

# INTERNATIONAL STANDARD

## NORME INTERNATIONALE



**Household and similar electrical appliances – Safety  
Part 2-40: Particular requirements for electrical heat pumps, air-conditioners  
and dehumidifiers**

**Appareils électrodomestiques et analogues – Sécurité –  
Partie 2-40: Exigences particulières pour les pompes à chaleur électriques,  
les climatiseurs et les déshumidificateurs**



## THIS PUBLICATION IS COPYRIGHT PROTECTED

Copyright © 2018 IEC, Geneva, Switzerland

All rights reserved. Unless otherwise specified, no part of this publication may be reproduced or utilized in any form or by any means, electronic or mechanical, including photocopying and microfilm, without permission in writing from either IEC or IEC's member National Committee in the country of the requester. If you have any questions about IEC copyright or have an enquiry about obtaining additional rights to this publication, please contact the address below or your local IEC member National Committee for further information.

Droits de reproduction réservés. Sauf indication contraire, aucune partie de cette publication ne peut être reproduite ni utilisée sous quelque forme que ce soit et par aucun procédé, électronique ou mécanique, y compris la photocopie et les microfilms, sans l'accord écrit de l'IEC ou du Comité national de l'IEC du pays du demandeur. Si vous avez des questions sur le copyright de l'IEC ou si vous désirez obtenir des droits supplémentaires sur cette publication, utilisez les coordonnées ci-après ou contactez le Comité national de l'IEC de votre pays de résidence.

IEC Central Office  
3, rue de Varembe  
CH-1211 Geneva 20  
Switzerland

Tel.: +41 22 919 02 11  
[info@iec.ch](mailto:info@iec.ch)  
[www.iec.ch](http://www.iec.ch)

### About the IEC

The International Electrotechnical Commission (IEC) is the leading global organization that prepares and publishes International Standards for all electrical, electronic and related technologies.

### About IEC publications

The technical content of IEC publications is kept under constant review by the IEC. Please make sure that you have the latest edition, a corrigendum or an amendment might have been published.

#### IEC publications search - [webstore.iec.ch/advsearchform](http://webstore.iec.ch/advsearchform)

The advanced search enables to find IEC publications by a variety of criteria (reference number, text, technical committee,...). It also gives information on projects, replaced and withdrawn publications.

#### IEC Just Published - [webstore.iec.ch/justpublished](http://webstore.iec.ch/justpublished)

Stay up to date on all new IEC publications. Just Published details all new publications released. Available online and once a month by email.

#### IEC Customer Service Centre - [webstore.iec.ch/csc](http://webstore.iec.ch/csc)

If you wish to give us your feedback on this publication or need further assistance, please contact the Customer Service Centre: [sales@iec.ch](mailto:sales@iec.ch).

#### Electropedia - [www.electropedia.org](http://www.electropedia.org)

The world's leading online dictionary on electrotechnology, containing more than 22 000 terminological entries in English and French, with equivalent terms in 16 additional languages. Also known as the International Electrotechnical Vocabulary (IEV) online.

#### IEC Glossary - [std.iec.ch/glossary](http://std.iec.ch/glossary)

67 000 electrotechnical terminology entries in English and French extracted from the Terms and Definitions clause of IEC publications issued since 2002. Some entries have been collected from earlier publications of IEC TC 37, 77, 86 and CISPR.

### A propos de l'IEC

La Commission Electrotechnique Internationale (IEC) est la première organisation mondiale qui élabore et publie des Normes internationales pour tout ce qui a trait à l'électricité, à l'électronique et aux technologies apparentées.

### A propos des publications IEC

Le contenu technique des publications IEC est constamment revu. Veuillez vous assurer que vous possédez l'édition la plus récente, un corrigendum ou amendement peut avoir été publié.

#### Recherche de publications IEC - [webstore.iec.ch/advsearchform](http://webstore.iec.ch/advsearchform)

La recherche avancée permet de trouver des publications IEC en utilisant différents critères (numéro de référence, texte, comité d'études,...). Elle donne aussi des informations sur les projets et les publications remplacées ou retirées.

#### IEC Just Published - [webstore.iec.ch/justpublished](http://webstore.iec.ch/justpublished)

Restez informé sur les nouvelles publications IEC. Just Published détaille les nouvelles publications parues. Disponible en ligne et une fois par mois par email.

