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**Safety of machinery - Electro-sensitive protective equipment -
Part 3: Particular requirements for active opto-electronic protective devices
responsive to diffuse reflection (AOPDDR)**

**Sécurité des machines - Équipements de protection électrosensibles -
Partie 3: Exigences particulières pour les équipements utilisant des dispositifs
protecteurs optoélectroniques actifs sensibles aux réflexions diffuses
(AOPDDR)**



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CONTENTS

| | |
|---|----|
| FOREWORD..... | 4 |
| INTRODUCTION..... | 6 |
| 1 Scope..... | 7 |
| 2 Normative references | 8 |
| 3 Terms, definitions and abbreviated terms | 8 |
| 3.1 Terms and definitions..... | 8 |
| 3.2 Abbreviated terms..... | 10 |
| 4 Functional, design and environmental requirements | 10 |
| 4.1 Functional requirements..... | 10 |
| 4.2 Design requirements..... | 11 |
| 4.3 Environmental requirements | 20 |
| 5 Testing..... | 24 |
| 5.1 General..... | 24 |
| 5.2 Functional tests | 25 |
| 5.3 Performance testing under fault conditions | 35 |
| 5.4 Environmental tests | 36 |
| 6 Marking for identification and for safe use | 54 |
| 6.1 General..... | 54 |
| 7 Accompanying documents..... | 54 |
| Annex A (normative) Optional functions of the ESPE..... | 56 |
| Annex B (normative) Catalogue of single faults affecting the electrical equipment of the ESPE, to be applied as specified in 5.3..... | 63 |
| Annex AA (informative) Examples of the use of an AOPDDR in different applications | 64 |
| AA.1 Positioning of AOPDDR-3D in respect of parts of the human body and calculation of separation distance..... | 64 |
| AA.1.1 General | 64 |
| AA.1.2 Calculation of the overall separation distance S when reaching through a vertical detection zone..... | 65 |
| AA.1.3 AOPDDR-3D with a minimum detectable object size $40 \text{ mm} < d \leq 50 \text{ mm}$ | 66 |
| AA.1.4 AOPDDR-3D with a minimum detectable object size $55 \text{ mm} \leq d \leq 200 \text{ mm}$ | 66 |
| AA.1.5 Examples of detection zone and tolerance zone | 67 |
| AA.1.6 Application examples for body detection of an AOPDDR-3D | 70 |
| AA.2 Examples of the use of an AOPDDR | 72 |
| AA.2.1 General | 72 |
| AA.2.2 Limited distance | 72 |
| AA.2.3 Overlap by the tolerance zone | 74 |
| AA.2.4 Reference boundary monitoring..... | 75 |
| AA.3 Detection zone angled to the direction of approach – Orthogonal approach | 76 |
| AA.4 Example for the calculation of the response time of an AOPDDR-2D | 77 |
| Annex BB (informative) Relationship between position accuracy and probability of detection for a Type 3 AOPDDR | 78 |
| Bibliography..... | 84 |
| Figure 1 – Detection zone of an AOPDDR-2D..... | 15 |

