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Introduction

This draft standard is based on international discussions in which the UK has taken an active part. Your comments on this draft are invited and will assist in the preparation of the consequent standard. Comments submitted will be reviewed by the relevant BSI committee before sending the consensus UK vote and comments to the international secretariat, which will then decide appropriate action on the draft and the comments received.

If the international standard is approved, it is possible the text will be published as an identical British Standard.

UK Vote

Please indicate whether you consider the UK should submit a negative (with reasons) or positive vote on this draft.

Submission of Comments

- The guidance given below is intended to ensure that all comments receive efficient and appropriate attention by the responsible BSI committee. **Annotated drafts are not acceptable and will be rejected.**
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- All comments submitted should be presented as given in the example below. Further information on submitting comments and how to obtain a blank electronic version of a comment form are available from the BSI website at: <http://drafts.bsigroup.com/>

Template for comments and secretariat observations

Date: xx/xx/20xx	Document: ISO/DIS xxxx
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1	2	(3)	4	5	(6)	(7)
MB	Clause No./ Subclause No./ Annex (e.g. 3.1)	Paragraph/ Figure/ Table/Note	Type of comment	Comment (justification for change) by the MB	Proposed change by the MB	Secretariat observations on each comment submitted
	3.1	Definition 1	ed	Definition is ambiguous and needs clarifying.	Amend to read "...so that the mains connector to which no connection..."	
	6.4	Paragraph 2	te	The use of the UV photometer as an alternative cannot be supported as serious problems have been encountered in its use in the UK.	Delete reference to UV photometer.	

DRAFT INTERNATIONAL STANDARD

ISO/DIS 14001

ISO/TC 207/SC 1

Secretariat: BSI

Voting begins on:
2014-08-28

Voting terminates on:
2014-11-28

Environmental management systems — Requirements with guidance for use

Systèmes de management environnemental — Exigences et lignes directrices pour son utilisation

ICS: 13.020.10

ISO/CEN PARALLEL PROCESSING

This draft has been developed within the International Organization for Standardization (ISO), and processed under the **ISO lead** mode of collaboration as defined in the Vienna Agreement.

This draft is hereby submitted to the ISO member bodies and to the CEN member bodies for a parallel five month enquiry.

Should this draft be accepted, a final draft, established on the basis of comments received, will be submitted to a parallel two-month approval vote in ISO and formal vote in CEN.

To expedite distribution, this document is circulated as received from the committee secretariat. ISO Central Secretariat work of editing and text composition will be undertaken at publication stage.

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Reference number
ISO/DIS 14001:2014(E)

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Contents

Page

Foreword	v
0 Introduction.....	vi
0.1 Background.....	vi
0.2 Aim of an environmental management system.....	vii
0.3 Success factors	vii
0.4 Plan, Do, Check and Act approach.....	vii
0.5 Contents of this International Standard.....	viii
1 Scope.....	1
2 Normative references.....	1
3 Terms and definitions	1
4 Context of the organization.....	6
4.1 Understanding the organization and its context.....	6
4.2 Understanding the needs and expectations of interested parties.....	6
4.3 Determining the scope of the environmental management system.....	6
4.4 Environmental management system.....	7
5 Leadership	7
5.1 Leadership and commitment	7
5.2 Environmental policy	8
5.3 Organizational roles, responsibilities and authorities	8
6 Planning.....	8
6.1 Actions to address risk associated with threats and opportunities	8
6.1.1 General	8
6.1.2 Significant environmental aspects	9
6.1.3 Compliance obligations.....	9
6.1.4 Risk associated with threats and opportunities.....	9
6.1.5 Planning to take action	10
6.2 Environmental objectives and planning to achieve them	10
6.2.1 Environmental objectives.....	10
6.2.2 Planning actions to achieve environmental objectives.....	10
7 Support.....	11
7.1 Resources	11
7.2 Competence	11
7.3 Awareness.....	11
7.4 Communication.....	11
7.4.1 General	11
7.4.2 Internal communication.....	12
7.4.3 External communication.....	12
7.5 Documented information	12
7.5.1 General	12
7.5.2 Creating and updating	12
7.5.3 Control of documented information	13
8 Operation.....	13
8.1 Operational planning and control.....	13
8.2 Emergency preparedness and response.....	14
9 Performance evaluation.....	14
9.1 Monitoring, measurement, analysis and evaluation.....	14
9.1.1 General	14