#### Service Clients - [webstore.iec.ch/csc](http://webstore.iec.ch/csc)

Si vous désirez nous donner des commentaires sur cette publication ou si vous avez des questions contactez-nous: [sales@iec.ch](mailto:sales@iec.ch).

#### Electropedia - [www.electropedia.org](http://www.electropedia.org)

Le premier dictionnaire d'électrotechnologie en ligne au monde, avec plus de 22 000 articles terminologiques en anglais et en français, ainsi que les termes équivalents dans 16 langues additionnelles. Egalement appelé Vocabulaire Electrotechnique International (IEV) en ligne.

#### Glossaire IEC - [std.iec.ch/glossary](http://std.iec.ch/glossary)

67 000 entrées terminologiques électrotechniques, en anglais et en français, extraites des articles Termes et Définitions des publications IEC parues depuis 2002. Plus certaines entrées antérieures extraites des publications des CE 37, 77, 86 et CISPR de l'IEC.

# INTERNATIONAL STANDARD

## NORME INTERNATIONALE



**Household and similar electrical appliances – Safety –  
Part 2-40: Particular requirements for electrical heat pumps, air-conditioners  
and dehumidifiers**

**Appareils électrodomestiques et analogues – Sécurité –  
Partie 2-40: Exigences particulières pour les pompes à chaleur électriques,  
les climatiseurs et les déshumidificateurs**

INTERNATIONAL  
ELECTROTECHNICAL  
COMMISSION

COMMISSION  
ELECTROTECHNIQUE  
INTERNATIONALE

ICS 23.120

ISBN 978-2-8322-7980-9

**Warning! Make sure that you obtained this publication from an authorized distributor.  
Attention! Veuillez vous assurer que vous avez obtenu cette publication via un distributeur agréé.**

## CONTENTS

FOREWORD.....	4
INTRODUCTION.....	7
1 Scope.....	8
2 Normative references .....	9
3 Terms and definitions .....	10
4 General requirement.....	16
5 General conditions for the tests .....	16
6 Classification.....	17
7 Marking and instructions.....	18
8 Protection against access to live parts.....	23
9 Starting of motor-operated appliances .....	23
10 Power input and current.....	23
11 Heating.....	23
12 Void.....	29
13 Leakage current and electric strength at operating temperature.....	29
14 Transient overvoltages .....	29
15 Moisture resistance .....	29
16 Leakage current and electric strength.....	30
17 Overload protection of transformers and associated circuits .....	31
18 Endurance.....	31
19 Abnormal operation .....	31
20 Stability and mechanical hazards.....	36
21 Mechanical strength .....	36
22 Construction .....	36
23 Internal wiring.....	46
24 Components .....	46
25 Supply connection and external flexible cords .....	47
26 Terminals for external conductors.....	47
27 Provision for earthing .....	47
28 Screws and connections .....	47
29 Clearance, creepage distances and solid insulation .....	48
30 Resistance to heat and fire.....	48
31 Resistance to rusting.....	48
32 Corrosion, oxidation, toxicity and similar hazards.....	48
Annexes .....	54
Annex D (normative) Thermal motor protectors.....	54
Annex I (normative) Motors having basic insulation that is inadequate for the rated voltage of the appliance.....	54
Annex AA (informative) Examples for operating temperatures of the appliance .....	55
Annex BB (normative) Selected information about refrigerants.....	56
Annex CC (informative) Transportation, marking and storage for units that employ flammable refrigerants .....	58

