

INTERNATIONAL STANDARD

NORME INTERNATIONALE



Industrial-process measurement, control and automation – Evaluation of system properties for the purpose of system assessment – Part 3: Assessment of system functionality

Mesure, commande et automation dans les processus industriels – Appréciation des propriétés d'un système en vue de son évaluation – Partie 3: Évaluation de la fonctionnalité d'un système



THIS PUBLICATION IS COPYRIGHT PROTECTED
Copyright © 2016 IEC, Geneva, Switzerland

All rights reserved. Unless otherwise specified, no part of this publication may be reproduced or utilized in any form or by any means, electronic or mechanical, including photocopying and microfilm, without permission in writing from either IEC or IEC's member National Committee in the country of the requester. If you have any questions about IEC copyright or have an enquiry about obtaining additional rights to this publication, please contact the address below or your local IEC member National Committee for further information.

Droits de reproduction réservés. Sauf indication contraire, aucune partie de cette publication ne peut être reproduite ni utilisée sous quelque forme que ce soit et par aucun procédé, électronique ou mécanique, y compris la photocopie et les microfilms, sans l'accord écrit de l'IEC ou du Comité national de l'IEC du pays du demandeur. Si vous avez des questions sur le copyright de l'IEC ou si vous désirez obtenir des droits supplémentaires sur cette publication, utilisez les coordonnées ci-après ou contactez le Comité national de l'IEC de votre pays de résidence.

IEC Central Office
3, rue de Varembe
CH-1211 Geneva 20
Switzerland

Tel.: +41 22 919 02 11
Fax: +41 22 919 03 00
info@iec.ch
www.iec.ch

About the IEC

The International Electrotechnical Commission (IEC) is the leading global organization that prepares and publishes International Standards for all electrical, electronic and related technologies.

About IEC publications

The technical content of IEC publications is kept under constant review by the IEC. Please make sure that you have the latest edition, a corrigenda or an amendment might have been published.

IEC Catalogue - webstore.iec.ch/catalogue

The stand-alone application for consulting the entire bibliographical information on IEC International Standards, Technical Specifications, Technical Reports and other documents. Available for PC, Mac OS, Android Tablets and iPad.

IEC publications search - www.iec.ch/searchpub

The advanced search enables to find IEC publications by a variety of criteria (reference number, text, technical committee,...). It also gives information on projects, replaced and withdrawn publications.

IEC Just Published - webstore.iec.ch/justpublished

Stay up to date on all new IEC publications. Just Published details all new publications released. Available online and also once a month by email.

Electropedia - www.electropedia.org

The world's leading online dictionary of electronic and electrical terms containing 20 000 terms and definitions in English and French, with equivalent terms in 15 additional languages. Also known as the International Electrotechnical Vocabulary (IEV) online.

IEC Glossary - std.iec.ch/glossary

65 000 electrotechnical terminology entries in English and French extracted from the Terms and Definitions clause of IEC publications issued since 2002. Some entries have been collected from earlier publications of IEC TC 37, 77, 86 and CISPR.

IEC Customer Service Centre - webstore.iec.ch/csc

If you wish to give us your feedback on this publication or need further assistance, please contact the Customer Service Centre: csc@iec.ch.

A propos de l'IEC

La Commission Electrotechnique Internationale (IEC) est la première organisation mondiale qui élabore et publie des Normes internationales pour tout ce qui a trait à l'électricité, à l'électronique et aux technologies apparentées.

A propos des publications IEC

Le contenu technique des publications IEC est constamment revu. Veuillez vous assurer que vous possédez l'édition la plus récente, un corrigendum ou amendement peut avoir été publié.

Catalogue IEC - webstore.iec.ch/catalogue

Application autonome pour consulter tous les renseignements bibliographiques sur les Normes internationales, Spécifications techniques, Rapports techniques et autres documents de l'IEC. Disponible pour PC, Mac OS, tablettes Android et iPad.

Recherche de publications IEC - www.iec.ch/searchpub

La recherche avancée permet de trouver des publications IEC en utilisant différents critères (numéro de référence, texte, comité d'études,...). Elle donne aussi des informations sur les projets et les publications remplacées ou retirées.

