

# FINAL VERSION

## VERSION FINALE

**Power systems management and associated information exchange – Data and communications security –  
Part 3: Communication network and system security – Profiles including TCP/IP**

**Gestion des systèmes de puissance et échanges d'informations associés –  
Sécurité des communications et des données –  
Partie 3: Sécurité des réseaux et des systèmes de communication – Profils  
comprenant TCP/IP**

## CONTENTS

FOREWORD .....	3
INTRODUCTION to Amendment 2 .....	5
1 Scope .....	6
1.1 Scope .....	6
1.2 Intended Audience .....	6
2 Normative references .....	6
3 Terms, definitions and abbreviations .....	7
3.1 Terms, definitions and abbreviations .....	7
3.2 Additional abbreviations .....	7
4 Security issues addressed by this standard .....	7
4.1 Operational requirements affecting the use of TLS in the telecontrol environment .....	7
4.2 Security threats countered .....	8
4.3 Attack methods countered .....	8
4.4 Handling of security events .....	8
5 Mandatory requirements .....	9
5.1 Deprecation of cipher suites .....	9
5.2 Negotiation of versions .....	9
5.3 Session resumption .....	10
5.4 Session renegotiation .....	11
5.5 Message Authentication Code .....	12
5.6 Certificate support .....	12
5.7 Co-existence with non-secure protocol traffic .....	16
6 Optional security measure support .....	16
7 Referencing standard requirements .....	16
8 Conformance .....	17
8.1 General .....	17
8.2 Notation .....	17
8.3 Conformance to selected cipher suites .....	17
8.4 Conformance to selected TLS versions .....	17
8.5 Conformance to selected TLS protocol features .....	17
8.6 Conformance to certificate support .....	18
8.7 Conformance to cryptographic algorithm support .....	18
Bibliography .....	20
Table 1 – Conformance to TLS cipher suites .....	17
Table 2 – Conformance to TLS versions .....	17
Table 3 – Conformance to TLS protocol features .....	18
Table 4 – Conformance to certificate support .....	18
Table 5 – Conformance to cryptographic algorithm support .....	19

INTERNATIONAL ELECTROTECHNICAL COMMISSION

**POWER SYSTEMS MANAGEMENT AND ASSOCIATED INFORMATION  
EXCHANGE – DATA AND COMMUNICATIONS SECURITY –**

**Part 3: Communication network and system security –  
Profiles including TCP/IP**

FOREWORD

- 1) The International Electrotechnical Commission (IEC) is a worldwide organization for standardization comprising all national electrotechnical committees (IEC National Committees). The object of IEC is to promote international co-operation on all questions concerning standardization in the electrical and electronic fields. To this end and in addition to other activities, IEC publishes International Standards, Technical Specifications, Technical Reports, Publicly Available Specifications (PAS) and Guides (hereafter referred to as "IEC Publication(s)"). Their preparation is entrusted to technical committees; any IEC National Committee interested in the subject dealt with may participate in this preparatory work. International, governmental and non-governmental organizations liaising with the IEC also participate in this preparatory work. IEC collaborates closely with the International Organization for Standardization (ISO) in accordance with conditions determined by agreement between the two organizations.
- 2) The formal decisions or agreements of IEC on technical matters express, as nearly as possible, an international consensus of opinion on the relevant subjects since each technical committee has representation from all interested IEC National Committees.
- 3) IEC Publications have the form of recommendations for international use and are accepted by IEC National Committees in that sense. While all reasonable efforts are made to ensure that the technical content of IEC Publications is accurate, IEC cannot be held responsible for the way in which they are used or for any misinterpretation by any end user.
- 4) In order to promote international uniformity, IEC National Committees undertake to apply IEC Publications transparently to the maximum extent possible in their national and regional publications. Any divergence between any IEC Publication and the corresponding national or regional publication shall be clearly indicated in the latter.
- 5) IEC itself does not provide any attestation of conformity. Independent certification bodies provide conformity assessment services and, in some areas, accreditation to IEC marks of conformity. IEC is not responsible for any services carried out by independent certification bodies.
- 6) All users should ensure that they have the latest edition of this publication.
- 7) No liability shall attach to IEC or its directors, employees, servants or agents including individual experts and members of its technical committees and IEC National Committees for any personal injury, property damage or other damage of any nature whatsoever, whether direct or indirect, or for costs (including legal fees) and expenses arising out of the publication, use of, or reliance upon, this IEC Publication or any other IEC Publications.
- 8) Attention is drawn to the Normative references cited in this publication. Use of the referenced publications is indispensable for the correct application of this publication.
- 9) Attention is drawn to the possibility that some of the elements of this IEC Publication may be the subject of patent rights. IEC shall not be held responsible for identifying any or all such patent rights.

