

2021 International Energy Conservation Code®

Date of First Publication: January 2021

First Printing: January 29, 2021

ISBN: 978-1-60983-961-1 (soft cover edition)

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# PREFACE

## Introduction

The *International Energy Conservation Code*® (IECC®) establishes minimum requirements for energy-efficient buildings using prescriptive and performance-related provisions. It is founded on broad-based principles that make possible the use of new materials and new energy-efficient designs. This 2021 edition is fully compatible with all of the International Codes® (I-Codes®) published by the International Code Council® (ICC®), including the *International Building Code*® (IBC®), *International Existing Building Code*® (IEBC®), *International Fire Code*® (IFC®), *International Fuel Gas Code*® (IFGC®), *International Green Construction Code*® (IgCC®), *International Mechanical Code*® (IMC®), *International Plumbing Code*® (IPC®), *International Private Sewage Disposal Code*® (IPSDC®), *International Property Maintenance Code*® (IPMC®), *International Residential Code*® (IRC®), *International Swimming Pool and Spa Code*® (ISPSC®), *International Wildland-Urban Interface Code*® (IWUIC®), *International Zoning Code*® (IZC®) and *International Code Council Performance Code*® (ICPCP®).

This code contains separate provisions for commercial buildings and for low-rise residential buildings (3 stories or less in height above grade). Each set of provisions, IECC—Commercial Provisions and IECC—Residential Provisions, is separately applied to buildings within its respective scope. Each set of provisions is to be treated separately. Each contains a Scope and Administration chapter, a Definitions chapter, a General Requirements chapter, a chapter containing energy efficiency requirements and an Existing Buildings chapter containing provisions applicable to buildings within its scope.

The I-Codes, including the IECC, are used in a variety of ways in both the public and private sectors. Most industry professionals are familiar with the I-Codes as the basis of laws and regulations in communities across the US and in other countries. However, the impact of the codes extends well beyond the regulatory arena, as they are used in a variety of nonregulatory settings, including:

- Voluntary compliance programs such as those promoting sustainability, energy efficiency and disaster resistance.
- The insurance industry, to estimate and manage risk, and as a tool in underwriting and rate decisions.
- Certification and credentialing of individuals involved in the fields of building design, construction and safety.
- Certification of building and construction-related products.
- Uniformed agencies, to guide construction in an array of government-owned properties.
- Facilities management.
- “Best practices” benchmarks for designers and builders, including those who are engaged in projects in jurisdictions that do not have a formal regulatory system or a governmental enforcement mechanism.
- College, university and professional school textbooks and curricula.
- Reference works related to building design and construction.

In addition to the codes themselves, the code development process brings together building professionals on a regular basis. It provides an international forum for discussion and deliberation about building design, construction methods, safety, performance requirements, technological advances and innovative products.

## Development

This 2021 edition presents the code as originally issued, with changes reflected in the 2000 through 2018 editions and further changes approved through the ICC Code Development Process through 2019. A new edition such as this is promulgated every 3 years.

This code is founded on principles intended to establish provisions consistent with the scope of an energy conservation code that adequately conserves energy; provisions that do not unnecessarily increase construction costs; provisions that do not restrict the use of new materials, products or methods of construction; and provisions that do not give preferential treatment to particular types or classes of materials, products or methods of construction.

## Maintenance

The IECC is kept up to date through the review of proposed changes submitted by code enforcement officials, industry representatives, design professionals and other interested parties. Proposed changes are carefully considered through an open code development process in which all interested and affected parties may participate.

The ICC Code Development Process reflects principles of openness, transparency, balance, due process and consensus, the principles embodied in OMB Circular A-119, which governs the federal government's use of private-sector standards. The ICC process is open to anyone; there is no cost to participate, and people can participate without travel cost through the ICC's cloud-based app, cdpACCESS®. A broad cross-section of interests are represented in the ICC Code Development Process. The codes, which are updated regularly, include safeguards that allow for emergency action when required for health and safety reasons.

