuit terms .....	50
3.3.2 Enclosure terms.....	51
3.3.3 Equipment terms .....	51
3.3.4 Flammability terms .....	53
3.3.5 Electrical insulation.....	54
3.3.6 Miscellaneous.....	55
3.3.7 Operating and fault conditions .....	58
3.3.8 Persons .....	59
3.3.9 Potential ignition sources.....	60

3.3.10	Ratings .....	60
3.3.11	Safeguards .....	61
3.3.12	Spacings .....	63
3.3.13	Temperature controls.....	63
3.3.14	Voltages and currents.....	63
3.3.15	Classes of equipment with respect to protection from electric shock .....	64
3.3.16	Chemical terms.....	65
3.3.17	Batteries.....	65
3.3.18	FIW terms.....	66
3.3.19	Sound exposure .....	66
4	General requirements .....	67
4.1	General.....	67
4.1.1	Application of requirements and acceptance of materials, components and subassemblies .....	67
4.1.2	Use of components .....	68
4.1.3	Equipment design and construction .....	68
4.1.4	Equipment installation .....	69
4.1.5	Constructions and components not specifically covered.....	69
4.1.6	Orientation during transport and use.....	69
4.1.7	Choice of criteria .....	69
4.1.8	Liquids, refrigerants and liquid filled components (LFCs).....	69
4.1.9	Electrical measuring instruments .....	70
4.1.10	Temperature measurements .....	70
4.1.11	Steady state conditions.....	70
4.1.12	Hierarchy of safeguards.....	70
4.1.13	Examples mentioned in this document .....	70
4.1.14	Tests on parts or samples separate from the end-product.....	71
4.1.15	Markings and instructions .....	71
4.2	Energy source classifications .....	71
4.2.1	Class 1 energy source .....	71
4.2.2	Class 2 energy source .....	71
4.2.3	Class 3 energy source .....	71
4.2.4	Energy source classification by declaration.....	71
4.3	Protection against energy sources .....	71
4.3.1	General .....	71
4.3.2	Safeguards for protection of an ordinary person .....	72
4.3.3	Safeguards for protection of an instructed person .....	73
4.3.4	Safeguards for protection of a skilled person .....	74
4.3.5	Safeguards in a restricted access area .....	75
4.4	Safeguards .....	75
4.4.1	Equivalent materials or components .....	75
4.4.2	Composition of a safeguard .....	75
4.4.3	Safeguard robustness.....	75
4.4.4	Displacement of a safeguard by an insulating liquid.....	78
4.4.5	Safety interlocks .....	78
4.5	Explosion .....	78
4.5.1	General .....	78
4.5.2	Requirements .....	79
4.6	Fixing of conductors and conductive parts.....	79

4.6.1	Requirements .....	79
4.6.2	Compliance criteria .....	79
4.7	Equipment for direct insertion into mains socket-outlets .....	79
4.7.1	General .....	79
4.7.2	Requirements .....	80
4.7.3	Compliance criteria .....	80
4.8	Equipment containing coin or button cell batteries .....	80
4.8.1	General .....	80
4.8.2	Instructional safeguard .....	80
4.8.3	Construction .....	81
4.8.4	Tests .....	81
4.8.5	Compliance criteria .....	82
4.9	Likelihood of fire or shock due to entry of conductive objects .....	83
4.10	Components requirements .....	83
4.10.1	Disconnect device .....	83
4.10.2	Switches and relays .....	83
4.10.3	Mains power supply cords .....	83
4.10.4	Batteries and their protection circuits .....	84
5	Electrically-caused injury .....	84
5.1	General .....	84
5.2	Classification and limits of electrical energy sources .....	84
5.2.1	Electrical energy source classifications .....	84
5.2.2	Electrical energy source ES1 and ES2 limits .....	84
5.3	Protection against electrical energy sources .....	90
5.3.1	General .....	90
5.3.2	Accessibility to electrical energy sources and safeguards .....	90
5.4	Insulation materials and requirements .....	93
5.4.1	General .....	93
5.4.2	Clearances .....	98
5.4.3	Creepage distances .....	109
5.4.4	Solid insulation .....	113
5.4.5	Antenna for radio insulation .....	123
5.4.6	Insulation of internal wire as a part of a supplementary safeguard .....	123
5.4.7	Test for semiconductor components and for cemented joints .....	124
5.4.8	Humidity conditioning .....	124
5.4.9	Electric strength test .....	125
5.4.10	Safeguards against transient voltages from external circuits .....	128
5.4.11	Separation between external circuits and earth .....	130
5.4.12	Insulating liquid .....	131
5.5	Components as safeguards .....	132
5.5.1	General .....	132
5.5.2	Capacitors and RC units .....	132
5.5.3	Transformers .....	133
5.5.4	Optocouplers .....	134
5.5.5	Relays .....	134
5.5.6	Resistors .....	134
5.5.7	Surge suppressors .....	134
5.5.8	Insulation between the mains and an external circuit consisting of a coaxial cable .....	135

