

SECTION III
Rules for Construction of
Nuclear Facility Components

2021 ASME Boiler and
Pressure Vessel Code
An International Code

Subsection NCA
General Requirements for
Division 1 and Division 2

ACI Standard 359-21



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AN INTERNATIONAL CODE

2021 ASME Boiler & Pressure Vessel Code

2021 Edition

July 1, 2021

(ACI Standard 359-21)



RULES FOR CONSTRUCTION OF NUCLEAR FACILITY COMPONENTS

Subsection NCA

General Requirements for Division 1 and Division 2

ASME Boiler and Pressure Vessel Committee
on Construction of Nuclear Facility Components

ACI-ASME Joint Technical Committee



The American Society of
Mechanical Engineers

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TABLE OF CONTENTS

List of Sections	v
Foreword	vii
Statement of Policy on the Use of the ASME Single Certification Mark and Code Authorization in Advertising	ix
Statement of Policy on the Use of ASME Marking to Identify Manufactured Items	ix
Submittal of Technical Inquiries to the Boiler and Pressure Vessel Standards Committees	x
Personnel	xiii
The American Concrete Institute	xxxiv
Organization of Section III	xxxv
Summary of Changes	xxxix
List of Changes in Record Number Order	xli
Cross-Referencing and Stylistic Changes in the Boiler and Pressure Vessel Code	xlii
Article NCA-1000	
NCA-1100	1
NCA-1200	1
Article NCA-2000	
NCA-2100	3
Article NCA-3000	
NCA-3100	6
NCA-3200	6
NCA-3300	10
NCA-3400	10
NCA-3500	12
NCA-3600	23
NCA-3700	23
NCA-3800	23
NCA-3900	23
Article NCA-4000	
NCA-4100	27
NCA-4200	28
NCA-4300	28
NCA-4400	31
Article NCA-5000	
NCA-5100	31
NCA-5200	38
NCA-5300	43
	48
	48
	49
	51

Article NCA-7000	Reference Standards	53
NCA-7100	General Requirements	53
Article NCA-8000	Certificates, Nameplates, Certification Mark, and Data Reports . . .	62
NCA-8100	Authorization to Perform Code Activities	62
NCA-8200	Nameplates and Stamping With Certification Mark	68
NCA-8300	Certification Mark	69
NCA-8400	Data Reports	70
NCA-8500	Work on Stamped Components	70
Article NCA-9000	Glossary	71
NCA-9100	Introduction	71
NCA-9200	Definitions	71
Figure		
NCA-8212-1	Form of Stamping With Certification Mark	68
Tables		
NCA-3200-1	Responsibilities of the Certificate Holder or Designer	13
NCA-3200-2	Document Distribution for Division 2 Construction	16
NCA-4134.17-1	Lifetime Quality Assurance Records	32
NCA-4134.17-2	Nonpermanent Quality Assurance Records	32
NCA-7100-1	Dimensional Standards	54
NCA-7100-2	Standards and Specifications Referenced in Division 1	56
NCA-7100-3	Standards and Specifications Referenced in Division 2	57
NCA-8100-1	Certificates and Certification Mark Issued by the Society for Construction of Nuclear Power Plants	63
Endnotes	77

LIST OF SECTIONS

(21)