IEC Just Published - webstore.iec.ch/justpublished

Restez informé sur les nouvelles publications IEC. Just Published détaille les nouvelles publications parues. Disponible en ligne et aussi une fois par mois par email.

Electropedia - www.electropedia.org

Le premier dictionnaire en ligne de termes électroniques et électriques. Il contient 20 000 termes et définitions en anglais et en français, ainsi que les termes équivalents dans 15 langues additionnelles. Egalement appelé Vocabulaire Electrotechnique International (IEV) en ligne.

Glossaire IEC - std.iec.ch/glossary

65 000 entrées terminologiques électrotechniques, en anglais et en français, extraites des articles Termes et Définitions des publications IEC parues depuis 2002. Plus certaines entrées antérieures extraites des publications des CE 37, 77, 86 et CISPR de l'IEC.

Service Clients - webstore.iec.ch/csc

Si vous désirez nous donner des commentaires sur cette publication ou si vous avez des questions contactez-nous: csc@iec.ch.

INTERNATIONAL STANDARD

NORME INTERNATIONALE



**Industrial-process measurement, control and automation – Evaluation of system properties for the purpose of system assessment –
Part 3: Assessment of system functionality**

**Mesure, commande et automation dans les processus industriels – Appréciation des propriétés d'un système en vue de son évaluation –
Partie 3: Évaluation de la fonctionnalité d'un système**

INTERNATIONAL
ELECTROTECHNICAL
COMMISSION

COMMISSION
ELECTROTECHNIQUE
INTERNATIONALE

ICS 25.040.40

ISBN 978-2-8322-3409-9

**Warning! Make sure that you obtained this publication from an authorized distributor.
Attention! Veuillez vous assurer que vous avez obtenu cette publication via un distributeur agréé.**

CONTENTS

FOREWORD.....	4
INTRODUCTION.....	6
1 Scope.....	8
2 Normative references.....	8
3 Terms, definitions, abbreviated terms, acronyms, conventions and symbols.....	8
3.1 Terms and definitions.....	8
3.2 Abbreviated terms, acronyms, conventions and symbols.....	8
4 Basis of assessment specific to functionality.....	9
4.1 Functionality properties.....	9
4.1.1 General.....	9
4.1.2 Coverage.....	9
4.1.3 Configurability.....	10
4.1.4 Flexibility.....	11
4.2 Factors influencing functionality.....	12
5 Assessment method.....	12
5.1 General.....	12
5.2 Defining the objective of the assessment.....	12
5.3 Design and layout of the assessment.....	12
5.4 Planning of the assessment program.....	13
5.5 Execution of the assessment.....	13
5.6 Reporting of the assessment.....	13
6 Evaluation techniques.....	13
6.1 General.....	13
6.2 Analytical evaluation techniques.....	13
6.2.1 Coverage.....	13
6.2.2 Configurability.....	14
6.2.3 Flexibility.....	14
6.3 Empirical evaluation techniques.....	14
6.4 Additional topics for evaluation techniques.....	14
Annex A (informative) Checklist and/or example of SRD for system functionality.....	15
Annex B (informative) Checklist and/or example of SSD for system functionality.....	16
B.1 SSD information.....	16
B.2 Check points for system functionality.....	16
Annex C (informative) Example of a list of assessment items (information from IEC TS 62033-1).....	17
C.1 Overview.....	17
C.2 System characteristics.....	17
C.2.1 Overview.....	17
C.2.2 System scalability.....	17
C.2.3 System expandability.....	17
C.2.4 Integration of subsystems.....	17
C.2.5 Automatic documentation.....	17
C.2.6 Programming languages for control.....	18
C.2.7 BCS localisation.....	19
C.3 Functionality properties.....	20

