

SECTION XIII

2023

ASME Boiler and
Pressure Vessel Code
An International Code

**Rules for Overpressure
Protection**

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AN INTERNATIONAL CODE

2023 ASME Boiler & Pressure Vessel Code

2023 Edition

July 1, 2023

XIII **RULES FOR OVERPRESSURE PROTECTION**

ASME Boiler and Pressure Vessel Committee
on Overpressure Protection



The American Society of
Mechanical Engineers

Two Park Avenue • New York, NY • 10016 USA

Date of Issuance: July 1, 2023

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Library of Congress Catalog Card Number: 56-3934

Adopted by the Council of The American Society of Mechanical Engineers, 1914; latest edition 2023.

The American Society of Mechanical Engineers
Two Park Avenue, New York, NY 10016-5990

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FOREWORD*

In 1911, The American Society of Mechanical Engineers established the Boiler and Pressure Vessel Committee to formulate standard rules for the construction of steam boilers and other pressure vessels. In 2009, the Boiler and Pressure Vessel Committee was superseded by the following committees:

- (a) Committee on Power Boilers (I)
- (b) Committee on Materials (II)
- (c) Committee on Construction of Nuclear Facility Components (III)
- (d) Committee on Heating Boilers (IV)
- (e) Committee on Nondestructive Examination (V)
- (f) Committee on Pressure Vessels (VIII)
- (g) Committee on Welding, Brazing, and Fusing (IX)
- (h) Committee on Fiber-Reinforced Plastic Pressure Vessels (X)
- (i) Committee on Nuclear Inservice Inspection (XI)
- (j) Committee on Transport Tanks (XII)
- (k) Committee on Overpressure Protection (XIII)
- (l) Technical Oversight Management Committee (TOMC)

Where reference is made to “the Committee” in this Foreword, each of these committees is included individually and collectively.

The Committee’s function is to establish rules of safety relating to pressure integrity, which govern the construction** of boilers, pressure vessels, transport tanks, and nuclear components, and the inservice inspection of nuclear components and transport tanks. The Committee also interprets these rules when questions arise regarding their intent. The technical consistency of the Sections of the Code and coordination of standards development activities of the Committees is supported and guided by the Technical Oversight Management Committee. This Code does not address other safety issues relating to the construction of boilers, pressure vessels, transport tanks, or nuclear components, or the inservice inspection of nuclear components or transport tanks. Users of the Code should refer to the pertinent codes, standards, laws, regulations, or other relevant documents for safety issues other than those relating to pressure integrity. Except for Sections XI and XII, and with a few other exceptions, the rules do not, of practical necessity, reflect the likelihood and consequences of deterioration in service related to specific service fluids or external operating environments. In formulating the rules, the Committee considers the needs of users, manufacturers, and inspectors of pressure vessels. The objective of the rules is to afford reasonably certain protection of life and property, and to provide a margin for deterioration in service to give a reasonably long, safe period of usefulness. Advancements in design and materials and evidence of experience have been recognized.

This Code contains mandatory requirements, specific prohibitions, and nonmandatory guidance for construction activities and inservice inspection and testing activities. The Code does not address all aspects of these activities and those aspects that are not specifically addressed should not be considered prohibited. The Code is not a handbook and cannot replace education, experience, and the use of engineering judgment. The phrase *engineering judgment* refers to technical judgments made by knowledgeable engineers experienced in the application of the Code. Engineering judgments must be consistent with Code philosophy, and such judgments must never be used to overrule mandatory requirements or specific prohibitions of the Code.

The Committee recognizes that tools and techniques used for design and analysis change as technology progresses and expects engineers to use good judgment in the application of these tools. The designer is responsible for complying with Code rules and demonstrating compliance with Code equations when such equations are mandatory. The Code neither requires nor prohibits the use of computers for the design or analysis of components constructed to the requirements of the Code. However, designers and engineers using computer programs for design or analysis are cautioned that they are responsible for all technical assumptions inherent in the programs they use and the application of these programs to their design.

* The information contained in this Foreword is not part of this American National Standard (ANS) and has not been processed in accordance with ANSI’s requirements for an ANS. Therefore, this Foreword may contain material that has not been subjected to public review or a consensus process. In addition, it does not contain requirements necessary for conformance to the Code.