5.5.9	Safeguards for socket-outlets in outdoor equipment.....	136
5.6	Protective conductor .....	136
5.6.1	General .....	136
5.6.2	Requirements for protective conductors.....	136
5.6.3	Requirements for protective earthing conductors .....	137
5.6.4	Requirements for protective bonding conductors .....	138
5.6.5	Terminals for protective conductors .....	140
5.6.6	Resistance of the protective bonding system .....	142
5.6.7	Reliable connection of a protective earthing conductor .....	143
5.6.8	Functional earthing .....	143
5.7	Prospective touch voltage, touch current and protective conductor current.....	144
5.7.1	General .....	144
5.7.2	Measuring devices and networks .....	144
5.7.3	Equipment set-up, supply connections and earth connections.....	144
5.7.4	Unearthed accessible parts .....	145
5.7.5	Earthed accessible conductive parts.....	145
5.7.6	Requirements when touch current exceeds ES2 limits .....	145
5.7.7	Prospective touch voltage and touch current associated with external circuits.....	146
5.7.8	Summation of touch currents from external circuits.....	147
5.8	Backfeed safeguard in battery backed up supplies.....	149
6	Electrically-caused fire .....	149
6.1	General.....	149
6.2	Classification of power sources and potential ignition sources .....	149
6.2.1	General .....	149
6.2.2	Power source circuit classifications .....	150
6.2.3	Classification of potential ignition sources .....	153
6.3	Safeguards against fire under normal operating conditions and abnormal operating conditions.....	154
6.3.1	Requirements .....	154
6.3.2	Compliance criteria .....	155
6.4	Safeguards against fire under single fault conditions.....	155
6.4.1	General .....	155
6.4.2	Reduction of the likelihood of ignition under single fault conditions in PS1 circuits .....	155
6.4.3	Reduction of the likelihood of ignition under single fault conditions in PS2 circuits and PS3 circuits.....	156
6.4.4	Control of fire spread in PS1 circuits.....	157
6.4.5	Control of fire spread in PS2 circuits.....	157
6.4.6	Control of fire spread in a PS3 circuit .....	158
6.4.7	Separation of combustible materials from a PIS.....	159
6.4.8	Fire enclosures and fire barriers .....	161
6.4.9	Flammability of an insulating liquid .....	169
6.5	Internal and external wiring.....	169
6.5.1	General requirements .....	169
6.5.2	Requirements for interconnection to building wiring .....	169
6.5.3	Internal wiring for socket-outlets .....	170
6.6	Safeguards against fire due to the connection of additional equipment.....	170
7	Injury caused by hazardous substances.....	170
7.1	General.....	170

7.2	Reduction of exposure to hazardous substances.....	170
7.3	Ozone exposure.....	170
7.4	Use of personal safeguards or personal protective equipment (PPE) .....	171
7.5	Use of instructional safeguards and instructions .....	171
8	Mechanically-caused injury.....	171
8.1	General.....	171
8.2	Mechanical energy source classifications.....	171
8.2.1	General classification .....	171
8.2.2	MS1.....	174
8.2.3	MS2.....	174
8.2.4	MS3.....	174
8.3	Safeguards against mechanical energy sources.....	174
8.4	Safeguards against parts with sharp edges and corners .....	174
8.4.1	Requirements .....	174
8.4.2	Compliance criteria.....	175
8.5	Safeguards against moving parts .....	175
8.5.1	Requirements .....	175
8.5.2	Instructional safeguard requirements .....	176
8.5.3	Compliance criteria.....	176
8.5.4	Special categories of equipment containing moving parts .....	176
8.5.5	High pressure lamps.....	181
8.6	Stability of equipment .....	182
8.6.1	Requirements .....	182
8.6.2	Static stability .....	184
8.6.3	Relocation stability .....	185
8.6.4	Glass slide test.....	186
8.6.5	Horizontal force test and compliance criteria.....	186
8.7	Equipment mounted to a wall, ceiling or other structure .....	186
8.7.1	Requirements .....	186
8.7.2	Test methods.....	186
8.7.3	Compliance criteria.....	188
8.8	Handle strength .....	188
8.8.1	General.....	188
8.8.2	Test method .....	188
8.9	Wheels or casters attachment requirements.....	189
8.9.1	General .....	189
8.9.2	Test method .....	189
8.10	Carts, stands, and similar carriers.....	189
8.10.1	General .....	189
8.10.2	Marking and instructions.....	189
8.10.3	Cart, stand or carrier loading test and compliance criteria.....	190
8.10.4	Cart, stand or carrier impact test.....	191
8.10.5	Mechanical stability .....	191
8.10.6	Thermoplastic temperature stability .....	191
8.11	Mounting means for slide-rail mounted equipment (SRME) .....	191
8.11.1	General .....	191
8.11.2	Requirements .....	192
8.11.3	Mechanical strength test.....	192
8.11.4	Compliance criteria.....	193

8.12	Telescoping or rod antennas .....	193
9	Thermal burn injury.....	194
9.1	General.....	194
9.2	Thermal energy source classifications.....	194
9.2.1	TS1 .....	194
9.2.2	TS2 .....	194
9.2.3	TS3 .....	194
9.3	Touch temperature limits.....	194
9.3.1	Requirements .....	194
9.3.2	Test method and compliance criteria .....	194
9.4	Safeguards against thermal energy sources.....	197
9.5	Requirements for safeguards .....	197
9.5.1	Equipment safeguard.....	197
9.5.2	Instructional safeguard .....	197
9.6	Requirements for wireless power transmitters .....	198
9.6.1	General .....	198
9.6.2	Specification of the foreign objects .....	198
9.6.3	Test method and compliance criteria .....	200
10	Radiation.....	201
10.1	General.....	201
10.2	Radiation energy source classifications .....	201
10.2.1	General classification .....	201
10.2.2	RS1 .....	203
10.2.3	RS2 .....	203
10.2.4	RS3 .....	204
10.3	Safeguards against laser radiation .....	204
10.4	Safeguards against optical radiation from lamps and lamp systems (including LED types).....	204
10.4.1	General requirements .....	204
10.4.2	Requirements for equipment safeguards.....	205
10.4.3	Instructional safeguard .....	205
10.4.4	Compliance criteria.....	207
10.5	Safeguards against X-radiation .....	208
10.5.1	Requirements .....	208
10.5.2	Compliance criteria.....	208
10.5.3	Test method .....	208
10.6	Safeguards against acoustic energy sources .....	208
10.6.1	General .....	208
10.6.2	Classification .....	209
10.6.3	Requirements for dose-based systems .....	210
10.6.4	Measurement methods .....	211
10.6.5	Protection of persons.....	211
10.6.6	Requirements for listening devices (headphones, earphones, etc.).....	212
Annex A (informative) Examples of equipment within the scope of this document .....		213
Annex B (normative) Normal operating condition tests, abnormal operating condition tests and single fault condition tests .....		214
B.1	General.....	214
B.1.1	Test applicability.....	214
B.1.2	Type of test .....	214