C.3.1	Input/output specifications.....	20
C.3.2	Conventional input/output.....	20
C.3.3	Input/output from/to smart devices.....	21
C.3.4	Fieldbus connection to the remote I/O	21
C.3.5	Input validation	21
C.3.6	Special inputs	21
C.3.7	Software requirements	21
C.3.8	Alarm management.....	22
C.3.9	Events management.....	24
C.3.10	Historical archiving.....	25
C.3.11	Trend and statistics management	26
C.3.12	Communication requirements	26
C.3.13	Fieldbus.....	27
C.3.14	Controller network.....	27
C.3.15	Control room network.....	27
C.3.16	External link.....	28
C.3.17	Communication interfaces	28
C.3.18	Communication with ERP system	28
C.3.19	Communication with a manufacturing execution system (MES).....	29
C.3.20	Software simulator	29
C.3.21	Simulator of the control logic	29
C.3.22	On-line debugging.....	29
C.3.23	Simulator of the I/O.....	30
C.3.24	Remote supervisory functions.....	30
C.3.25	Technology and scope of the BCS	30
C.3.26	Basic architecture	30
C.4	Configurability.....	31
C.4.1	System configuration.....	31
C.4.2	On-line configuration.....	32
C.4.3	Off-line configuration.....	32
C.4.4	Configuration in simulation mode.....	32
C.4.5	Graphical resources.....	32
C.5	Flexibility	32
C.5.1	Spare capacity of the system.....	32
C.5.2	Total number of I/O.....	33
C.5.3	Number of tags	33
C.5.4	Number of control loops	34
C.5.5	System scalability	34
C.5.6	System expandability	34
	Bibliography	35
	Figure 1 – General layout of IEC 61069.....	7
	Figure 2 – Functionality.....	9
	Figure 3 – Configuration methods.....	10
	Figure C.1 – Communication networks in a BCS.....	27
	Figure C.2 – Example of a layout drawing.....	31
	Table A.1 – SRD checklist.....	15

INTERNATIONAL ELECTROTECHNICAL COMMISSION

**INDUSTRIAL-PROCESS MEASUREMENT, CONTROL AND AUTOMATION –
EVALUATION OF SYSTEM PROPERTIES FOR
THE PURPOSE OF SYSTEM ASSESSMENT –****Part 3: Assessment of system functionality**

FOREWORD

- 1) The International Electrotechnical Commission (IEC) is a worldwide organization for standardization, comprising all national electrotechnical committees (IEC National Committees). The object of IEC is to promote international co-operation on all questions concerning standardization in the electrical and electronic fields. To this end and in addition to other activities, IEC publishes International Standards, Technical Specifications, Technical Reports, Publicly Available Specifications (PAS) and Guides (hereafter referred to as "IEC Publication(s)"). Their preparation is entrusted to technical committees; any IEC National Committee interested in the subject dealt with may participate in this preparatory work. International, governmental and non-governmental organizations liaising with the IEC also participate in this preparation. IEC collaborates closely with the International Organization for Standardization (ISO) in accordance with the conditions determined by agreement between the two organizations.
- 2) The formal decisions or agreements of IEC on technical matters express, as nearly as possible, an international consensus of opinion on the relevant subjects since each technical committee has representation from all interested IEC National Committees.
- 3) IEC Publications have the form of recommendations for international use and are accepted by IEC National Committees in that sense. While all reasonable efforts are made to ensure that the technical content of IEC Publications is accurate, IEC cannot be held responsible for the way in which they are used or for any misinterpretation by any end user.
- 4) In order to promote international uniformity, IEC National Committees undertake to apply IEC Publications transparently to the maximum extent possible in their national and regional publications. Any divergence between any IEC Publication and the corresponding national or regional publication shall be clearly indicated in the latter.
- 5) IEC itself does not provide any attestation of conformity. Independent certification bodies provide conformity assessment services and, in some areas, issue to IEC marks of conformity. IEC is not responsible for any services carried out by independent certification bodies.
- 6) All users should ensure that they have the latest edition of this publication.
- 7) No liability shall attach to IEC or its directors, employees, servants or agents including individual experts and members of its technical committees and IEC National Committees for any personal injury, property damage or other damage of any nature whatsoever, whether direct or indirect, or for costs (including legal fees) and expenses arising out of the publication, use of, or reliance upon, this IEC Publication or any other IEC Publications.
- 8) Attention is drawn to the normative references cited in this publication. Use of the referenced publications is indispensable for the correct application of this publication.
- 9) Attention is drawn to the possibility that some of the elements of this IEC Publication may be the subject of patent rights. IEC shall not be held responsible for identifying any or all such patent rights.

International Standard IEC 61069-3 has been prepared by subcommittee 65A: System aspects, of IEC technical committee 65: Industrial-process measurement, control and automation.