** *Construction*, as used in this Foreword, is an all-inclusive term comprising materials, design, fabrication, examination, inspection, testing, certification, and overpressure protection.

The rules established by the Committee are not to be interpreted as approving, recommending, or endorsing any proprietary or specific design, or as limiting in any way the manufacturer's freedom to choose any method of design or any form of construction that conforms to the Code rules.

The Committee meets regularly to consider revisions of the rules, new rules as dictated by technological development, Code Cases, and requests for interpretations. Only the Committee has the authority to provide official interpretations of this Code. Requests for revisions, new rules, Code Cases, or interpretations shall be addressed to the Secretary in writing and shall give full particulars in order to receive consideration and action (see Submittal of Technical Inquiries to the Boiler and Pressure Vessel Standards Committees). Proposed revisions to the Code resulting from inquiries will be presented to the Committee for appropriate action. The action of the Committee becomes effective only after confirmation by ballot of the Committee and approval by ASME. Proposed revisions to the Code approved by the Committee are submitted to the American National Standards Institute (ANSI) and published at <http://go.asme.org/BPVCPublicReview> to invite comments from all interested persons. After public review and final approval by ASME, revisions are published at regular intervals in Editions of the Code.

The Committee does not rule on whether a component shall or shall not be constructed to the provisions of the Code. The scope of each Section has been established to identify the components and parameters considered by the Committee in formulating the Code rules.

Questions or issues regarding compliance of a specific component with the Code rules are to be directed to the ASME Certificate Holder (Manufacturer). Inquiries concerning the interpretation of the Code are to be directed to the Committee. ASME is to be notified should questions arise concerning improper use of the ASME Single Certification Mark.

When required by context in this Section, the singular shall be interpreted as the plural, and vice versa, and the feminine, masculine, or neuter gender shall be treated as such other gender as appropriate.

The words "shall," "should," and "may" are used in this Standard as follows:

- *Shall* is used to denote a requirement.
- *Should* is used to denote a recommendation.
- *May* is used to denote permission, neither a requirement nor a recommendation.

STATEMENT OF POLICY ON THE USE OF THE ASME SINGLE CERTIFICATION MARK AND CODE AUTHORIZATION IN ADVERTISING

ASME has established procedures to authorize qualified organizations to perform various activities in accordance with the requirements of the ASME Boiler and Pressure Vessel Code. It is the aim of the Society to provide recognition of organizations so authorized. An organization holding authorization to perform various activities in accordance with the requirements of the Code may state this capability in its advertising literature.

Organizations that are authorized to use the ASME Single Certification Mark for marking items or constructions that have been constructed and inspected in compliance with the ASME Boiler and Pressure Vessel Code are issued Certificates of Authorization. It is the aim of the Society to maintain the standing of the ASME Single Certification Mark for the benefit of the users, the enforcement jurisdictions, and the holders of the ASME Single Certification Mark who comply with all requirements.

Based on these objectives, the following policy has been established on the usage in advertising of facsimiles of the ASME Single Certification Mark, Certificates of Authorization, and reference to Code construction. The American Society of Mechanical Engineers does not “approve,” “certify,” “rate,” or “endorse” any item, construction, or activity and there shall be no statements or implications that might so indicate. An organization holding the ASME Single Certification Mark and/or a Certificate of Authorization may state in advertising literature that items, constructions, or activities “are built (produced or performed) or activities conducted in accordance with the requirements of the ASME Boiler and Pressure Vessel Code,” or “meet the requirements of the ASME Boiler and Pressure Vessel Code.” An ASME corporate logo shall not be used by any organization other than ASME.

The ASME Single Certification Mark shall be used only for stamping and nameplates as specifically provided in the Code. However, facsimiles may be used for the purpose of fostering the use of such construction. Such usage may be by an association or a society, or by a holder of the ASME Single Certification Mark who may also use the facsimile in advertising to show that clearly specified items will carry the ASME Single Certification Mark.