In order to ensure that organizations with a direct and material interest in the codes have a voice in the process, the ICC has developed partnerships with key industry segments that support the ICC's important public safety mission. Some code development committee members were nominated by the following industry partners and approved by the ICC Board:

- National Association of Home Builders (NAHB)
- National Multifamily Housing Council (NMHC)

The code development committees evaluate and make recommendations regarding proposed changes to the codes. Their recommendations are then subject to public comment and council-wide votes. The ICC's governmental members—public safety officials who have no financial or business interest in the outcome—cast the final votes on proposed changes.

The contents of this work are subject to change through the code development cycles and by any governmental entity that enacts the code into law. For more information regarding the code development process, contact the Codes and Standards Development Department of the ICC.

While the I-Code development procedure is thorough and comprehensive, the ICC, its members and those participating in the development of the codes disclaim any liability resulting from the publication or use of the I-Codes, or from compliance or noncompliance with their provisions. The ICC does not have the power or authority to police or enforce compliance with the contents of this code.

## Code Development Committee Responsibilities (Letter Designations in Front of Section Numbers)

In each code development cycle, proposed changes to this code are considered at the Committee Section Hearings by the applicable International Code Development Committee. The IECC—Commercial Provisions (sections designated with a “C” prior to the section number) are primarily maintained by the Commercial Energy Code Development Committee. The IECC—Residential Provisions (sections designated with an “R” prior to the section number) are maintained by the Residential Energy Code Development Committee. This is designated in the chapter headings by a [CE] and [RE], respectively.

Maintenance responsibilities for the IECC are designated as follows:

[CE] = International Commercial Energy Conservation Code Development Committee

[RE] = International Residential Energy Conservation Code Development Committee

For the development of the 2024 edition of the I-Codes, there will be two groups of code development committees and they will meet in separate years, as shown in the following Code Development Hearings Table.

Code change proposals submitted for code sections that have a letter designation in front of them will be heard by the respective committee responsible for such code sections. Because different committees hold Committee Action Hearings in different years, proposals for several I-Codes will be heard by committees in both the 2021 (Group A) and the 2022 (Group B) code development cycles.

All code change proposals for the IECC are considered in the Group B hearings.

It is very important that anyone submitting code change proposals understands which code development committee is responsible for the section of the code that is the subject of the code change proposal. For further information on the Code Development Committee responsibilities, please visit the ICC website at [www.iccsafe.org/current-code-development-cycle](http://www.iccsafe.org/current-code-development-cycle).

#### CODE DEVELOPMENT HEARINGS

<b>Group A Codes (Heard in 2021, Code Change Proposals Deadline: January 11, 2021)</b>	<b>Group B Codes (Heard in 2022, Code Change Proposals Deadline: January 10, 2022)</b>
<b>International Building Code</b> – Egress (Chapters 10, 11, Appendix E) – Fire Safety (Chapters 7, 8, 9, 14, 26) – General (Chapters 2–6, 12, 27–33, Appendices A, B, C, D, K, N)	Administrative Provisions Chapter 1 of all codes except IECC, IRC and IgCC; IBC Appendix O; the appendices titled “Board of Appeals” for all codes except IECC, IRC, IgCC, ICCPC and IZC; administrative updates to currently referenced standards; and designated definitions)
<b>International Fire Code</b>	<b>International Building Code</b> – Structural (Chapters 15–25, Appendices F, G, H, I, J, L, M)
<b>International Fuel Gas Code</b>	<b>International Existing Building Code</b>
<b>International Mechanical Code</b>	<b>International Energy Conservation Code—Commercial</b>
<b>International Plumbing Code</b>	<b>International Energy Conservation Code—Residential</b> – IECC—Residential – IRC—Energy (Chapter 11)
<b>International Property Maintenance Code</b>	<b>International Green Construction Code</b> (Chapter 1)
<b>International Private Sewage Disposal Code</b>	<b>International Residential Code</b> – IRC—Building (Chapters 1–10, Appendices AE, AF, AH, AJ, AK, AL, AM, AO, AQ, AR, AS, AT, AU, AV, AW)
<b>International Residential Code</b> – IRC—Mechanical (Chapters 12–23) – IRC—Plumbing (Chapters 25–33, Appendices AG, AI, AN, AP)	
<b>International Swimming Pool and Spa Code</b>	
<b>International Wildland-Urban Interface Code</b>	
<b>International Zoning Code</b>	

Note: Proposed changes to the ICCPC will be heard by the code development committee noted in brackets [ ] in the text of the ICCPC.