| | |
|---|----|
| Figure 2 – Detection zone of an AOPDDR-3D | 16 |
| Figure 3 – Shape of test pieces for AOPDDR-3D | 18 |
| Figure 4 – Minimum diffuse reflectivity of materials | 19 |
| Figure 5 – Test piece intrusion into the detection zone for test..... | 26 |
| Figure 6 – Influence on detection capability by incandescent light – Example 1 | 30 |
| Figure 7 – Influence on detection capability by incandescent light – Example 2 | 31 |
| Figure 8 – Influence on detection capability by light reflected by the background | 32 |
| Figure 9 – Configuration for the endurance test – Example 1 | 33 |
| Figure 10 – Configuration for the endurance test – Example 2 | 34 |
| Figure 11 – Interference between two AOPDDR-3D of identical design (opposite arrangement)..... | 44 |
| Figure 12 – Interference between two AOPDDR-3D of identical design (parallel arrangement)..... | 45 |
| Figure 13 – Example of an emitting element of an AOPDDR | 47 |
| Figure 14 – Example of a receiver of an AOPDDR | 47 |
| Figure 15 – Influence on detection capability by background..... | 49 |
| Figure 16 – Multi-path reflection test (top view)..... | 50 |
| Figure 17 – Multi-path reflection test (side view) | 50 |
| Figure A.1 – Reference boundary monitoring – Distribution of measurement values..... | 58 |
| Figure A.2 – Use of an AOPDDR with reference boundary monitoring..... | 59 |
| Figure A.3 – Use of an AOPDDR as parts of a body trip device | 60 |
| Figure A.4 – AOPDDR used as a trip device with orthogonal approach (150 mm minimum detectable object size)..... | 61 |
| Figure A.5 – AOPDDR used as a trip device with orthogonal approach (200 mm minimum detectable object size)..... | 62 |
| Figure AA.1 – Separation distance S – Example 1 | 67 |
| Figure AA.2 – Separation distance S including tolerance zone – Example 1 | 68 |
| Figure AA.3 – Separation distance S – Example 2..... | 69 |
| Figure AA.4 – Separation distance S including tolerance zone – Example 2 | 70 |
| Figure AA.5 – Application example for body detection of an AOPDDR-3D..... | 71 |
| Figure AA.6 – Limited distance | 73 |
| Figure AA.7 – Overlap | 74 |
| Figure AA.8 – Reference boundary monitoring – Distribution of measurement values | 75 |
| Figure AA.9 – AOPDDR-2D detection zone angled to the direction of approach – Orthogonal approach | 76 |
| Figure AA.10 – AOPDDR-3D detection zone angled to the direction of approach – Orthogonal approach | 77 |
| Figure BB.1 – Relationship between position accuracy and detection zone | 78 |
| Figure BB.2 – Relationship between position accuracy, detection zone and the probabilistic part of the tolerance zone – Example 1 | 79 |
| Figure BB.3 – Relationship between position accuracy, detection zone and the probabilistic part of the tolerance zone – Example 2 | 80 |
| Figure BB.4 – Relationship between position accuracy, detection zone and tolerance zone – Example 1 | 81 |
| Figure BB.5 – Relationship between position accuracy, detection zone and tolerance zone – Example 2 | 81 |

| | |
|--|----|
| Figure BB.6 – POD of a single measurement (logarithmic) for a MooM-evaluation with $1 \leq M \leq 50$ | 82 |
| Figure BB.7 – POD of a single measurement for a MooM-evaluation with $1 \leq M \leq 50$ in relation to σ in the case of a normal distribution | 83 |
| Table 1 – Minimum tests required for the verification of detection capability requirements (see also 4.2.12.1)..... | 27 |
| Table 2 – Overview of light interference tests | 39 |
| Table B.1 – Sensor array for distance measurement..... | 63 |

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INTERNATIONAL ELECTROTECHNICAL COMMISSION

**Safety of machinery - Electro-sensitive protective equipment -
Part 3: Particular requirements for active opto-electronic protective
devices responsive to diffuse reflection (AOPDDR)**

FOREWORD

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IEC 61496-3 has been prepared by IEC technical committee 44: Safety of machinery – Electrotechnical aspects. It is an International Standard.

This fourth edition cancels and replaces the third edition published in 2018. This edition constitutes a technical revision.

This edition includes the following significant technical changes with respect to the previous edition:

- a) some requirement clauses and test procedures have been adapted or removed because they have been consolidated in IEC 61496-1:2020 (e.g. 5.4.6.2 Light sources and Clause A.9);
- b) change of the minimum probability of detection and fault detection requirements for Type 2 AOPDDR;
- c) using the AOPDDR as a trip device is described as an optional function in Clause A.13.

The text of this International Standard is based on the following documents:

| Draft | Report on voting |
|--------------|------------------|
| 44/1061/FDIS | 44/1065/RVD |

Full information on the voting for its approval can be found in the report on voting indicated in the above table.

This document is to be used in conjunction with IEC 61496-1:2020.

The language used for the development of this International Standard is English.

A list of all parts in the IEC 61496 series, published under the general title *Safety of machinery – Electro-sensitive protective equipment*, can be found on the IEC website.

This document was drafted in accordance with ISO/IEC Directives, Part 2, and developed in accordance with ISO/IEC Directives, Part 1 and ISO/IEC Directives, IEC Supplement, available at www.iec.ch/members_experts/refdocs. The main document types developed by IEC are described in greater detail at www.iec.ch/publications.