SECTIONS

- I Rules for Construction of Power Boilers
- II Materials
 - Part A — Ferrous Material Specifications
 - Part B — Nonferrous Material Specifications
 - Part C — Specifications for Welding Rods, Electrodes, and Filler Metals
 - Part D — Properties (Customary)
 - Part D — Properties (Metric)
- III Rules for Construction of Nuclear Facility Components
 - Subsection NCA — General Requirements for Division 1 and Division 2
 - Appendices
 - Division 1
 - Subsection NB — Class 1 Components
 - Subsection NCD — Class 2 and Class 3 Components*
 - Subsection NE — Class MC Components
 - Subsection NF — Supports
 - Subsection NG — Core Support Structures
 - Division 2 — Code for Concrete Containments
 - Division 3 — Containment Systems for Transportation and Storage of Spent Nuclear Fuel and High-Level Radioactive Material
 - Division 5 — High Temperature Reactors
- IV Rules for Construction of Heating Boilers
- V Nondestructive Examination
- VI Recommended Rules for the Care and Operation of Heating Boilers
- VII Recommended Guidelines for the Care of Power Boilers
- VIII Rules for Construction of Pressure Vessels
 - Division 1
 - Division 2 — Alternative Rules
 - Division 3 — Alternative Rules for Construction of High Pressure Vessels
- IX Welding, Brazing, and Fusing Qualifications
- X Fiber Reinforced Plastic Pressure Vessels
- XI Rules for Inservice Inspection of Nuclear Power Plant Components
 - Division 1 — Rules for Inspection and Testing of Components of Light-Water-Cooled Plants
 - Division 2 — Requirements for Reliability and Integrity Management (RIM) Programs for Nuclear Power Plants
- XII Rules for Construction and Continued Service of Transport Tanks
- XIII Rules for Overpressure Protection

* In the 2021 Edition, Subsections NC and ND have been incorporated into one publication, Subsection NCD (BPVC.III.1.NCD), Class 2 and Class 3 Components.

INTERPRETATIONS

Interpretations are issued in real time in ASME's Interpretations Database at <http://go.asme.org/Interpretations>. Historical BPVC interpretations may also be found in the Database.

CODE CASES

The Boiler and Pressure Vessel Code committees meet regularly to consider proposed additions and revisions to the Code and to formulate Cases to clarify the intent of existing requirements or provide, when the need is urgent, rules for materials or constructions not covered by existing Code rules. Those Cases that have been adopted will appear in the appropriate 2021 Code Cases book: "Boilers and Pressure Vessels" or "Nuclear Components." Each Code Cases book is updated with seven Supplements. Supplements will be sent or made available automatically to the purchasers of the Code Cases books up to the publication of the 2023 Code. Annulments of Code Cases become effective six months after the first announcement of the annulment in a Code Case Supplement or Edition of the appropriate Code Cases book. Code Case users can check the current status of any Code Case at <http://go.asme.org/BPVCCDatabase>. Code Case users can also view an index of the complete list of Boiler and Pressure Vessel Code Cases and Nuclear Code Cases at <http://go.asme.org/BPVCC>.

FOREWORD*

(21)

In 1911, The American Society of Mechanical Engineers established the Boiler and Pressure Vessel Committee to formulate standard rules for the construction of steam boilers and other pressure vessels. In 2009, the Boiler and Pressure Vessel Committee was superseded by the following committees:

- (a) Committee on Power Boilers (I)
- (b) Committee on Materials (II)
- (c) Committee on Construction of Nuclear Facility Components (III)
- (d) Committee on Heating Boilers (IV)
- (e) Committee on Nondestructive Examination (V)
- (f) Committee on Pressure Vessels (VIII)
- (g) Committee on Welding, Brazing, and Fusing (IX)
- (h) Committee on Fiber-Reinforced Plastic Pressure Vessels (X)
- (i) Committee on Nuclear Inservice Inspection (XI)
- (j) Committee on Transport Tanks (XII)
- (k) Committee on Overpressure Protection (XIII)
- (l) Technical Oversight Management Committee (TOMC)

Where reference is made to “the Committee” in this Foreword, each of these committees is included individually and collectively.

The Committee’s function is to establish rules of safety relating to pressure integrity, which govern the construction** of boilers, pressure vessels, transport tanks, and nuclear components, and the inservice inspection of nuclear components and transport tanks. For nuclear items other than pressure-retaining components, the Committee also establishes rules of safety related to structural integrity. The Committee also interprets these rules when questions arise regarding their intent. The technical consistency of the Sections of the Code and coordination of standards development activities of the Committees is supported and guided by the Technical Oversight Management Committee. This Code does not address other safety issues relating to the construction of boilers, pressure vessels, transport tanks, or nuclear components, or the inservice inspection of nuclear components or transport tanks. Users of the Code should refer to the pertinent codes, standards, laws, regulations, or other relevant documents for safety issues other than those relating to pressure integrity and, for nuclear items other than pressure-retaining components, structural integrity. Except for Sections XI and XII, and with a few other exceptions, the rules do not, for practical necessity, reflect the likelihood and consequences of deterioration in service related to specific service media or external operating environments. In formulating the rules, the Committee considers the needs of users, manufacturers, and inspectors of components addressed by the Code. The objective of the rules is to afford reasonably certain protection of life and property, and to provide a margin for deterioration in service to give a reasonably long safe period of usefulness. Advancements in design and materials and evidence of experience have been recognized.