## Marginal Markings

Solid vertical lines in the margins within the body of the code indicate a technical change from the requirements of the 2018 edition. Deletion indicators in the form of an arrow (➡) are provided in the margin where an entire section, exception or table has been deleted or an item in a list of items or row of a table has been deleted.

A single asterisk [\*] placed in the margin indicates that text or a table has been relocated within the code. A double asterisk [\*\*] placed in the margin indicates that the text or table immediately following it has been relocated there from elsewhere in the code. The following tables indicate such relocations in the 2021 edition of the IECC.

### IECC COMMERCIAL RELOCATIONS

2021 LOCATION	2018 LOCATION
C402.2.1.5	C402.2.1.1
C404.6.1.1	C404.7
C405.2.3.1	C405.2.2.2
C405.2.4	C405.2.2
C501.2	C401.4
C503.2.2.1	C401.2.1

### IECC RESIDENTIAL RELOCATIONS

2021 LOCATION	2018 LOCATION
Table R402.1.2	Table R402.1.4
Table R402.1.3	Table R402.1.2
R403.3.2	R403.3.7
R403.3.3	R403.3.6
R403.3.3.1	R403.3.6.1
R403.3	R403.3.2
R403.3.4.1	R403.3.2.1
R403.3.5	R403.3.3
R403.3.6	R403.3.4
R403.3.7	R403.3.5

## Coordination of the International Codes

The coordination of technical provisions is one of the strengths of the ICC family of model codes. The codes can be used as a complete set of complementary documents, which will provide users with full integration and coordination of technical provisions. Individual codes can also be used in subsets or as stand-alone documents. To make sure that each individual code is as complete as possible, some technical provisions that are relevant to more than one subject area are duplicated in some of the model codes. This allows users maximum flexibility in their application of the I-Codes.

## Italicized Terms

Terms italicized in code text, other than document titles, are defined in Chapter 2. The terms selected to be italicized have definitions that the user should read carefully to better understand the code. Where italicized, the Chapter 2 definition applies. If not italicized, common-use definitions apply.

## Adoption

The ICC maintains a copyright in all of its codes and standards. Maintaining copyright allows the ICC to fund its mission through sales of books, in both print and electronic formats. The ICC welcomes adoption of its codes by jurisdictions that recognize and acknowledge the ICC's copyright in the code, and further acknowledge the substantial shared value of the public/private partnership for code development between jurisdictions and the ICC.

The ICC also recognizes the need for jurisdictions to make laws available to the public. All I-Codes and I-Standards, along with the laws of many jurisdictions, are available for free in a non-downloadable form on the ICC's website. Jurisdictions should contact the ICC at [adoption@icc-safe.org](mailto:adoption@icc-safe.org) to learn how to adopt and distribute laws based on the IECC in a manner that provides necessary access, while maintaining the ICC's copyright.

To facilitate adoption, two sections of this code contain blanks for fill-in information that needs to be supplied by the adopting jurisdiction as part of the adoption legislation. For this code, please see:

Section C101.1. Insert: **[NAME OF JURISDICTION]**.

Section R101.1. Insert: **[NAME OF JURISDICTION]**.

## Effective Use of the International Energy Conservation Code

The IECC is a model code that regulates minimum energy conservation requirements for new buildings. The IECC addresses energy conservation requirements for all aspects of energy use in both commercial and residential construction, including heating and ventilating, lighting, water heating, and power usage for appliances and building systems.

The IECC is a design document. For example, before one constructs a building, the designer must determine the minimum insulation  $R$ -values and fenestration  $U$ -factors for the building exterior envelope. Depending on whether the building is for residential use or for commercial use, the IECC sets forth minimum requirements for exterior envelope insulation, window and door  $U$ -factors and SHGC ratings, duct insulation, lighting and power efficiency, and water distribution insulation.