Annex DD (normative) Requirements for operation, service and installation manuals of appliances using flammable refrigerants .....	59
Annex EE (normative) Pressure tests.....	68
Annex FF (normative) Leak simulation tests.....	71
Annex GG (normative) Charge limits, ventilation requirements and requirements for secondary circuits.....	73
Annex HH (informative) Competence of service personnel.....	99
Annex II (Void).....	102
Annex JJ (normative) Allowable opening of relays and similar components to prevent ignition of A2L refrigerants.....	103
Annex KK (normative) Test method for hot surface ignition temperature for A2L.....	105
Annex LL (normative) Refrigerant detection systems for A2L refrigerants .....	109
Annex MM (normative) Refrigerant sensor location confirmation test .....	111
Annex NN (normative) Flame arrest enclosure verification test for A2L refrigerants .....	113
Annex OO (normative) UV radiation conditioning .....	115
Bibliography.....	116
Figure 101 – Example of label for field charged units .....	50
Figure 102 – Arrangement for heating test of appliances with supplementary heater.....	52
Figure 103 – Supply circuit for locked-rotor test of a motor of the single-phase type – Revise as needed for three-phase test.....	53
Figure GG.1 – Unventilated area.....	95
Figure GG.2 – Mechanical ventilation .....	96
Figure GG.3 – Isosceles triangle arrow test gauge.....	96
Figure GG.4 – Measurement of vibration amplitude.....	96
Figure GG.5 – Relevant heights $h_{ins}$ and $h_{rel}$ for calculation of $A_{min}$ and $m_{max}$ .....	97
Figure GG.6 – Airflow direction.....	98
Figure KK.1 – Front view of test apparatus labels .....	105
Figure KK.2 – Test apparatus with dimensions.....	106
Figure KK.3 – Top view of test apparatus.....	107
Table 3 – Temperature limits .....	27
Table 101 – UV-C radiance measurement location.....	49
Table AA.1 – Examples for operating temperatures of the appliance.....	55
Table B.1 – Selected information about refrigerants.....	56
Table DD.1 – Mandatory clauses in each manual.....	59
Table GG.1 – Outline of Annex GG (informative).....	74
Table GG.2 – Circulation airflow .....	78
Table GG.3 – Appliance with packaging.....	83
Table GG.4 – Appliance without packaging.....	83
Table GG.5 – Minimum airflow .....	94

## INTERNATIONAL ELECTROTECHNICAL COMMISSION

**HOUSEHOLD AND SIMILAR ELECTRICAL APPLIANCES – SAFETY –****Part 2-40: Particular requirements for electrical heat pumps,  
air-conditioners and dehumidifiers**

## FOREWORD

- 1) The International Electrotechnical Commission (IEC) is a worldwide organization for standardization comprising all national electrotechnical committees (IEC National Committees). The object of IEC is to promote international co-operation on all questions concerning standardization in the electrical and electronic fields. To this end and in addition to other activities, IEC publishes International Standards, Technical Specifications, Technical Reports, Publicly Available Specifications (PAS) and Guides (hereafter referred to as "IEC Publication(s)"). Their preparation is entrusted to technical committees; any IEC National Committee interested in the subject dealt with may participate in this preparatory work. International, governmental and non-governmental organizations liaising with the IEC also participate in this preparation. IEC collaborates closely with the International Organization for Standardization (ISO) in accordance with conditions determined by agreement between the two organizations.
- 2) The formal decisions or agreements of IEC on technical matters express, as nearly as possible, an international consensus of opinion on the relevant subjects since each technical committee has representation from all interested IEC National Committees.
- 3) IEC Publications have the form of recommendations for international use, and are accepted by IEC National Committees in that sense. While all reasonable efforts are made to ensure that the technical content of IEC Publications is accurate, IEC cannot be held responsible for the way in which they are used or for any misinterpretation by any end user.
- 4) In order to promote international uniformity, IEC National Committees undertake to apply IEC Publications transparently to the maximum extent possible in their national and regional publications. Any divergence between any IEC Publication and the corresponding national or regional publication shall be clearly indicated in the latter.
- 5) IEC itself does not provide any attestation of conformity. Independent certification bodies provide conformity assessment services and, in some areas, accept to IEC marks of conformity. IEC is not responsible for any services carried out by independent certification bodies.
- 6) All users should ensure that they have the latest edition of this publication.
- 7) No liability shall attach to IEC or its directors, employees, servants or agents including individual experts and members of its technical committees and IEC National Committees for any personal injury, property damage or other damage of any nature whatsoever, whether direct or indirect, or for costs (including legal fees) and expenses arising out of the publication, use of, or reliance upon, this IEC Publication or any other IEC Publications.
- 8) Attention is drawn to the normative references cited in this publication. Use of the referenced publications is indispensable for the correct application of this publication.
- 9) Attention is drawn to the possibility that some of the elements of this IEC Publication may be the subject of patent rights. IEC shall not be held responsible for identifying any or all such patent rights.

International Standard IEC 60335-2-40 has been prepared by subcommittee 61D: Appliances for air-conditioning for household and similar purposes, of IEC technical committee 61: Safety of household and similar electrical appliances.

The text of this International Standard is based on the following documents:

FDIS	Report on voting
61D/386/FDIS	61D/391/RVD

Full information on the voting for the approval of this International Standard can be found in the report on voting indicated in the above table.