This Code contains mandatory requirements, specific prohibitions, and nonmandatory guidance for construction activities and inservice inspection and testing activities. The Code does not address all aspects of these activities and those aspects that are not specifically addressed should not be considered prohibited. The Code is not a handbook and cannot replace education, experience, and the use of engineering judgment. The phrase *engineering judgment* refers to technical judgments made by knowledgeable engineers experienced in the application of the Code. Engineering judgments must be consistent with Code philosophy, and such judgments must never be used to overrule mandatory requirements or specific prohibitions of the Code.

The Committee recognizes that tools and techniques used for design and analysis change as technology progresses and expects engineers to use good judgment in the application of these tools. The designer is responsible for complying with Code rules and demonstrating compliance with Code equations when such equations are mandatory. The Code neither requires nor prohibits the use of computers for the design or analysis of components constructed to the requirements of the Code. However, designers and engineers using computer programs for design or analysis are cautioned that they are

* The information contained in this Foreword is not part of this American National Standard (ANS) and has not been processed in accordance with ANSI’s requirements for an ANS. Therefore, this Foreword may contain material that has not been subjected to public review or a consensus process. In addition, it does not contain requirements necessary for conformance to the Code.

** *Construction*, as used in this Foreword, is an all-inclusive term comprising materials, design, fabrication, examination, inspection, testing, certification, and overpressure protection.

responsible for all technical assumptions inherent in the programs they use and the application of these programs to their design.

The rules established by the Committee are not to be interpreted as approving, recommending, or endorsing any proprietary or specific design, or as limiting in any way the manufacturer's freedom to choose any method of design or any form of construction that conforms to the Code rules.

The Committee meets regularly to consider revisions of the rules, new rules as dictated by technological development, Code Cases, and requests for interpretations. Only the Committee has the authority to provide official interpretations of this Code. Requests for revisions, new rules, Code Cases, or interpretations shall be addressed to the Secretary in writing and shall give full particulars in order to receive consideration and action (see Submittal of Technical Inquiries to the Boiler and Pressure Vessel Standards Committees). Proposed revisions to the Code resulting from inquiries will be presented to the Committee for appropriate action. The action of the Committee becomes effective only after confirmation by ballot of the Committee and approval by ASME. Proposed revisions to the Code approved by the Committee are submitted to the American National Standards Institute (ANSI) and published at <http://go.asme.org/BPVCPublicReview> to invite comments from all interested persons. After public review and final approval by ASME, revisions are published at regular intervals in Editions of the Code.

The Committee does not rule on whether a component shall or shall not be constructed to the provisions of the Code. The scope of each Section has been established to identify the components and parameters considered by the Committee in formulating the Code rules.

Questions or issues regarding compliance of a specific component with the Code rules are to be directed to the ASME Certificate Holder (Manufacturer). Inquiries concerning the interpretation of the Code are to be directed to the Committee. ASME is to be notified should questions arise concerning improper use of the ASME Single Certification Mark.

When required by context in this Section, the singular shall be interpreted as the plural, and vice versa, and the feminine, masculine, or neuter gender shall be treated as such other gender as appropriate.

The words "shall," "should," and "may" are used in this Standard as follows:

- *Shall* is used to denote a requirement.
- *Should* is used to denote a recommendation.
- *May* is used to denote permission, neither a requirement nor a recommendation.