9.1.2	Evaluation of compliance	15
9.2	Internal audit	15
9.3	Management review	15
10	Improvement	16
10.1	Nonconformity and corrective action	16
10.2	Continual improvement	17
Annex A	(informative) Guidance on the use of this International Standard	18
A.0	General	18
A.1	Scope	18
A.2	Normative references	18
A.3	Terms and definitions	18
A.4	Context of the organization	19
A.4.1	Understanding the context of the organization	19
A.4.2	Understanding the needs and expectations of interested parties	20
A.4.3	Scope of the environmental management system	20
A.4.4	Environmental management system	21
A.5	Leadership	21
A.5.1	Leadership and commitment	21
A.5.2	Environmental policy	21
A.5.3	Organizational roles, responsibilities and authorities	22
A.6	Planning	22
A.6.1	Actions to address risk associated with threats and opportunities	22
A.6.2	Environmental objectives and planning to achieve them	25
A.7	Support	26
A.7.1	Resources	26
A.7.2	Competence	26
A.7.3	Awareness	26
A.7.4	Communication	26
A.7.5	Documented information	27
A.8	Operation	27
A.8.1	Operational planning and control	27
A.8.2	Emergency preparedness and response	28
A.9	Performance evaluation	28
A.9.1	Monitoring, measurement, analysis and evaluation	28
A.9.2	Internal audit	29
A.9.3	Management review	30
A.10	Improvement	30
A.10.1	Nonconformity and corrective action	30
A.10.2	Continual improvement	30
Annex B	(informative) Correspondence between ISO/DIS 14001:2014 and ISO 14001:2004	31
Annex C	(informative) Alphabetical index of terms in Clause 3	34
Bibliography	35

Foreword

ISO (the International Organization for Standardization) is a worldwide federation of national standards bodies (ISO member bodies). The work of preparing International Standards is normally carried out through ISO technical committees. Each member body interested in a subject for which a technical committee has been established has the right to be represented on that committee. International organizations, governmental and non-governmental, in liaison with ISO, also take part in the work. ISO collaborates closely with the International Electrotechnical Commission (IEC) on all matters of electrotechnical standardization.

The procedures used to develop this document and those intended for its further maintenance are described in the ISO/IEC Directives, Part 1. In particular the different approval criteria needed for the different types of ISO documents should be noted. This document was drafted in accordance with the editorial rules of the ISO/IEC Directives, Part 2 (see www.iso.org/directives).

Attention is drawn to the possibility that some of the elements of this document may be the subject of patent rights. ISO shall not be held responsible for identifying any or all such patent rights. Details of any patent rights identified during the development of the document will be in the Introduction and/or on the ISO list of patent declarations received (see www.iso.org/patents).

Any trade name used in this document is information given for the convenience of users and does not constitute an endorsement.

For an explanation on the meaning of ISO specific terms and expressions related to conformity assessment, as well as information about ISO's adherence to the WTO principles in the Technical Barriers to Trade (TBT) see the following URL: [Foreword - Supplementary information](#). The committee responsible for this document is Technical Committee ISO/TC 207, *Environmental management*, Subcommittee SC 1, *Environmental management systems*.

This third edition will cancel and replace the second edition (ISO 14001:2004), which has been technically revised.

NOTE TO THIS TEXT (which will not be included in the published International Standard):

This text has been prepared using the a high level structure, identical core text, and common terms with core definitions, designed to benefit users implementing multiple ISO management system standards, as set out in Annex SL, Appendix 2 of the ISO/IEC Directives, Part 1, Consolidated ISO Supplement, 2014.

The text of Annex SL is shown in the main body of the text (Clauses 1 to 10) by the use of black font. All other text is shown in blue font. This is only to facilitate analysis and will not be incorporated in the final version of ISO 14001.

2 0 Introduction

3 0.1 Background

4 Achieving a balance between environmental, social and economic sub-systems within the global system is
5 considered essential in order to meet the needs of the present without compromising the ability of future
6 generations to meet their needs. This concept of the 'three pillars' of sustainability is the goal of sustainable
7 development.

8 Societal expectations for sustainable development, transparency and accountability have evolved within the
9 context of increasingly stringent legislation, growing pressures on the environment from pollution, and the
10 inefficient use of resources, management of waste, climate change and degradation of eco-systems and
11 biodiversity.

12 This has led organizations to adopt a systematic approach to environmental management by implementing
13 environmental management systems with the aim to contribute to the 'environmental pillar' of sustainability.