B.1.3	Test samples .....	214
B.1.4	Compliance by inspection of relevant data .....	214
B.1.5	Temperature measurement conditions .....	214
B.1.6	Specific output conditions .....	215
B.2	Normal operating conditions .....	215
B.2.1	General .....	215
B.2.2	Supply frequency .....	215
B.2.3	Supply voltage .....	216
B.2.4	Normal operating voltages .....	216
B.2.5	Input test .....	216
B.2.6	Operating temperature measurement conditions .....	217
B.2.7	Battery charging and discharging under normal operating conditions .....	218
B.3	Simulated abnormal operating conditions .....	218
B.3.1	General .....	218
B.3.2	Covering of ventilation openings .....	218
B.3.3	DC mains polarity test .....	219
B.3.4	Setting of voltage selector .....	219
B.3.5	Maximum load at output terminals .....	219
B.3.6	Reverse battery polarity .....	219
B.3.7	Audio amplifier abnormal operating conditions .....	219
B.3.8	Compliance criteria during and after abnormal operating conditions .....	219
B.4	Simulated single fault conditions .....	220
B.4.1	General .....	220
B.4.2	Temperature controlling device .....	220
B.4.3	Motor tests .....	220
B.4.4	Functional insulation .....	221
B.4.5	Short-circuit and interruption electrodes in tubes and semiconductors .....	221
B.4.6	Short-circuit or disconnection of passive components .....	221
B.4.7	Continuous operation of components .....	222
B.4.8	Compliance criteria during and after single fault conditions .....	222
B.4.9	Battery charging and discharging under single fault conditions .....	222
Annex C (normative)	UV radiation .....	223
C.1	Protection of materials in equipment from UV radiation .....	223
C.1.1	General .....	223
C.1.2	Requirements .....	223
C.1.3	Test method and compliance criteria .....	223
C.2	UV light conditioning test .....	224
C.2.1	Test apparatus .....	224
C.2.2	Mounting of test samples .....	224
C.2.3	Carbon-arc light-exposure test .....	224
C.2.4	Xenon-arc light-exposure test .....	224
Annex D (normative)	Test generators .....	225
D.1	Impulse test generators .....	225
D.2	Antenna interface test generator .....	225
D.3	Electronic pulse generator .....	226
Annex E (normative)	Test conditions for equipment intended to amplify audio signals .....	227
E.1	Electrical energy source classification for audio signals .....	227
E.2	Audio signals used during test .....	227
E.2.1	Pink noise test signal .....	227

E.2.2	Sine-wave signal .....	228
E.3	Operating conditions of equipment containing an audio amplifier .....	228
E.3.1	Normal operating conditions .....	228
E.3.2	Abnormal operating conditions.....	229
E.3.3	Audio equipment temperature measurement conditions .....	229
Annex F (normative)	Equipment markings, instructions, and instructional safeguards .....	230
F.1	General.....	230
F.2	Letter symbols and graphical symbols.....	230
F.2.1	Letter symbols .....	230
F.2.2	Graphical symbols .....	230
F.2.3	Compliance criteria .....	230
F.3	Equipment markings .....	230
F.3.1	Equipment marking locations .....	230
F.3.2	Equipment identification markings .....	231
F.3.3	Equipment rating markings .....	231
F.3.4	Voltage setting device .....	234
F.3.5	Markings on terminals and operating devices.....	234
F.3.6	Equipment markings related to equipment classification .....	235
F.3.7	Equipment IP rating marking.....	236
F.3.8	External power supply unit output marking.....	236
F.3.9	Durability, legibility and permanence of markings .....	237
F.3.10	Test for the permanence of markings.....	237
F.4	Instructions .....	237
F.5	Instructional safeguards .....	238
Annex G (normative)	Components .....	241
G.1	Switches .....	241
G.1.1	General .....	241
G.1.2	Requirements .....	241
G.1.3	Test method and compliance criteria .....	242
G.2	Relays .....	242
G.2.1	Requirements and compliance criteria .....	242
G.2.2	Overload test.....	243
G.2.3	Relay controlling connectors supplying power to other equipment.....	243
G.2.4	Test method and compliance criteria .....	243
G.3	Protective devices.....	243
G.3.1	Thermal cut-offs .....	243
G.3.2	Thermal links .....	245
G.3.3	PTC thermistors.....	245
G.3.4	Overcurrent protective devices .....	246
G.3.5	Safeguard components not mentioned in G.3.1 to G.3.4 .....	246
G.4	Connectors .....	246
G.4.1	Clearance and creepage distance requirements .....	246
G.4.2	Mains connectors .....	247
G.4.3	Connectors other than mains connectors .....	247
G.5	Wound components .....	247
G.5.1	Wire insulation in wound components .....	247
G.5.2	Endurance test .....	247
G.5.3	Transformers .....	249
G.5.4	Motors .....	257