STATEMENT OF POLICY ON THE USE OF THE ASME SINGLE CERTIFICATION MARK AND CODE AUTHORIZATION IN ADVERTISING

ASME has established procedures to authorize qualified organizations to perform various activities in accordance with the requirements of the ASME Boiler and Pressure Vessel Code. It is the aim of the Society to provide recognition of organizations so authorized. An organization holding authorization to perform various activities in accordance with the requirements of the Code may state this capability in its advertising literature.

Organizations that are authorized to use the ASME Single Certification Mark for marking items or constructions that have been constructed and inspected in compliance with the ASME Boiler and Pressure Vessel Code are issued Certificates of Authorization. It is the aim of the Society to maintain the standing of the ASME Single Certification Mark for the benefit of the users, the enforcement jurisdictions, and the holders of the ASME Single Certification Mark who comply with all requirements.

Based on these objectives, the following policy has been established on the usage in advertising of facsimiles of the ASME Single Certification Mark, Certificates of Authorization, and reference to Code construction. The American Society of Mechanical Engineers does not “approve,” “certify,” “rate,” or “endorse” any item, construction, or activity and there shall be no statements or implications that might so indicate. An organization holding the ASME Single Certification Mark and/or a Certificate of Authorization may state in advertising literature that items, constructions, or activities “are built (produced or performed) or activities conducted in accordance with the requirements of the ASME Boiler and Pressure Vessel Code,” or “meet the requirements of the ASME Boiler and Pressure Vessel Code.” An ASME corporate logo shall not be used by any organization other than ASME.

The ASME Single Certification Mark shall be used only for stamping and nameplates as specifically provided in the Code. However, facsimiles may be used for the purpose of fostering the use of such construction. Such usage may be by an association or a society, or by a holder of the ASME Single Certification Mark who may also use the facsimile in advertising to show that clearly specified items will carry the ASME Single Certification Mark.

STATEMENT OF POLICY ON THE USE OF ASME MARKING TO IDENTIFY MANUFACTURED ITEMS

The ASME Boiler and Pressure Vessel Code provides rules for the construction of boilers, pressure vessels, and nuclear components. This includes requirements for materials, design, fabrication, examination, inspection, and stamping. Items constructed in accordance with all of the applicable rules of the Code are identified with the ASME Single Certification Mark described in the governing Section of the Code.

Markings such as “ASME,” “ASME Standard,” or any other marking including “ASME” or the ASME Single Certification Mark shall not be used on any item that is not constructed in accordance with all of the applicable requirements of the Code.

Items shall not be described on ASME Data Report Forms nor on similar forms referring to ASME that tend to imply that all Code requirements have been met when, in fact, they have not been. Data Report Forms covering items not fully complying with ASME requirements should not refer to ASME or they should clearly identify all exceptions to the ASME requirements.

(21) **SUBMITTAL OF TECHNICAL INQUIRIES TO THE BOILER AND PRESSURE VESSEL STANDARDS COMMITTEES**

1 INTRODUCTION

(a) The following information provides guidance to Code users for submitting technical inquiries to the applicable Boiler and Pressure Vessel (BPV) Standards Committee (hereinafter referred to as the Committee). See the guidelines on approval of new materials under the ASME Boiler and Pressure Vessel Code in Section II, Part D for requirements for requests that involve adding new materials to the Code. See the guidelines on approval of new welding and brazing materials in Section II, Part C for requirements for requests that involve adding new welding and brazing materials (“consumables”) to the Code.

Technical inquiries can include requests for revisions or additions to the Code requirements, requests for Code Cases, or requests for Code Interpretations, as described below:

(1) *Code Revisions.* Code revisions are considered to accommodate technological developments, to address administrative requirements, to incorporate Code Cases, or to clarify Code intent.

(2) *Code Cases.* Code Cases represent alternatives or additions to existing Code requirements. Code Cases are written as a Question and Reply, and are usually intended to be incorporated into the Code at a later date. When used, Code Cases prescribe mandatory requirements in the same sense as the text of the Code. However, users are cautioned that not all regulators, jurisdictions, or Owners automatically accept Code Cases. The most common applications for Code Cases are as follows:

(-a) to permit early implementation of an approved Code revision based on an urgent need

(-b) to permit use of a new material for Code construction

(-c) to gain experience with new materials or alternative requirements prior to incorporation directly into the Code

(3) *Code Interpretations*

(-a) Code Interpretations provide clarification of the meaning of existing requirements in the Code and are presented in Inquiry and Reply format. Interpretations do not introduce new requirements.