This second edition cancels and replaces the first edition published in 1996. This edition constitutes a technical revision.

This edition includes the following significant technical changes with respect to the previous edition:

- a) Reorganization of the material of IEC 61069-3:1996 to make the overall set of standards more organized and consistent;
- b) IEC TS 62603-1:2014 has been incorporated into this edition.

The text of this standard is based on the following documents:

FDIS	Report on voting
65A/791/FDIS	65A/800/RVD

Full information on the voting for the approval of this standard can be found in the report on voting indicated in the above table.

This publication has been drafted in accordance with the ISO/IEC Directives, Part 2.

A list of all parts in the IEC 61069 series, published under the general title *Industrial-process measurement, control and automation – Evaluation of system properties for the purpose of system assessment*, can be found on the IEC website.

The committee has decided that the contents of this publication will remain unchanged until the stability date indicated on the IEC website under "<http://webstore.iec.ch>" in the data related to the specific publication. At this date, the publication will be

- reconfirmed,
- withdrawn,
- replaced by a revised edition, or
- amended.

IMPORTANT – The 'colour inside' logo on the cover page of this publication indicates that it contains colours which are considered to be useful for the correct understanding of its contents. Users should therefore print this document using a colour printer.

INTRODUCTION

IEC 61069 deals with the method which should be used to assess system properties of a basic control system (BCS). IEC 61069 consists of the following parts:

- Part 1: Terminology and basic concepts
- Part 2: Assessment methodology
- Part 3: Assessment of system functionality
- Part 4: Assessment of system performance
- Part 5: Assessment of system dependability
- Part 6: Assessment of system operability
- Part 7: Assessment of system safety
- Part 8: Assessment of other system properties

Assessment of a system is the judgement, based on evidence, of the suitability of the system for a specific mission or class of missions.

To obtain total evidence would require complete evaluation (for example under all influencing factors) of all system properties relevant to the specific mission or class of missions.

Since this is rarely practical, the rationale on which an assessment of a system should be based is:

- the identification of the importance of each of the relevant system properties,
- the planning for evaluation of the relevant system properties with a cost-effective dedication of effort to the various system properties.

In conducting an assessment of a system, it is crucial to bear in mind the need to gain a maximum increase in confidence in the suitability of a system within practical cost and time constraints.

An assessment can only be carried out if a mission has been stated (or given), or if any mission can be hypothesized. In the absence of a mission, no assessment can be made; however, evaluations can still be specified and carried out for use in assessments performed by others. In such cases, IEC 61069 can be used as a guide for planning an evaluation and it provides methods for performing evaluations, since evaluations are an integral part of assessment.

In preparing the assessment, it can be discovered that the definition of the system is too narrow. For example, a facility with two or more revisions of the control systems sharing resources, for example a network, should consider issues of co-existence and inter-operability. In this case, the system to be investigated should not be limited to the “new” BCS; it should include both. That is, it should change the boundaries of the system to include enough of the other system to address these concerns.

The part structure and the relationship among the parts of IEC 61069 are shown in Figure 1.