G.6	Wire insulation .....	261
G.6.1	General .....	261
G.6.2	Enamelled winding wire insulation .....	262
G.7	Mains power supply cords and interconnection cables .....	262
G.7.1	General .....	262
G.7.2	Cross sectional area .....	263
G.7.3	Cord anchorages and strain relief .....	265
G.7.4	Cord entry .....	266
G.7.5	Non-detachable cord bend protection .....	266
G.7.6	Supply wiring space .....	267
G.8	Varistors .....	268
G.8.1	General .....	268
G.8.2	Safeguards against fire .....	269
G.9	Integrated circuit (IC) current limiters .....	271
G.9.1	Requirements .....	271
G.9.2	Test program .....	271
G.9.3	Compliance criteria .....	272
G.10	Resistors .....	272
G.10.1	General .....	272
G.10.2	Conditioning .....	272
G.10.3	Resistor test .....	273
G.10.4	Voltage surge test .....	273
G.10.5	Impulse test .....	273
G.10.6	Overload test .....	273
G.11	Capacitors and RC units .....	273
G.11.1	General .....	273
G.11.2	Conditioning of capacitors and RC units .....	273
G.11.3	Rules for selecting capacitors .....	274
G.12	Optocouplers .....	275
G.13	Printed boards .....	275
G.13.1	General .....	275
G.13.2	Uncoated printed boards .....	275
G.13.3	Coated printed boards .....	275
G.13.4	Insulation between conductors on the same inner surface .....	276
G.13.5	Insulation between conductors on different surfaces .....	277
G.13.6	Tests on coated printed boards .....	277
G.14	Coatings on component terminals .....	279
G.14.1	Requirements .....	279
G.14.2	Test method and compliance criteria .....	279
G.15	Pressurized liquid filled components or LFC assemblies .....	280
G.15.1	Requirements .....	280
G.15.2	Test methods and compliance criteria for self-contained LFC .....	280
G.15.3	Test methods and compliance criteria for a modular LFC .....	281
G.16	IC that includes a capacitor discharge function (ICX) .....	283
G.16.1	Requirements .....	283
G.16.2	Tests .....	283
G.16.3	Compliance criteria .....	283
Annex H (normative)	Criteria for telephone ringing signals .....	284
H.1	General .....	284

H.2	Method A .....	284
H.3	Method B .....	286
H.3.1	Ringing signal.....	286
H.3.2	Tripping device and monitoring voltage.....	287
Annex I (informative)	Overvoltage categories (see IEC 60364-4-44) .....	289
Annex J (normative)	Insulated winding wires for use without interleaved insulation .....	290
J.1	General.....	290
J.2	Type tests .....	290
J.2.1	General .....	290
J.2.2	Electric strength .....	290
J.2.3	Flexibility and adherence .....	291
J.2.4	Heat shock .....	291
J.2.5	Retention of electric strength after bending.....	292
J.3	Testing during manufacturing.....	292
J.3.1	General .....	292
J.3.2	Spark test.....	292
J.3.3	Sampling test.....	293
Annex K (normative)	Safety interlocks .....	294
K.1	General.....	294
K.1.1	General requirements .....	294
K.1.2	Test method and compliance criteria .....	294
K.2	Components of the safety interlock safeguard mechanism .....	295
K.3	Inadvertent change of operating mode .....	295
K.4	Interlock safeguard override.....	295
K.5	Fail-safe .....	295
K.5.1	Requirement.....	295
K.5.2	Test method and compliance criteria .....	295
K.6	Mechanically operated safety interlocks .....	296
K.6.1	Endurance requirement .....	296
K.6.2	Test method and compliance criteria .....	296
K.7	Interlock circuit isolation .....	296
K.7.1	Separation distances for contact gaps and interlock circuit elements .....	296
K.7.2	Overload test.....	297
K.7.3	Endurance test .....	297
K.7.4	Electric strength test.....	297
Annex L (normative)	Disconnect devices.....	298
L.1	General requirements .....	298
L.2	Permanently connected equipment .....	298
L.3	Parts that remain energized .....	298
L.4	Single-phase equipment.....	299
L.5	Three-phase equipment .....	299
L.6	Switches as disconnect devices .....	299
L.7	Plugs as disconnect devices .....	299
L.8	Multiple power sources .....	299
L.9	Compliance criteria .....	300
Annex M (normative)	Equipment containing batteries and their protection circuits.....	301
M.1	General requirements .....	301
M.2	Safety of batteries and their cells.....	301

M.2.1	Requirements .....	301
M.2.2	Compliance criteria .....	301
M.3	Protection circuits for batteries provided within the equipment .....	302
M.3.1	Requirements .....	302
M.3.2	Test method .....	302
M.3.3	Compliance criteria .....	303
M.4	Additional safeguards for equipment containing a secondary lithium battery .....	303
M.4.1	General .....	303
M.4.2	Charging safeguards .....	304
M.4.3	Fire enclosure.....	306
M.4.4	Drop test of equipment containing a secondary lithium battery.....	306
M.5	Risk of burn due to short-circuit during carrying .....	307
M.5.1	Requirements .....	307
M.5.2	Test method and compliance criteria .....	307
M.6	Safeguards against short-circuits .....	308
M.6.1	Requirements .....	308
M.6.2	Compliance criteria .....	308
M.7	Risk of explosion from lead acid and NiCd batteries.....	308
M.7.1	Ventilation preventing an explosive gas concentration .....	308
M.7.2	Test method and compliance criteria .....	309
M.7.3	Ventilation tests .....	312
M.7.4	Marking requirement.....	313
M.8	Protection against internal ignition from external spark sources of rechargeable batteries with aqueous electrolyte.....	313
M.8.1	General .....	313
M.8.2	Test method .....	314
M.9	Preventing electrolyte spillage .....	316
M.9.1	Protection from electrolyte spillage .....	316
M.9.2	Tray for preventing electrolyte spillage .....	316
M.10	Instructions to prevent reasonably foreseeable misuse .....	317
Annex N (normative)	Electrochemical potentials (V).....	318
Annex O (normative)	Measurement of creepage distances and clearances .....	320
Annex P (normative)	Safeguards against conductive objects .....	327
P.1	General.....	327
P.2	Safeguards against entry or consequences of entry of a foreign object .....	327
P.2.1	General .....	327
P.2.2	Safeguard requirements .....	329
P.2.3	Consequence of entry test .....	331
P.3	Safeguards against spillage of internal liquids.....	331
P.3.1	General .....	331
P.3.2	Determination of spillage consequences .....	331
P.3.3	Spillage safeguards .....	331
P.3.4	Compliance criteria .....	332
P.4	Metallized coatings and adhesives securing parts .....	332
P.4.1	General .....	332
P.4.2	Tests .....	332
Annex Q (normative)	Circuits intended for interconnection with building wiring .....	335
Q.1	Limited power source .....	335
Q.1.1	Requirements .....	335