This document supplements or modifies the corresponding clause in IEC 61496-1:2020 to specify particular requirements for the design, construction and testing of electro-sensitive protective equipment (ESPE) for the safeguarding of machinery, employing active opto-electronic protective devices responsive to diffuse reflection (AO²DDR) for the sensing function.

Where a particular clause or subclause of IEC 61496-1:2020 is not mentioned in this document, that clause or subclause applies as far as is reasonable. Where this document states "addition" or "replacement", the relevant text of IEC 61496-1:2020 is adapted accordingly.

Clauses and subclauses which are additional to those of IEC 61496-1:2020 are numbered sequentially, following on the last available number in IEC 61496-1:2020. Terminological entries (in Clause 3) which are additional to those in IEC 61496-1:2020 are numbered starting from 3.301. Additional annexes are lettered from AA onwards.

The committee has decided that the contents of this document will remain unchanged until the stability date indicated on the IEC website under webstore.iec.ch in the data related to the specific document. At this date the document will be

- reconfirmed,
- withdrawn, or
- revised.

INTRODUCTION

Electro-sensitive protective equipment (ESPE) is applied to machinery that presents a risk of personal injury. It provides protection by causing the machine to revert to a safe condition before a person can be placed in a hazardous situation.

This document supplements or modifies the corresponding clauses in IEC 61496-1:2020 to specify particular requirements for the design, construction and testing of electro-sensitive protective equipment (ESPE) for the safeguarding of machinery, employing active opto-electronic protective devices responsive to diffuse reflection (AOPDDR) for the sensing function.

Each type of machine presents its own particular hazards, and it is not the purpose of this document to recommend the manner of application of the ESPE to any particular machine. The application of the ESPE is a matter for agreement between the equipment supplier, the machine user and the enforcing authority. In this context, attention is drawn to the relevant guidance established internationally, for example, in IEC 62046 and ISO 12100.

The group responsible for drafting this document was concerned that, due to the complexity of the technology, there are many issues that are highly dependent on analysis and expertise in specific test and measurement techniques. In order to provide a high level of confidence, independent review by relevant experts is recommended. If this high level of confidence cannot be established, these devices would not be suitable for use in safety-related applications.

1 Scope

This document specifies additional requirements for the design, construction and testing of non-contact electro-sensitive protective equipment (ESPE) designed specifically to detect persons or parts of persons as part of a safety-related system, employing active opto-electronic protective devices responsive to diffuse reflection (AOPDDRs) for the sensing function. Special attention is directed to requirements which ensure that an appropriate safety-related performance is achieved. An ESPE can include optional safety-related functions, the requirements for which are given both in Annex A of this document and in Annex A of IEC 61496-1:2020.

NOTE "Non-contact" means that physical contact is not required for sensing.

This document does not specify the dimensions or configurations of the detection zone and its disposition in relation to hazardous parts for any particular application, nor what constitutes hazardous state of any machine. It is restricted to the functioning of the ESPE and how it interfaces with the machine.

AOPDDRs are devices that have either

- one or more detection zone(s) specified in two dimensions (AOPDDR-2D), or
- one or more detection zone(s) specified in three dimensions (AOPDDR-3D)

wherein radiation in the near infrared range is emitted by an emitting element(s). When the emitted radiation impinges on an object (for example, a person or part of a person), a portion of the emitted radiation is reflected to a receiving element(s) by diffuse reflection. This reflection is used to determine the position of the object.

Opto-electronic devices that perform only a single one-dimensional spot-like distance measurement, for example, optical proximity switches, are not covered by this document.

This document is limited to ESPE that do not require human intervention for detection. It is limited to ESPE that detect objects entering into or being present in a detection zone(s).

This document does not address those aspects required for complex classification or differentiation of the object detected.

This document does not address requirements and tests for outdoor application.

Excluded from this document are AOPDDRs employing radiation with the peak of wavelength outside the range 820 nm to 1 100 nm, and those employing radiation other than that generated by the AOPDDR itself. For sensing devices that employ radiation of wavelengths outside this range, this document can be used as a guide. This document is relevant for AOPDDRs having a minimum detectable object size in the range from 30 mm to 200 mm.

This document can be relevant to applications other than those for the protection of persons, for example, for the protection of machinery or products from mechanical damage. In those applications, different requirements can be appropriate, for example when the materials that are recognized by the sensing function have different properties from those of persons and their clothing.

This document does not deal with electromagnetic compatibility (EMC) emission requirements.