14 0.2 Aim of an environmental management system

15 The purpose of this International Standard is to provide organizations with a systematic framework to protect
16 the environment and respond to changing environmental conditions in balance with social economic needs. It
17 does so by specifying requirements for an environmental management system that enables an organization to
18 enhance environmental performance by:

- 19 — developing and implementing an environmental policy and objectives;
- 20 — identifying aspects of its activities, products and services that can result in significant environmental
21 impacts;
- 22 — establishing systematic processes which consider its context, and take into account its significant
23 environmental aspects, risk associated with threats and opportunities and its compliance obligations;
- 24 — increasing awareness of its relationship with the environment;
- 25 — establishing operational controls to manage its significant environmental aspects and compliance
26 obligations;
- 27 — evaluating environmental performance and taking actions, as necessary.

28 A systematic approach to environmental management can provide top management with information to build
29 success over the long term and create options for contributing to sustainable development by:

- 30 — protecting the environment by preventing or reducing adverse impacts on the environment;
- 31 — mitigating the potential adverse impact of environmental conditions on the organization;
- 32 — assisting in conforming to compliance obligations;
- 33 — enhancing environmental performance;
- 34 — controlling or influencing the way the organization's products and services are designed, manufactured,
35 distributed, consumed and disposed by using a life cycle perspective that can prevent environmental
36 burdens from being inadvertently shifted elsewhere within the cycle;
- 37 — achieving financial and operational benefits that can result from implementing environmentally sound
38 alternatives that strengthen the organization's market position;

39 — communicating environmental information to relevant interested parties.

40 **0.3 Success factors**

41 The success of an environmental management system depends on commitment from all levels and functions
42 of the organization, led by top management. They can leverage opportunities to reduce or eliminate
43 environmental impacts, particularly those with strategic and competitive implications. Top management can
44 effectively address these opportunities by integrating environmental management into its business processes,
45 strategy and decision making, aligning them with other business priorities, and incorporating environmental
46 governance into its overall management system. Demonstration of successful implementation of this
47 International Standard can be used to assure interested parties that an appropriate environmental
48 management system is in place.

49 Adoption of this International Standard, however, will not in itself guarantee optimal environmental outcomes.
50 Two organizations can carry out similar activities but may have different compliance obligations,
51 environmental policy commitments, environmental technologies in use and environmental performance goals,
52 yet both can conform to the requirements of this International Standard.

53 The level of detail and complexity, the extent of documentation and the resources needed for an
54 environmental management system will depend on a number of factors, such as the organization's context, its
55 size and location, its compliance obligations, the scope of the system, and the nature of its activities, products
56 and services, including its environmental aspects and potential impacts.

57 **0.4 Plan, Do, Check and Act approach**

58 The basis for the approach underlying an environmental management system is founded on the Shewhart
59 concept of Plan, Do, Check and Act (PDCA) made popular by Deming. The PDCA model demonstrates an
60 iterative process used by organizations to achieve continual improvement. It can be applied to a management
61 system and to each of its individual elements. It can be briefly described as follows.

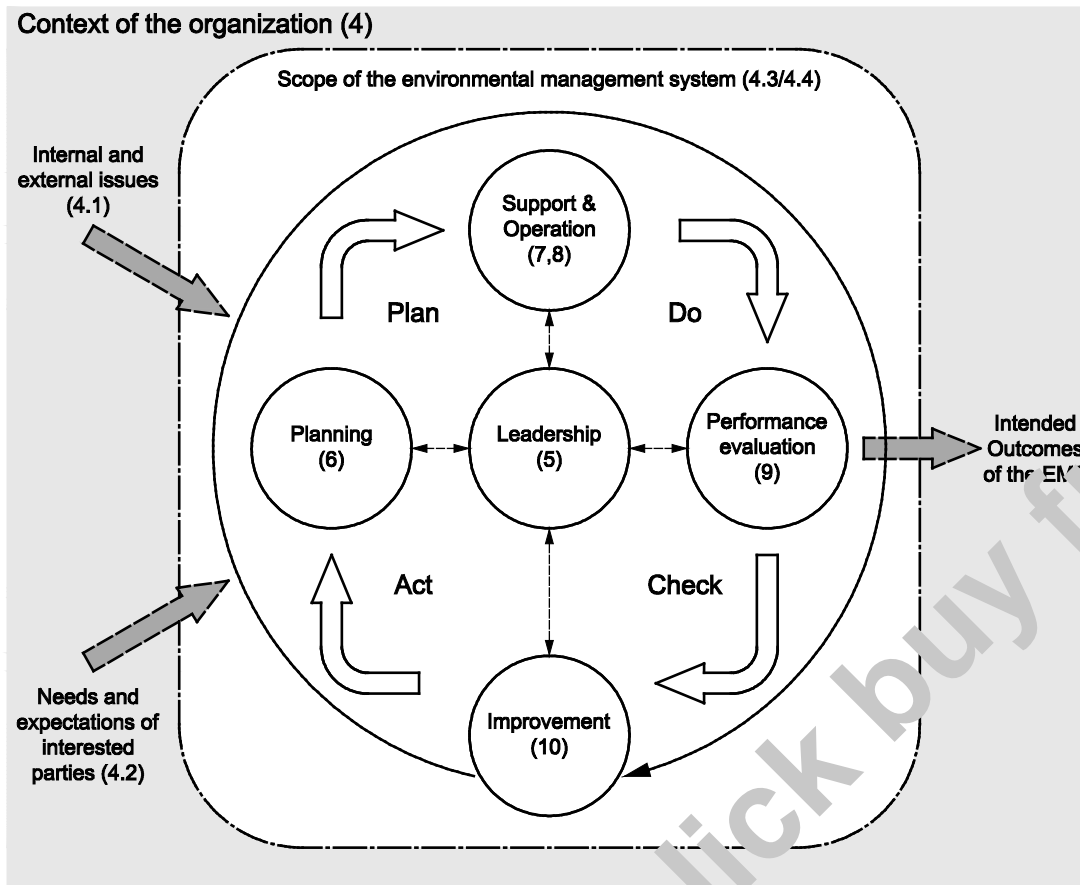
62 — Plan: establish objectives and processes necessary to deliver results in accordance with the
63 organization's policy.