STATEMENT OF POLICY ON THE USE OF ASME MARKING TO IDENTIFY MANUFACTURED ITEMS

The ASME Boiler and Pressure Vessel Code provides rules for the construction of boilers, pressure vessels, and nuclear components. This includes requirements for materials, design, fabrication, examination, inspection, and stamping. Items constructed in accordance with all of the applicable rules of the Code are identified with the ASME Single Certification Mark described in the governing Section of the Code.

Markings such as “ASME,” “ASME Standard,” or any other marking including “ASME” or the ASME Single Certification Mark shall not be used on any item that is not constructed in accordance with all of the applicable requirements of the Code.

Items shall not be described on ASME Data Report Forms nor on similar forms referring to ASME that tend to imply that all Code requirements have been met when, in fact, they have not been. Data Report Forms covering items not fully complying with ASME requirements should not refer to ASME or they should clearly identify all exceptions to the ASME requirements.

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(23)

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January 1, 2023

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CORRESPONDENCE WITH THE COMMITTEE

General

ASME codes and standards are developed and maintained by committees with the intent to represent the consensus of concerned interests. Users of ASME codes and standards may correspond with the committees to propose revisions or cases, report errata, or request interpretations. Correspondence for this Section of the ASME Boiler and Pressure Vessel Code (BPVC) should be sent to the staff secretary noted on the Section's committee web page, accessible at <https://go.asme.org/CSCcommittees>.

NOTE: See ASME BPVC Section II, Part D for guidelines on requesting approval of new materials. See Section II, Part C for guidelines on requesting approval of new welding and brazing materials ("consumables").

Revisions and Errata

The committee processes revisions to this Code on a continuous basis to incorporate changes that appear necessary or desirable as demonstrated by the experience gained from the application of the Code. Approved revisions will be published in the next edition of the Code.

In addition, the committee may post errata and Special Notices at <http://go.asme.org/BPVCerrata>. Errata and Special Notices become effective on the date posted. Users can register on the committee web page to receive e-mail notifications of posted errata and Special Notices.

This Code is always open for comment, and the committee welcomes proposals for revisions. Such proposals should be as specific as possible, citing the paragraph number(s), the proposed wording, and a detailed description of the reasons for the proposal, including any pertinent background information and supporting documentation.

Cases

(a) The most common applications for cases are

- (1) to permit early implementation of a revision based on an urgent need
- (2) to provide alternative requirements

(3) to allow users to gain experience with alternative or potential additional requirements prior to incorporation directly into the Code

(4) to permit use of a new material or process

(b) Users are cautioned that not all jurisdictions or owners automatically accept cases. Cases are not to be considered as approving, recommending, certifying, or endorsing any proprietary or specific design, or as limiting in any way the freedom of manufacturers, constructors, or owners to choose any method of design or any form of construction that conforms to the Code.

(c) The committee will consider proposed cases concerning the following topics only:

- (1) equipment to be marked with the ASME Single Certification Mark, or
- (2) equipment to be constructed as a repair/replacement activity under the requirements of Section XI

(d) A proposed case shall be written as a question and reply in the same format as existing cases. The proposal shall also include the following information:

- (1) a statement of need and background information
- (2) the urgency of the case (e.g., the case concerns a project that is underway or imminent)
- (3) the Code Section and the paragraph, figure, or table number(s) to which the proposed case applies
- (4) the edition(s) of the Code to which the proposed case applies

(e) A case is effective for use when the public review process has been completed and it is approved by the cognizant supervisory board. Cases that have been approved will appear in the next edition or supplement of the Code Cases books, "Boilers and Pressure Vessels" or "Nuclear Components." Each Code Cases book is updated with seven Supplements. Supplements will be sent or made available automatically to the purchasers of the Code Cases books until the next edition

of the Code. Annulments of Code Cases become effective six months after the first announcement of the annulment in a Code Case Supplement or Edition of the appropriate Code Case book. The status of any case is available at <http://go.asme.org/BPVCCDatabase>. An index of the complete list of Boiler and Pressure Vessel Code Cases and Nuclear Code Cases is available at <http://go.asme.org/BPVCC>.

Interpretations

(a) Interpretations clarify existing Code requirements and are written as a question and reply. Interpretations do not introduce new requirements. If a revision to resolve conflicting or incorrect wording is required to support the interpretation, the committee will issue an intent interpretation in parallel with a revision to the Code.