**DISCLAIMER**

**This Consolidated version is not an official IEC Standard and has been prepared for user convenience. Only the current versions of the standard and its amendment(s) shall be considered the official documents.**

**This Consolidated version of IEC 62351-3 bears the edition number 1.2. It consists of the first edition (2014-10) [documents 57/1498/FDIS and 57/1515/RVD], its amendment 1 (2018-05) [documents 57/1976/FDIS and 57/1990/RVD] and its amendment 2 (2020-02) [documents 57/2149/FDIS and 57/2167/RVD]. The technical content is identical to the base edition and its amendments.**

**This Final version does not show where the technical content is modified by amendments 1 and 2. A separate Redline version with all changes highlighted is available in this publication.**

International Standard IEC 62351-3 has been prepared by IEC technical committee 57: Power systems management and associated information exchange.

This publication has been drafted in accordance with the ISO/IEC Directives, Part 2.

A list of all parts in the IEC 62351 series, published under the general title *Power systems management and associated information exchange – Data and communications security*, can be found on the IEC website.

The committee has decided that the contents of the base publication and its amendments will remain unchanged until the stability date indicated on the IEC web site under "<http://webstore.iec.ch>" in the data related to the specific publication. At this date, the publication will be

- reconfirmed,
- withdrawn,
- replaced by a revised edition, or
- amended.

## INTRODUCTION to Amendment 2

This amendment to International Standard IEC 62351-3 has been prepared in order to address the following issues:

- Support for TLS versions 1.1 and 1.0 is made optional instead of mandatory to address known weaknesses. This is aligned with the defined security warnings for TLS versions 1.1 and 1.0.
- Update of TLS version handling during renegotiation and resumption to avoid TLS version downgrade/upgrade within a same session.
- Updated explanatory text for session renegotiation to make the communication relations clearer.
- Deprecation of RSA1024 and SHA-1 algorithms. This underlines the desire to disallow them in the next edition.
- Inclusion of PICS section for mandatory and optional settings in TLS.
- Updated text for and enhancements of security events to better align with IEC 62351-14
- Inclusion of general remarks for the security event handling.
- Update of references.

Moreover, explanatory text has been included to better describe certain options as well as an adjustment to the requirements for referencing standards.

# POWER SYSTEMS MANAGEMENT AND ASSOCIATED INFORMATION EXCHANGE – DATA AND COMMUNICATIONS SECURITY –

## Part 3: Communication network and system security – Profiles including TCP/IP

### 1 Scope

#### 1.1 Scope

This part of IEC 62351 specifies how to provide confidentiality, integrity protection, and message level authentication for SCADA and telecontrol protocols that make use of TCP/IP as a message transport layer when cyber-security is required.

Although there are many possible solutions to secure TCP/IP, the particular scope of this part is to provide security between communicating entities at either end of a TCP/IP connection within the end communicating entities. The use and specification of intervening external security devices (e.g. “bump-in-the-wire”) are considered out-of-scope.

This part of IEC 62351 specifies how to secure TCP/IP-based protocols through constraints on the specification of the messages, procedures, and algorithms of Transport Layer Security (TLS) (defined in RFC 5246) so that they are applicable to the telecontrol environment of the IEC. TLS is applied to protect the TCP communication. It is intended that this standard be referenced as a normative part of other IEC standards that have the need for providing security for their TCP/IP-based protocol. However, it is up to the individual protocol security initiatives to decide if this standard is to be referenced.

This part of IEC 62351 reflects the security requirements of the IEC power systems management protocols. Should other standards bring forward new requirements, this standard may need to be revised.

#### 1.2 Intended Audience

The initial audience for this specification is intended to be experts developing or making use of IEC protocols in the field of power systems management and associated information exchange. For the measures described in this specification to take effect, they must be accepted and referenced by the specifications for the protocols themselves, where the protocols make use of TCP/IP security. This document is written to enable that process.

The subsequent audience for this specification is intended to be the developers of products that implement these protocols.

Portions of this specification may also be of use to managers and executives in order to understand the purpose and requirements of the work.

### 2 Normative references

The following documents, in whole or in part, are normatively referenced in this document and are indispensable for its application. For dated references, only the edition cited applies. For undated references, the latest edition of the referenced document (including any amendments) applies.

IEC TS 62351-1:2007, *Power systems management and associated information exchange – Data and communications security – Part 1: Communication network and system security – Introduction to security issues*

IEC TS 62351-2:2008, *Power systems management and associated information exchange – Data and communications security – Part 2: Glossary of terms*