64 — Do: implement the processes as planned.

65 — Check: monitor and measure processes against the policy, including its commitments, objectives and
66 operational controls, and report the results.

67 — Act: take actions to continually improve.

68 This International Standard incorporates the PDCA concept into a new framework, as shown in Figure 1.



69

70

Figure 1 — Environmental management system model for this International Standard

71 0.5 Contents of this International Standard

72 This International Standard includes revisions to meet environmental challenges facing organizations and
 73 provide value to both new and existing users. It also includes revisions to conform to ISO's requirements for
 74 management system standards¹⁾. These requirements include a high level structure, identical core text, and
 75 common terms with core definitions, designed to benefit users implementing multiple ISO management
 76 system standards.

77 The body of this International Standard, Clauses 1 through 10, contains the requirements used to assess
 78 conformity. Annex A provides informative explanations to prevent misinterpretation of ISO/DIS 14001:2014
 79 requirements. Annex B identifies broad technical correspondence between the previous edition of this
 80 International Standard (ISO 14001:2004) and this edition. Implementation guidance on environmental
 81 management systems is included in ISO 14004²⁾.

1) See the ISO/IEC Directives, Part 1, Consolidated ISO Supplement, Procedures specific to ISO, Fifth edition, 2014, Annex SL, Appendices 2 and 3.

2) Revision of ISO 14004 is ongoing.

82 Environmental management systems — Requirements with 83 guidance for use

84 1 Scope

85 This International Standard specifies the requirements of an environmental management system for
86 organizations seeking to establish, implement, maintain and continually improve a framework with the aim to
87 manage its environmental responsibilities in a manner that contributes to the 'environmental pillar' of
88 sustainability.

89 The intended outcomes of an environmental management system provide value for the environment, the
90 organization and its interested parties. Consistent with the organization's environmental policy, the intended
91 outcomes of an environmental management system include:

92 — enhancement of environmental performance;

93 — conforming to compliance obligations;

94 — fulfilment of environmental objectives.

95 This International Standard is applicable to any organization regardless of size, type and nature and applies to
96 the environmental aspects that the organization determines it can either control or can influence considering a
97 life cycle perspective. It does not state specific environmental performance criteria, nor does it increase or
98 change an organization's legal obligations.

99 This International Standard can be used in whole or in part to improve environmental management, but all the
100 requirements are intended to be incorporated into an environmental management system and fulfilled, without
101 exclusion, if an organization claims it complies with this International Standard.

102 2 Normative references

103 No normative references are cited. This clause is included to maintain clause numbering alignment with other
104 ISO management system standards.

105 3 Terms and definitions

106 For the purposes of this document, the following terms and definitions apply.

107 3.1

108 organization

109 person or group of people that has its own functions with responsibilities, authorities and relationships to
110 achieve its *objectives* (3.16)

111 Note 1 to entry: The concept of organization includes, but is not limited to sole-trader, company, corporation, firm,
112 enterprise, authority, partnership, charity or institution, or part or combination thereof, whether incorporated or not, public
113 or private.