Q.1.2	Test method and compliance criteria .....	335
Q.2	Test for external circuits – paired conductor cable .....	336
Annex R (normative)	Limited short-circuit test.....	338
R.1	General.....	338
R.2	Test setup.....	338
R.3	Test method.....	338
R.4	Compliance criteria .....	339
Annex S (normative)	Tests for resistance to heat and fire .....	340
S.1	Flammability test for fire enclosure and fire barrier materials of equipment where the steady state power does not exceed 4 000 W .....	340
S.2	Flammability test for fire enclosure and fire barrier integrity .....	341
S.3	Flammability tests for the bottom of a fire enclosure .....	343
S.3.1	Mounting of samples.....	343
S.3.2	Test method and compliance criteria .....	343
S.4	Flammability classification of materials .....	343
S.5	Flammability test for fire enclosure materials of equipment with a steady state power exceeding 4 000 W .....	344
S.6	Grille covering material, cloth, and reticulated foam .....	345
Annex T (normative)	Mechanical strength tests.....	346
T.1	General.....	346
T.2	Steady force test, 10 N .....	346
T.3	Steady force test, 30 N .....	346
T.4	Steady force test, 100 N .....	346
T.5	Steady force test, 250 N .....	346
T.6	Enclosure impact test.....	346
T.7	Drop test.....	347
T.8	Stress relief test.....	347
T.9	Glass impact test .....	348
T.10	Glass fragmentation test .....	348
T.11	Test for telescoping or rod antennas .....	349
Annex U (normative)	Mechanical strength of CRTs and protection against the effects of implosion .....	350
U.1	General.....	350
U.2	Test method and compliance criteria for non-intrinsically protected CRTs .....	351
U.3	Protective screen .....	351
Annex V (normative)	Determination of accessible parts .....	352
V.1	Accessible parts of equipment .....	352
V.1.1	General .....	352
V.1.2	Test method 1 – Surfaces and openings tested with jointed test probes.....	352
V.1.3	Test method 2 – Openings tested with straight unjointed test probes .....	353
V.1.4	Test method 3 – Plugs, jacks, connectors .....	356
V.1.5	Test method 4 – Slot openings .....	356
V.1.6	Test method 5 – Terminals intended to be used by an ordinary person .....	357
V.2	Accessible part criterion.....	358
Annex W (informative)	Comparison of terms introduced in this document.....	359
W.1	General.....	359
W.2	Comparison of terms.....	359
Annex X (normative)	Alternative method for determining clearances for insulation in circuits connected to an AC mains not exceeding 420 V peak (300 V RMS) .....	374

Annex Y (normative) Construction requirements for outdoor enclosures.....	376
Y.1 General.....	376
Y.2 Resistance to UV radiation.....	376
Y.3 Resistance to corrosion .....	376
Y.3.1 General .....	376
Y.3.2 Test apparatus .....	377
Y.3.3 Water – saturated sulphur dioxide atmosphere .....	377
Y.3.4 Test procedure .....	377
Y.3.5 Compliance criteria.....	378
Y.4 Gaskets .....	378
Y.4.1 General .....	378
Y.4.2 Gasket tests .....	378
Y.4.3 Tensile strength and elongation tests.....	378
Y.4.4 Compression test.....	379
Y.4.5 Oil resistance .....	380
Y.4.6 Securing means.....	380
Y.5 Protection of equipment within an outdoor enclosure .....	381
Y.5.1 General .....	381
Y.5.2 Protection from moisture.....	381
Y.5.3 Water spray test .....	382
Y.5.4 Protection from plants and vermin .....	384
Y.5.5 Protection from excessive dust.....	385
Y.6 Mechanical strength of enclosures .....	385
Y.6.1 General .....	385
Y.6.2 Impact test.....	386
Bibliography.....	387
Figure 1 – Three block model for pain and injury.....	24
Figure 2 – Three block model for safety .....	25
Figure 3 – Schematic and model for electrically-caused pain or injury.....	30
Figure 4 – Model for protection against electrically-caused pain or injury .....	30
Figure 5 – Model for electrically-caused fire.....	31
Figure 6 – Models for protection against fire .....	32
Figure 7 – Schematic and model for thermally-caused injury.....	34
Figure 8 – Model for protection against thermally-caused injury .....	34
Figure 9 – Model for protection of an ordinary person against a class 1 energy source .....	72
Figure 10 – Model for protection of an ordinary person against a class 2 energy source .....	72
Figure 11 – Model for protection of an ordinary person against a class 2 energy source during ordinary person servicing conditions .....	72
Figure 12 – Model for protection of an ordinary person against a class 3 energy source .....	73
Figure 13 – Model for protection of an instructed person against a class 1 energy source .....	73
Figure 14 – Model for protection of an instructed person against a class 2 energy source .....	73
Figure 15 – Model for protection of an instructed person against a class 3 energy source .....	74
Figure 16 – Model for protection of a skilled person against a class 1 energy source .....	74