(b) Upon request, the committee will render an interpretation of any requirement of the Code. An interpretation can be rendered only in response to a request submitted through the online Interpretation Submittal Form at <http://go.asme.org/InterpretationRequest>. Upon submitting the form, the inquirer will receive an automatic e-mail confirming receipt.

(c) ASME does not act as a consultant for specific engineering problems or for the general application or understanding of the Code requirements. If, based on the information submitted, it is the opinion of the committee that the inquirer should seek assistance, the request will be returned with the recommendation that such assistance be obtained. Inquirers may track the status of their requests at <http://go.asme.org/Interpretations>.

(d) ASME procedures provide for reconsideration of any interpretation when or if additional information that might affect an interpretation is available. Further, persons aggrieved by an interpretation may appeal to the cognizant ASME committee or subcommittee. ASME does not “approve,” “certify,” “rate,” or “endorse” any item, construction, proprietary device, or activity.

(e) Interpretations are published in the ASME Interpretations Database at <http://go.asme.org/Interpretations> as they are issued.

Committee Meetings

The ASME BPVC committees regularly hold meetings that are open to the public. Persons wishing to attend any meeting should contact the secretary of the applicable committee. Information on future committee meetings can be found at <http://go.asme.org/BCW>.

SUMMARY OF CHANGES

Changes listed below are identified on the pages by a margin note, **(23)**, placed next to the affected area.

<i>Page</i>	<i>Location</i>	<i>Change</i>
viii	List of Sections	(1) Under Section III, Division 4 added (2) Title of Section XI and subtitle of Section XI, Division 2 revised (3) Information on interpretations and Code cases moved to “Correspondence With the Committee”
xii	Personnel	Updated
xxxiv	Correspondence With the Committee	Added (replaces “Submittal of Technical Inquiries to the Boiler and Pressure Vessel Standards Committees”)
xxxvii	Cross-Referencing in the ASME BPVC	Updated
5	Table 2.1-1	Note (9) revised
7	3.1.2	Subparagraph (b) revised
9	3.3.2	Subparagraph (d) revised
10	3.4.2.3	Subparagraphs (a) and (b) revised
11	3.5	Subparagraphs (b) and (c) added and subsequent subparagraphs redesignated
12	3.6.2	Subparagraph (b) revised
13	Table 3.6.3.1-2	Last UV row and Note (3) added
13	3.6.4	Subparagraph (b) revised
14	3.9	Subparagraph (e)(5)(-b) revised
17	4.2	Subparagraph (d) added
19	4.7.3	Subparagraph (g) revised
20	5.2	Subparagraph (j) revised
44	10.7	Subparagraph (b) revised
50	I-2	Definitions of <i>Assembler</i> , <i>Manufacturer</i> , and <i>Material Test Report</i> revised
58	III-2.11	Revised in its entirety
58	III-2.13	Subparagraph (d) revised
74	Table C-2-1	Instructions for reference number (17) revised
77	Table C-2-2	Instructions for reference number (18) revised
78	Form K-4	“Certification of Shop Compliance” editorially revised
79	Table C-2-3	Instructions for reference number (18) revised
80	Form K-5	“Certification of Shop Compliance” editorially revised
81	Table C-2-4	Instructions for reference number (17) revised
84	Table C-2-5	Instructions for reference number (18) revised

CROSS-REFERENCING IN THE ASME BPVC

(23)

Paragraphs within the ASME BPVC may include subparagraph breakdowns, i.e., nested lists. The following is a guide to the designation and cross-referencing of subparagraph breakdowns:

(a) Hierarchy of Subparagraph Breakdowns

- (1) First-level breakdowns are designated as (a), (b), (c), etc.
- (2) Second-level breakdowns are designated as (1), (2), (3), etc.
- (3) Third-level breakdowns are designated as (-a), (-b), (-c), etc.
- (4) Fourth-level breakdowns are designated as (-1), (-2), (-3), etc.
- (5) Fifth-level breakdowns are designated as (+a), (+b), (+c), etc.
- (6) Sixth-level breakdowns are designated as (+1), (+2), etc.