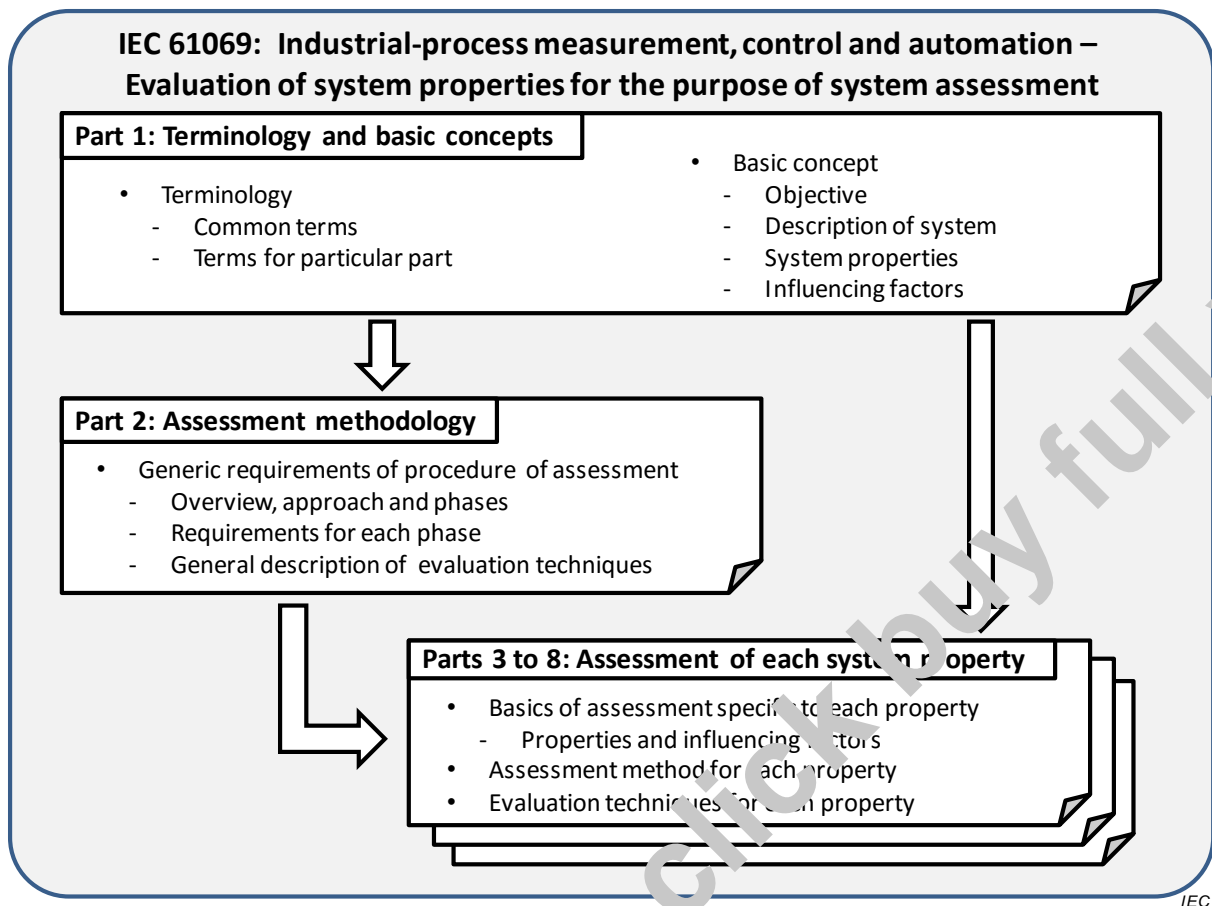


Figure 1 – General layout of IEC 61069

Some example assessment items are integrated in Annex C.

INDUSTRIAL-PROCESS MEASUREMENT, CONTROL AND AUTOMATION – EVALUATION OF SYSTEM PROPERTIES FOR THE PURPOSE OF SYSTEM ASSESSMENT –

Part 3: Assessment of system functionality

1 Scope

This part of IEC 61069:

- specifies the detailed method of the assessment of functionality of a basic control system (BCS) based on the basic concepts of IEC 61069-1 and methodology of IEC 61069-2,
- defines basic categorization of functionality properties,
- describes the factors that influence functionality and which need to be taken into account when evaluating functionality, and
- provides guidance in selecting techniques from a set of options (with references) for evaluating the functionality.

2 Normative references

The following documents, in whole or in part, are normatively referenced in this document and are indispensable for its application. For dated references, only the edition cited applies. For undated references, the latest edition of the referenced document (including any amendments) applies.

IEC 61069-1:—1, *Industrial-process measurement, control and automation – Evaluation of system properties for the purpose of system assessment – Part 1: Terminology and basic concepts*

IEC 61069-2:—2, *Industrial process measurement, control and automation – Evaluation of system properties for the purpose of system assessment – Part 2: Assessment methodology*

3 Terms, definitions, abbreviated terms, acronyms, conventions and symbols

3.1 Terms and definitions

For the purposes of this document, the terms and definitions given in IEC 61069-1 apply.

3.2 Abbreviated terms, acronyms, conventions and symbols

For the purposes of this document, the abbreviated terms, acronyms, conventions and symbols given in IEC 61069-1 apply.

1 Second edition to be published simultaneously with this part of IEC 61069.

2 Second edition to be published simultaneously with this part of IEC 61069.