Figure 17 – Model for protection of a skilled person against a class 2 energy source .....	74
Figure 18 – Model for protection of a skilled person against a class 3 energy source .....	74
Figure 19 – Model for protection of a skilled person against class 3 energy sources during equipment servicing conditions .....	75
Figure 20 – Test hook .....	83
Figure 21 – Illustration showing ES limits for voltage and current.....	85
Figure 22 – Maximum values for combined AC current and DC current .....	87
Figure 23 – Maximum values for combined AC voltage and DC voltage .....	87
Figure 24 – Contact requirements to bare internal conductive parts .....	91
Figure 25 – Mandrel.....	117
Figure 26 – Initial position of mandrel .....	118
Figure 27 – Final position of mandrel .....	118
Figure 28 – Position of metal foil on insulating material.....	118
Figure 29 – Example of electric strength test instrument for solid insulation .....	127
Figure 30 – Application points of test voltage .....	128
Figure 31 – Test for separation between an external circuit and earth.....	131
Figure 32 – Test circuit for touch current of single-phase equipment.....	147
Figure 33 – Test circuit for touch current of three-phase equipment.....	147
Figure 34 – Power measurement for worst-case load fault .....	151
Figure 35 – Power measurement for worst-case power source fault.....	152
Figure 36 – Illustration of power source classification .....	153
Figure 37 – Minimum separation requirements from a PIS .....	159
Figure 38 – Extended separation requirements from a PIS.....	160
Figure 39 – Deflected separation requirements from a PIS when a fire barrier is used .....	161
Figure 40 – Determination of top, bottom and side openings .....	163
Figure 41 – Top openings .....	164
Figure 42 – Bottom openings .....	165
Figure 43 – Baffle plate construction.....	165
Figure 44 – Application of bottom opening requirements .....	166
Figure 45 – Application of bottom opening properties to side enclosure material thickness .....	167
Figure 46 – PIS trajectory downwards.....	168
Figure 47 – Limits for moving fan blades made of non-plastic materials .....	173
Figure 48 – Limits for moving fan blades made of plastic materials .....	173
Figure 49 – Steel disc .....	198
Figure 50 – Aluminium ring .....	199
Figure 51 – Aluminium foil .....	200
Figure 52 – Example of a warning label for a lamp with multiple hazard spectral regions .....	207
Figure D.1 – 1,2/50 $\mu$ s and 10/700 $\mu$ s voltage impulse generator .....	225
Figure D.2 – Antenna interface test generator circuit .....	226
Figure D.3 – Example of an electronic pulse generator .....	226
Figure F.1 – Example of an instructional safeguard.....	239
Figure G.1 – Determination of arithmetic average temperature .....	252

Figure G.2 – Test voltages .....	255
Figure G.3 – Thermal ageing time .....	278
Figure G.4 – Abrasion resistance test for coating layers .....	279
Figure H.1 – Definition of ringing period and cadence cycle .....	285
Figure H.2 – $I_{TS1}$ limit curve for cadenced ringing signal.....	286
Figure H.3 – Peak and peak-to-peak currents .....	286
Figure H.4 – Ringing voltage trip criteria .....	288
Figure M.1 – Distance $d$ as a function of the rated capacity for various charge currents $I$ (mA/Ah) .....	316
Figure O.1 – Narrow groove .....	320
Figure O.2 – Wide groove .....	321
Figure O.3 – V-shaped groove .....	321
Figure O.4 – Intervening unconnected conductive part.....	321
Figure O.5 – Rib .....	321
Figure O.6 – Uncemented joint with narrow groove .....	322
Figure O.7 – Uncemented joint with wide groove.....	322
Figure O.8 – Uncemented joint with narrow and wide grooves .....	322
Figure O.9 – Narrow recess .....	323
Figure O.10 – Wide recess .....	323
Figure O.11 – Coating around terminals.....	324
Figure O.12 – Coating over printed wiring .....	324
Figure O.13 – Example of measurements in an enclosure of insulating material.....	325
Figure O.14 – Cemented joints in multi-layer printed boards .....	325
Figure O.15 – Device filled with insulating compound.....	326
Figure O.16 – Partitioned bobbin .....	326
Figure P.1 – Examples of cross-sections of designs of top openings which prevent vertical entry .....	328
Figure P.2 – Examples of cross-sections of designs of side opening louvres which prevent vertical entry .....	328
Figure P.3 – Enclosure thickness Safeguards against the consequences of entry of a foreign object.....	328
Figure P.4 – Internal volume locus for foreign object entry .....	330
Figure S.1 – Top openings / surface of fire enclosure or fire barrier .....	342
Figure T.1 – Impact test using sphere .....	347
Figure V.1 – Jointed test probe for equipment likely to be accessible to children.....	354
Figure V.2 – Jointed test probe for equipment not likely to be accessible to children.....	355
Figure V.3 – Blunt probe .....	356
Figure V.4 – Wedge probe .....	357
Figure V.5 – Terminal probe .....	358
Figure Y.1 – Gasket test .....	380
Figure Y.2 – Water-spray test spray-head piping.....	383
Figure Y.3 – Water-spray test spray head .....	384
Table 1 – Response to energy class .....	24

Table 2 – Examples of body response or property damage related to energy sources .....	25
Table 3 – Examples of safeguard characteristics .....	29
Table 4 – Electrical energy source limits for steady state ES1 and ES2 .....	86
Table 5 – Electrical energy source limits for a charged capacitor .....	88
Table 6 – Voltage limits for single pulses .....	89
Table 7 – Current limits for single pulses .....	89
Table 8 – Minimum air gap distance .....	92
Table 9 – Temperature limits for materials, components and systems .....	94
Table 10 – Minimum clearances for voltages with frequencies up to 30 kHz .....	100
Table 11 – Minimum clearances for voltages with frequencies above 30 kHz .....	101
Table 12 – Mains transient voltages .....	102
Table 13 – External circuit ID assignment and associated transient voltages .....	104
Table 14 – Minimum clearances using required withstand voltage .....	107
Table 15 – Electric strength test voltages .....	108
Table 16 – Multiplication factors for clearances and test voltages .....	109
Table 17 – Minimum creepage distances for basic insulation and supplementary insulation in mm .....	112
Table 18 – Minimum values of creepage distances (in mm) for frequencies higher than 30 kHz and up to 400 kHz .....	113
Table 19 – Tests for insulation in non-separable layers .....	116
Table 20 – Electric field strength $E_P$ for some commonly used materials .....	121
Table 21 – Reduction factors for the value of breakdown electric field strength $E_P$ at higher frequencies .....	122
Table 22 – Reduction factors for the value of breakdown electric field strength $E_P$ at higher frequencies for thin materials .....	122
Table 23 – Values for insulation resistance .....	123
Table 24 – Distance through insulation of internal wiring .....	124
Table 25 – Test voltages for electric strength tests based on transient voltages .....	126
Table 26 – Test voltages for electric strength tests based on the peak of the working voltages and recurring peak voltages .....	126
Table 27 – Test voltages for electric strength tests based on temporary overvoltages .....	127
Table 28 – Test values for electric strength tests .....	129
Table 29 – Overview of tests for resistor applications .....	134
Table 30 – Protective earthing conductor sizes for reinforced safeguards for permanently connected equipment .....	137
Table 31 – Minimum protective bonding conductor size of copper conductors .....	139
Table 32 – Sizes of terminals for protective conductors .....	141
Table 33 – Test duration, mains connected equipment .....	142
Table 34 – Classification for various categories of mechanical energy sources .....	172
Table 35 – Overview of requirements and tests .....	183
Table 36 – Torque to be applied to screws .....	188
Table 37 – Touch temperature limits for accessible parts .....	196
Table 38 – Radiation energy source classifications .....	202
Table 39 – Allowable radiation level according to IEC 62471 for each hazard type .....	205