(b) Cross-References to Subparagraph Breakdowns. Cross-references within an alphanumerically designated paragraph (e.g., PG-1, UIG-56.1, NCD-3223) do not include the alphanumeric designator of that paragraph. The crossreferences to subparagraph breakdowns follow the hierarchy of the designators under which the breakdown appears. The following examples show the format:

- (1) If X.1(c)(1)(-a) is referenced in X.1(c)(1), it will be referenced as (-a).
- (2) If X.1(c)(1)(-a) is referenced in X.1(c)(2), it will be referenced as (1)(-a).
- (3) If X.1(c)(1)(-a) is referenced in X.1(e)(1), it will be referenced as (c)(1)(-a).
- (4) If X.1(c)(1)(-a) is referenced in X.2(c)(2), it will be referenced as X.1(c)(1)(-a).

PART 1

GENERAL REQUIREMENTS

1.1 SCOPE

(a) The rules of this Section provide the requirements for the overpressure protection of pressurized equipment such as boilers, pressure vessels, and piping systems. Overpressure protection methods include

(1) releasing excess pressure by use of pressure relief devices

(2) applying controls to prevent an increase in pressure (overpressure protection by system design)

(3) using a combination of (1) and (2)

(b) The referencing Code or Standard specifies the objectives of the overpressure protection and acceptable methods to achieve it. Where a pressure-releasing overpressure protection method is specified, the referencing Code or Standard identifies the permissible devices based on this Section's rules for devices to be marked with the Certification Mark and appropriate Designator (e.g., HV, UV, UD).

(c) This Section includes

(1) requirements relating to pressure integrity and performance governing the construction and installation of pressure relief devices. Construction requirements include materials, design, manufacture, examination, inspection, production testing, and certification. Installation requirements address only the variables that affect the performance and pressure-relieving function of the devices, including the inlet and outlet piping.

(2) requirements for conducting tests and analyses to determine the performance of pressure relief devices. These include rules for device-type certification of relieving capacity and/or flow resistance and production testing for new pressure relief devices.

(3) requirements for the use of overpressure protection by system design.

(4) Mandatory Appendices that address specific subjects not covered elsewhere in this Section.

(5) Nonmandatory Appendices that provide information and suggested best practices.

(d) This Section contains requirements, specific prohibitions, and nonmandatory guidance for the design, materials, manufacture, examination, inspection, testing, assembly, installation, and certification of pressure relief devices. The Code does not address all aspects of overpressure protection, and those aspects that are not specifically addressed should not be considered prohibited. In these situations, engineering judgment shall be

applied in a manner consistent with the philosophy of this Section, and such judgments shall never be used to overrule mandatory requirements or specific prohibitions of this Section or the referencing Code or Standard.

(e) The scope of this Section has been established to identify the devices and methods considered in formulating the rules given in this Section. Laws or regulations issued by municipal, state, provincial, federal, or other enforcement or regulatory bodies having jurisdiction at the location of an installation establish the mandatory applicability of the Code rules, in whole or in part, within their jurisdiction.

(f) In relation to the geometry of pressure-containing and pressure-retaining parts of a device, the scope of this Section shall begin at the inlet connection of the device and end at the outlet connection of the device. The inlet and outlet connections are defined as

(1) the first circumferential joint for welded connections, excluding the connecting weld

(2) the first threaded joint for screwed connections

(3) the face of the flange for bolted, flanged connections, excluding the bolting

(4) the first sealing surface for proprietary connections or fittings

1.2 ORGANIZATION

(a) This Section is divided into 13 Parts.

(1) **Part 1** contains the scope and general requirements of this Section.

(2) **Part 2** contains the responsibilities for providing overpressure protection.

(3) **Part 3** contains requirements for the design, materials, inspection, testing, welding, and marking of pressure relief valves.

(4) **Part 4** contains requirements for the design, materials, inspection, testing, welding, and marking of rupture disk devices.

(5) **Part 5** contains requirements for the design, materials, inspection, testing, welding, and marking of pin devices.

(6) **Part 6** contains requirements for the design, materials, inspection, testing, welding, and marking of spring-actuated non-reclosing devices.

(7) **Part 7** contains requirements for the design, materials, inspection, testing, welding, and marking of temperature and pressure relief valves.