This sixth edition cancels and replaces the fifth edition published in 2013 and its Amendment 1:2016. This edition constitutes a technical revision.

This edition includes the following significant technical changes with respect to the previous edition:

- Clause 1 – limiting A2L refrigerants to those of a molar mass of more than or equal to 42 kg/kmol;
- Clause 7 – added requirements for A2L refrigerants,
- Clause 7 – added requirement for pre-charge pipe sets, detection systems, ventilation and the resulting charge;
- Clause 7 – added requirements for UV-C systems;
- Clause 7 – added requirements for transcritical refrigerating systems;
- Subclause 19.7 – amended text to match the intention of the subclause;
- Clause 21 – added requirements for transcritical refrigerating systems;
- Subclause 22 – added requirements for A2L refrigerants;
- Subclause 22 – added detection systems;
- Subclause 22 – added new requirements for enhanced tightness refrigerating systems;
- Subclause 22 – added new requirements for UV-C;
- Clause 23 – added new requirements for UV-C; Clause
- Clause 24 – added requirements for transcritical refrigerating systems;
- Subclause 24 – added requirements for detection systems and airflow;
- Clause 32 added new requirements for UV-C;
- Annex BB – revised to add surface temperatures;
- Annex DD – added requirements for A2L refrigerants and amended requirements for flammable refrigerants to exempt A2L refrigerants;
- Annex GG – added requirements for A2L refrigerants;
- Annex GG.1 – amended Table GG.1 and related wording
- Annex GG.7 – added requirement to test;
- Annex GG.8 to GG.13 – new coverage for A2L refrigerants;
- Annex HH – revised to take into account A2L refrigerants;
- Annex JJ – new coverage of allowable opening of relays and similar components to prevent ignition of A2L refrigerants;
- Annex KK – new coverage of test method for hot surface ignition temperature for A2L;
- Annex LL – new coverage of refrigerant detection systems for A2L Refrigerants;
- Annex MM – new coverage of refrigerant sensor location confirmation test;
- Annex NN – new coverage of flame arrest enclosure verification test for A2L refrigerants;
- Annex CC – new coverage of UV radiation conditioning
- Bibliography – added new references.

This publication has been drafted in accordance with the ISO/IEC Directives, Part 2.

This part 2-40 is to be used in conjunction with the latest edition of IEC 60335-1 and its amendments. It was established on the basis of IEC 60335-1:2010, its Amendment 1:2013 and its Amendment 2:2016.

NOTE 1 When “Part 1” is mentioned in this standard, it refers to IEC 60335-1.

This part 2-40 supplements or modifies the corresponding clauses in IEC 60335-1, so as to convert that publication into the IEC standard: Safety requirements for electrical heat pumps, air-conditioners and dehumidifiers.

When a particular subclause of Part 1 is not mentioned in this part 2, that subclause applies as far as is reasonable. When this standard states "addition", "modification" or "replacement", the relevant text in Part 1 is to be adapted accordingly.

NOTE 2 The following numbering system is used:

- subclauses, tables and figures that are numbered starting from 101 are additional to those in Part 1;
- unless notes are in a new subclause or involve notes in Part 1, they are numbered starting from 101, including those in a replaced clause or subclause;
- additional annexes are lettered AA, BB, etc.

NOTE 3 The following print types are used:

- requirements: in roman type;
- *test specifications: in italic type;*
- notes: in small roman type.

Words in **bold** in the text are defined in Clause 3. When a definition concerns an adjective, the adjective and associated noun are also in bold.

The following differences exist in the countries indicated below:

- 6.1: Class 0I appliances are allowed (Japan).
- 11.8: The temperature of the wooden walls in the test casing is limited to 85 °C (Sweden).

A list of all parts of the IEC 60335 series, under the general title: *Household and similar electrical appliances – Safety*, can be found on the IEC website.

The committee has decided that the contents of this document will remain unchanged until the stability date indicated on the IEC website under "<http://webstore.iec.ch>" in the data related to the specific document. At this date, the document will be

- reconfirmed,
- withdrawn,
- replaced by a revised edition, or
- amended.

**IMPORTANT – The 'colour inside' logo on the cover page of this publication indicates that it contains colours which are considered to be useful for the correct understanding of its contents. Users should therefore print this document using a colour printer.**

## INTRODUCTION

It has been assumed in the drafting of this International Standard that the execution of its provisions is entrusted to appropriately qualified and experienced persons.