Table 40 – Hazard-related risk group marking.....	206
Table 41 – Explanation of marking information and guidance on control measures .....	207
Table C.1 – Minimum property retention limits after UV exposure .....	223
Table D.1 – Component values for Figure D.1 and Figure D.2.....	226
Table E.1 – Audio signal electrical energy source classes and safeguards.....	227
Table F.1 – Instructional safeguard element description and examples .....	239
Table F.2 – Examples of markings, instructions, and instructional safeguards.....	240
Table G.1 – Peak surge current .....	242
Table G.2 – Test temperature and testing time (days) per cycle.....	248
Table G.3 – Temperature limits for transformer windings and for motor windings (except for the motor running overload test).....	251
Table G.4 – Test voltages for electric strength tests based on the peak of the working voltages .....	253
Table G.5 – Values of FIW wires with minimum overall diameter and minimum test voltages according to the total enamel increase .....	256
Table G.6 – Temperature limits for running overload tests .....	258
Table G.7 – Sizes of conductors .....	264
Table G.8 – Strain relief test force .....	265
Table G.9 – Range of conductor sizes to be accepted by terminals.....	267
Table G.10 – Varistor overload and temporary overvoltage test .....	270
Table G.11 – Performance test program for integrated circuit (IC) current limiters.....	272
Table G.12 – Capacitor ratings according to IEC 60384-14 .....	274
Table G.13 – Minimum separation distances for coated printed boards .....	276
Table G.14 – Insulation in printed boards.....	277
Table I.1 – Overvoltage categories .....	289
Table J.1 – Mandrel diameter.....	291
Table J.2 – Oven temperature.....	292
Table M.1 – Values for current $I_{float}$ and $I_{boost}$ , factors $f_g$ and $f_s$ , and voltages $U_{float}$ and $U_{boost}$ .....	311
Table O.1 – Value of $X$ .....	320
Table Q.1 – Limits for inherently limited power sources .....	336
Table Q.2 – Limits for power sources not inherently limited (overcurrent protective device required).....	336
Table S.1 – Foamed materials .....	343
Table S.2 – Rigid materials .....	343
Table S.3 – Very thin materials .....	344
Table T.1 – Impact force .....	348
Table T.2 – Torque values for end-piece test .....	349
Table W.1 – Comparison of terms and definitions in IEC 60664-1:2020 and IEC 62368-1 .....	359
Table W.2 – Comparison of terms and definitions in IEC 61140:2016 and IEC 62368-1 .....	361
Table W.3 – Comparison of terms and definitions in IEC 60950-1:2005 and IEC 62368-1 .....	364
Table W.4 – Comparison of terms and definitions in IEC 60728-11:2016 and IEC 62368-1 .....	368

Table W.5 – Comparison of terms and definitions in IEC 62151:2000 and IEC 62368-1 ..... 370

Table W.6 – Comparison of terms and definitions in IEC 60065:2014 and IEC 62368-1 ..... 371

Table X.1 – Alternative minimum clearances for insulation in circuits connected to AC mains not exceeding 420 V peak (300 V RMS)..... 374

Table X.2 – Additional clearances for insulation in circuits connected to AC mains not exceeding 420 V peak (300 V RMS)..... 375

Table Y.1 – Examples of the provision of pollution degree environments ..... 381

# INTERNATIONAL ELECTROTECHNICAL COMMISSION

## AUDIO/VIDEO, INFORMATION AND COMMUNICATION TECHNOLOGY EQUIPMENT –

### Part 1: Safety requirements

#### FOREWORD

- 1) The International Electrotechnical Commission (IEC) is a worldwide organization for standardization comprising all national electrotechnical committees (IEC National Committees). The object of IEC is to promote international co-operation on all questions concerning standardization in the electrical and electronic fields. To this end and in addition to other activities, IEC publishes International Standards, Technical Specifications, Technical Reports, Publicly Available Specifications (PAS) and Guides (hereafter referred to as "IEC Publication(s)"). Their preparation is entrusted to technical committees; any IEC National Committee interested in the subject dealt with may participate in this preparatory work. International, governmental and non-governmental organizations liaising with the IEC also participate in this preparation. IEC collaborates closely with the International Organization for Standardization (ISO) in accordance with conditions determined by agreement between the two organizations.
- 2) The formal decisions or agreements of IEC on technical matters express, as nearly as possible, an international consensus of opinion on the relevant subjects since each technical committee has representation from all interested IEC National Committees.
- 3) IEC Publications have the form of recommendations for international use and are accepted by IEC National Committees in that sense. While all reasonable efforts are made to ensure that the technical content of IEC Publications is accurate, IEC cannot be held responsible for the way in which they are used or for any misinterpretation by any end user.
- 4) In order to promote international uniformity, IEC National Committees undertake to apply IEC Publications transparently to the maximum extent possible in their national and regional publications. Any divergence between any IEC Publication and the corresponding national or regional publication shall be clearly indicated in the latter.
- 5) IEC itself does not provide any attestation of conformity. Independent certification bodies provide conformity assessment services and, in some areas, access to IEC marks of conformity. IEC is not responsible for any services carried out by independent certification bodies.
- 6) All users should ensure that they have the latest edition of this publication.
- 7) No liability shall attach to IEC or its directors, employees, servants or agents including individual experts and members of its technical committees and IEC National Committees for any personal injury, property damage or other damage of any nature whatsoever, whether direct or indirect, or for costs (including legal fees) and expenses arising out of the publication, use of, or reliance upon, this IEC Publication or any other IEC Publications.
- 8) Attention is drawn to the Normative references cited in this publication. Use of the referenced publications is indispensable for the correct application of this publication.
- 9) Attention is drawn to the possibility that some of the elements of this IEC Publication may be the subject of patent rights. IEC shall not be held responsible for identifying any or all such patent rights.