# ARRANGEMENT AND FORMAT OF THE 2021 IECC

The IECC contains two separate sets of provisions—one for commercial buildings and one for residential buildings. Each set of provisions is applied separately to buildings within their scope. The IECC—Commercial Provisions apply to all buildings except for residential buildings three stories or less in height. The IECC—Residential Provisions apply to detached one- and two-family dwellings and multiple single-family dwellings as well as Group R-2, R-3 and R-4 buildings three stories or less in height. These scopes are based on the definitions of “Commercial building” and “Residential building,” respectively, in Chapter 2 of each set of provisions. Note that the IECC—Commercial Provisions therefore contain provisions for residential buildings four stories or greater in height.

The following table shows how the IECC is divided. The ensuing chapter-by-chapter synopsis details the scope and intent of the provisions of the IECC.

Chapter Topics	
Chapter	Subjects
1–2	Administration and definitions
3	Climate zones and general materials requirements
4	Energy efficiency requirements
5	Existing buildings
6	Referenced standards
CA	Board of appeals
CB	Solar-ready zone
CC	Net zero energy

## Chapter 1 Scope and Administration

Chapters 1 [CE] and 1 [RE] contain provisions for the application, enforcement and administration of subsequent requirements of the code. In addition to establishing the scope of the code, Chapter 1 identifies which buildings and structures come under its purview. Chapter 1 is largely concerned with maintaining “due process of law” in enforcing the energy conservation criteria contained in the body of this code. Only through careful observation of the administrative provisions of the code official reasonably expect to demonstrate that “equal protection under the law” has been provided.

## Chapter 2 Definitions

Terms that are defined in the code are listed alphabetically in Chapters 2 [CE] and 2 [RE]. While a defined term may be used in one chapter or another, the meaning provided in Chapter 2 is applicable throughout the code.

Additional definitions regarding climate zones are found in Tables C301.3 and R301.3. These are not listed in Chapter 2.

Where understanding of a term’s definition is especially key to or necessary for understanding of a particular code provision, the term is shown in italics. This is true only for those terms that have a meaning that is unique to the code. In other words, the generally understood meaning of a term or phrase might not be sufficient or consistent with the meaning prescribed by the code; therefore, it is essential that the code-defined meaning be known.

Guidance regarding tense, gender and plurality of defined terms as well as guidance regarding terms not defined in this code is provided.

## Chapter 3 General Requirements

Chapters 3 [CE] and 3 [RE] specify the climate zones that will serve to establish the exterior design conditions. In addition, Chapter 3 provides interior design conditions that are used as a basis for assumptions in heating and cooling load calculations, and provides basic material requirements for insulation materials and fenestration materials. Climate has a major impact on the energy use of most buildings. The code establishes many requirements such as wall and roof insulation *R*-values, window and door thermal transmittance (*U*-factors) and provisions that affect the mechanical systems based on the climate where the building is located. This chapter contains information that will be used to properly assign the building location into the correct climate zone and is used as the basis for establishing or eliminating requirements.

## Chapter 4 Energy Efficiency

Chapter 4 [CE] contains the energy-efficiency-related requirements for the design and construction of most types of commercial buildings and residential buildings greater than three stories in height above grade. This chapter defines requirements for the portions of the building and building systems that impact energy use in new commercial construction and new residential construction greater than three stories in height, and promotes the effective use of energy. In addition to energy conservation requirements for the building envelope, this chapter contains requirements that impact energy efficiency for the HVAC systems, the electrical systems and the plumbing systems. It should be noted, however, that requirements are contained in other codes that have an impact on energy conservation. For instance, requirements for water flow rates are regulated by the *International Plumbing Code*.

Chapter 4 [RE] contains the energy-efficiency-related requirements for the design and construction of residential buildings regulated under this code. It should be noted that the definition of a residential building in this code is unique for this code. In this code, residential buildings include detached one- and two-family dwellings and multiple single-family dwellings as well as R-2, R-3 or R-4 buildings three stories or less in height. All other buildings, including residential buildings greater than three stories in height, are regulated by the energy conservation requirements in the IECC—Commercial Provisions. The applicable portions of a residential building must comply with the provisions within this chapter for energy efficiency. This chapter defines requirements for the portions of the building and building systems that impact energy use in new residential construction and promote the effective use of energy. The provisions within the chapter promote energy efficiency in the building envelope, the heating and cooling system and the service water-heating system of the building.