This standard recognizes the internationally accepted level of protection against hazards such as electrical, mechanical, thermal, fire and radiation of appliances when operated as in normal use taking into account the instructions. It also covers abnormal situations that can be expected in practice.

This standard takes into account the requirements of IEC 60364 as far as possible so that there is compatibility with the wiring rules when the appliance is connected to the supply mains. However, national wiring rules may differ.

If an appliance within the scope of this standard also incorporates functions that are covered by another part 2 of IEC 60335, the relevant part 2 is applied to each function separately, as far as is reasonable. If applicable, the influence of one function on the other is taken into account.

When a part 2 standard does not include additional requirements to cover hazards dealt with in Part 1, Part 1 applies.

NOTE 1 This means that the technical committees responsible for the part 2 standards have determined that it is not necessary to specify particular requirements for the appliance in question over and above the general requirements.

This standard is a product family standard dealing with the safety of appliances and takes precedence over horizontal and generic standards covering the same subject.

NOTE 2 Horizontal and generic standards covering a hazard are not applicable since they have been taken into consideration when developing the general and particular requirements for the IEC 60335 series of standards. For example, in the case of temperature requirements for surfaces on many appliances, generic standards, such as ISO 13732-1 for hot surfaces, are not applicable in addition to Part 1 or part 2 standards.

An appliance that complies with the text of this standard will not necessarily be considered to comply with the safety principles of this standard if, when examined and tested, it is found to have other features that impair the level of safety covered by these requirements.

An appliance employing materials or having forms of construction differing from those detailed in the requirements of this standard may be examined and tested according to the intent of the requirements and, if found to be substantially equivalent, may be considered to comply with the standard.

## HOUSEHOLD AND SIMILAR ELECTRICAL APPLIANCES – SAFETY –

### Part 2-40: Particular requirements for electrical heat pumps, air-conditioners and dehumidifiers

#### 1 Scope

This clause of Part 1 is replaced by the following.

This part of IEC 60335 deals with the safety of electric **heat pumps**, including **sanitary hot water heat pumps**, **air conditioners**, and **dehumidifiers** incorporating motor-compressors and **hydronic fan coils units**, their maximum **rated voltages** being not more than 250 V for single phase appliances and 600 V for all other appliances. **Partial units** are within the scope of this International Standard.

Appliances not intended for normal household use but which nevertheless may be a source of danger to the public, such as appliances intended to be used by laymen in shops, in light industry and on farms, are within the scope of this standard.

The appliances referenced above may consist of one or more factory-made assemblies. If provided in more than one assembly, the separate assemblies are to be used together, and the requirements are based on the use of matched assemblies.

NOTE 101 A definition of 'motor-compressor' is given in IEC 60335-2-34, which includes the statement that the term motor-compressor is used to designate either a hermetic motor-compressor or semi-hermetic motor-compressor.

NOTE 102 Requirements for refrigerating safety are covered by ISO 5149-1, ISO 5149-2, and ISO 5149-3. Requirements for containers intended for storage of hot heated water included in **sanitary hot water heat pumps** are, in addition, covered by IEC 60335-2-21.

This standard does not take into account refrigerants other than group A1, A2L, A2 and A3 as defined by ISO 817 classification. **A2L refrigerants** are limited to those of a molar mass of more than or equal to 42 kg/kmol based on WCF – Worst Case Formulation as specified in ISO 817.

This standard specifies particular requirements for the use of **flammable refrigerants**. Unless specifications are covered by this standard, including the annexes, requirements for refrigerating safety are covered by ISO 5149.

The parts of ISO 5149 of particular concern to this standard are as follows:

- ISO 5149-1:2014, Refrigerating systems and heat pumps – Safety and environmental requirements – Part 1: Definitions, classification and selection criteria.
- ISO 5149-2, Refrigerating systems and heat pumps – Safety and environmental requirements – Part 2: Design, construction, testing, marking and documentation;
- ISO 5149-3:2014, Refrigerating systems and heat pumps – Safety and environmental requirements – Part 3: Installation site.

**Supplementary heaters**, or a provision for their separate installation, are within the scope of this standard, but only heaters which are designed as a part of the appliance package, the controls being incorporated in the appliance.

NOTE 103 Attention is drawn to the fact that