IEC 62368-1 has been prepared by IEC technical committee TC 108: Safety of electronic equipment within the field of audio/video, information technology and communication technology. It is an International Standard.

This fourth edition cancels and replaces the third edition published in 2018. This edition constitutes a technical revision.

This edition includes the following significant technical changes with respect to the previous edition:

- a) new table with requirements for external circuits;
- b) revision of requirements for openings in fire enclosures;
- c) revision of requirements for liquid filled components;
- d) revision of battery charging requirements.

The text of this International Standard is based on the following documents:

Draft	Report on voting
108/800/FDIS	108/804/RVD

Full information on the voting for its approval can be found in the report on voting indicated in the above table.

The language used for the development of this International Standard is English.

This document was drafted in accordance with ISO/IEC Directives, Part 2, and developed in accordance with ISO/IEC Directives, Part 1 and ISO/IEC Directives, IEC Supplement, available at [www.iec.ch/members\\_experts/refdocs](http://www.iec.ch/members_experts/refdocs). The main document types developed by IEC are described in greater detail at [www.iec.ch/publications](http://www.iec.ch/publications).

A list of all parts in the IEC 62368 series, published under the general title *Audio/video, information and communication technology equipment*, can be found on the IEC website.

The "in some countries" notes regarding differing national practices are contained in the following clauses, subclauses and tables:

0.2.1, Clause 1, 3.3.8.1, 3.3.8.3, 4.1.15, 4.7.3, 5.4.2.3.2.4, 5.4.2.5, 5.4.5.1, 5.4.10.2.1, 5.4.10.2.2, 5.4.10.2.3, 5.5.2.1, 5.5.6, 5.6.4.2.1, 5.6.8, 5.7.6, 5.7.7.1, 8.5.4.2.3, 10.5.3, 10.6.1, F.3.3.4, F.3.3.6, Y.4.1, Y.4.5, Table 12, Table 13 and Table 38.

In this document, the following print types or formats are used:

- requirements proper and normative annexes: in roman type;
- compliance statements and test specifications: *in italic type*;
- notes/explanatory matter: in smaller roman type;
- normative conditions within tables: in smaller roman type;
- terms that are defined in 3.3: **bold**.

In figures and tables, if colour is available:

- green colour denotes a class 1 energy source;
- yellow colour denotes a class 2 energy source;
- red colour denotes a class 3 energy source.

A comparison of terms introduced in this document that are different from other existing IEC documents is given in Annex W.

The committee has decided that the contents of this document will remain unchanged until the stability date indicated on the IEC website under [webstore.iec.ch](http://webstore.iec.ch) in the data related to the specific document. At this date, the document will be

- reconfirmed,
- withdrawn,
- replaced by a revised edition, or
- amended.

NOTE Explanatory information related to IEC 62368-1 is contained in IEC TR 62368-2. It provides rationale together with explanatory information related to this document.

**IMPORTANT – The "colour inside" logo on the cover page of this document indicates that it contains colours which are considered to be useful for the correct understanding of its contents. Users should therefore print this document using a colour printer.**

## INTRODUCTION

### 0 Principles of this product safety standard

#### 0.1 Objective

This part of IEC 62368 is a product safety standard that classifies energy sources, prescribes **safeguards** against those energy sources, and provides guidance on the application of, and requirements for, those **safeguards**.

The prescribed **safeguards** are intended to reduce the likelihood of pain, injury and, in the case of fire, property damage.

The objective of the introduction is to help designers to understand the underlying principles of safety in order to design safe equipment. These principles are informative and not an alternative to the detailed requirements of this document.

#### 0.2 Persons

##### 0.2.1 General

This document describes **safeguards** for the protection of three kinds of persons: the **ordinary person**, the **instructed person**, and the **skilled person**. Unless otherwise specified in this document, the requirements for an **ordinary person** apply. This document assumes that a person will not intentionally create conditions or situations that could cause pain or injury.

NOTE 1 In Australia, the work conducted by an **instructed person** or **skilled person** can require formal licensing from regulatory authorities.

NOTE 2 In Germany, a person can only be regarded as an **instructed person** or a **skilled person** if certain legal requirements are fulfilled.

##### 0.2.2 Ordinary person

**Ordinary person** is the term applied to all persons other than **instructed persons** and **skilled persons**. **Ordinary persons** include not only users of the equipment, but also all persons who can possibly have access to the equipment or who could be in the vicinity of the equipment. Under **normal operating conditions** or **abnormal operating conditions**, **ordinary persons** should not be exposed to parts comprising energy sources capable of causing pain or injury. Under a **single fault condition**, **ordinary persons** should not be exposed to parts comprising energy sources capable of causing injury.

##### 0.2.3 Instructed person

**Instructed person** is a term applied to persons who have been instructed and trained by a **skilled person**, or who are supervised by a **skilled person**, to identify energy sources that can cause pain (see Table 1) and to take precautions to avoid unintentional contact with or exposure to those energy sources. Under **normal operating conditions**, **abnormal operating conditions** or **single fault conditions**, **instructed persons** should not be exposed to parts comprising energy sources capable of causing injury.

##### 0.2.4 Skilled person

**Skilled person** is a term applied to persons who have training or experience in the equipment technology, particularly in knowing the various energies and energy magnitudes used in the equipment. **Skilled persons** are expected to use their training and experience to recognize energy sources capable of causing pain or injury and to take action for protection from injury from those energies. **Skilled persons** should also be protected against unintentional contact or exposure to energy sources capable of causing injury.