## Chapter 5 Existing Buildings

Chapters 5 [CE] and [RE] contain the technical energy efficiency requirements for existing buildings. Chapter 5 provisions address the maintenance of buildings in compliance with the code as well as how additions, alterations, repairs and changes of occupancy need to be addressed from the standpoint of energy efficiency. Specific provisions are provided for historic buildings.

## Chapter 6 Referenced Standards

The code contains numerous references to standards that are used to regulate materials and methods of construction. Chapters 6 [CE] and 6 [RE] list all standards referenced in their respective portions of the code. The standards are part of the code to the extent of the reference to the standard. Compliance with the referenced standard is necessary for compliance with this code. By providing specifically adopted standards, the construction and installation requirements necessary for compliance with the code can be readily determined. The basis for code compliance is, therefore, established and available on an equal basis to the code official, contractor, designer and owner.

Chapter 6 is organized in a manner that makes it easy to locate specific standards. It lists all of the referenced standards, alphabetically, by acronym of the promulgating agency of the standard. Each agency's standards are then listed in either alphabetical or numeric order based on the standard identification. The list also contains the title of the standard; the edition (date) of the standard referenced; any addenda included as part of the ICC adoption; and the section or sections of this code that reference the standard.

## Appendices

The appendices, while not part of the code, can become part of the code when specifically included in the adopting ordinance.

Chapter 1 requires the establishment of a board of appeals to hear appeals regarding determinations made by the code official. Appendices CA and RA provide qualification standards for members of the board as well as operational procedures of such board.

Appendices CB and RB address provisions for solar capacity in new structures.

Appendices CC and RC provide requirements intended bring about net zero annual energy consumption in their respective structures.

## ABBREVIATIONS AND NOTATIONS

The following table contains a list of common abbreviations and units of measurement used in this code. Some of the abbreviations are for terms defined in Chapter 2. Others are terms used in various tables and text of the code.

**Abbreviations and Notations**

AFUE	Annual fuel utilization efficiency
bhp	Brake horsepower (fans)
Btu	British thermal unit
Btu/h × ft <sup>2</sup>	Btu per hour per square foot
C-factor	See Chapter 2—Definitions
CDD	Cooling degree days
cfm	Cubic feet per minute
cfm/ft <sup>2</sup>	Cubic feet per minute per square foot
ci	Continuous insulation
COP	Coefficient of performance
DCV	Demand control ventilation
°C	Degrees Celsius
°F	Degrees Fahrenheit
DWHR	Drain water heat recovery
DX	Direct expansion
$E_c$	Combustion efficiency
$E_v$	Ventilation efficiency
$E_t$	Thermal efficiency
EER	Energy efficiency ratio
EF	Energy factor
ERI	Energy rating index
C-factor	See Chapter 2—Definitions
FDD	Fault detection and diagnostics
FEI	Fan energy index
FL	Full load
ft <sup>2</sup>	Square foot
gpm	Gallons per minute
HDD	Heating degree days
hp	Horsepower
HSPF	Heating seasonal performance factor
HVAC	Heating, ventilating and air conditioning

(continued)

**Abbreviations and Notations—continued**

IEER	Integrated energy efficiency ratio
IPLV	Integrated Part Load Value
Kg/m <sup>2</sup>	Kilograms per square meter
kW	Kilowatt
LPD	Light power density (lighting power allowance)
L/s	Liters per second
Ls	Liner system
m <sup>2</sup>	Square meters
MERV	Minimum efficiency reporting value
NAECA	National Appliance Energy Conservation Act
NPLV	Nonstandard Part Load Value
Pa	Pascal
PF	Projection factor
pcf	Pounds per cubic foot
psf	Pounds per square foot
PTAC	Packaged terminal air conditioner
PTHP	Packaged terminal heat pump
R-value	See Chapter 2—Definitions
SCOP	Sensible coefficient of performance
SEER	Seasonal energy efficiency ratio
SHGC	Solar Heat Gain Coefficient
SPVAC	Single packaged vertical air conditioner
SPVHP	Single packaged vertical heat pump
SRI	Solar reflectance index
SWHF	Service water heat recovery factor
U-factor	See Chapter 2—Definitions
VAV	Variable air volume
VRF	Variable refrigerant flow
VT	Visible transmittance
W	Watts
w.c.	Water column
w.g.	Water gauge

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