(-b) Interpretations will be issued only if existing Code text is ambiguous or conveys conflicting requirements. If a revision of the requirements is required to support the Interpretation, an Intent Interpretation will be issued in parallel with a revision to the Code.

(b) Code requirements, Code Cases, and Code Interpretations established by the Committee are not to be considered as approving, recommending, certifying, or endorsing any proprietary or specific design, or as limiting in any way the freedom of manufacturers, constructors, or Owners to choose any method of design or any form of construction that conforms to the Code requirements.

(c) Inquiries that do not comply with the following guidance or that do not provide sufficient information for the Committee’s full understanding may result in the request being returned to the Inquirer with no action.

2 INQUIRY FORMAT

Submittals to the Committee should include the following information:

(a) *Purpose.* Specify one of the following:

(1) request for revision of present Code requirements

(2) request for new or additional Code requirements

(3) request for Code Case

(4) request for Code Interpretation

(b) *Background.* The Inquirer should provide the information needed for the Committee’s understanding of the Inquiry, being sure to include reference to the applicable Code Section, Division, Edition, Addenda (if applicable), paragraphs, figures, and tables. This information should include a statement indicating why the included paragraphs, figures, or tables are ambiguous or convey conflicting requirements. Preferably, the Inquirer should provide a copy of, or relevant extracts from, the specific referenced portions of the Code.

(c) *Presentations.* The Inquirer may desire to attend or be asked to attend a meeting of the Committee to make a formal presentation or to answer questions from the Committee members with regard to the Inquiry. Attendance at a BPV Standards Committee meeting shall be at the expense of the Inquirer. The Inquirer's attendance or lack of attendance at a meeting will not be used by the Committee as a basis for acceptance or rejection of the Inquiry by the Committee. However, if the Inquirer's request is unclear, attendance by the Inquirer or a representative may be necessary for the Committee to understand the request sufficiently to be able to provide an Interpretation. If the Inquirer desires to make a presentation at a Committee meeting, the Inquirer should provide advance notice to the Committee Secretary, to ensure time will be allotted for the presentation in the meeting agenda. The Inquirer should consider the need for additional audiovisual equipment that might not otherwise be provided by the Committee. With sufficient advance notice to the Committee Secretary, such equipment may be made available.

3 CODE REVISIONS OR ADDITIONS

Requests for Code revisions or additions should include the following information:

(a) *Requested Revisions or Additions.* For requested revisions, the Inquirer should identify those requirements of the Code that they believe should be revised, and should submit a copy of, or relevant extracts from, the appropriate requirements as they appear in the Code, marked up with the requested revision. For requested additions to the Code, the Inquirer should provide the recommended wording and should clearly indicate where they believe the additions should be located in the Code requirements.

(b) *Statement of Need.* The Inquirer should provide a brief explanation of the need for the revision or addition.

(c) *Background Information.* The Inquirer should provide background information to support the revision or addition, including any data or changes in technology that form the basis for the request, that will allow the Committee to adequately evaluate the requested revision or addition. Sketches, tables, figures, and graphs should be submitted, as appropriate. The Inquirer should identify any pertinent portions of the Code that would be affected by the revision or addition and any portions of the Code that reference the requested revised or added paragraphs.

4 CODE CASES

Requests for Code Cases should be accompanied by a statement of need and background information similar to that described in 3(b) and 3(c), respectively, for Code revisions or additions. The urgency of the Code Case (e.g., project underway or imminent, new procedure) should be described. In addition, it is important that the request is in connection with equipment that will bear the ASME Single Certification Mark, with the exception of Section XI applications. The proposed Code Case should identify the Code Section and Division, and should be written as a Question and a Reply, in the same format as existing Code Cases. Requests for Code Cases should also indicate the applicable Code Editions and Addenda (if applicable) to which the requested Code Case applies.

5 CODE INTERPRETATIONS

(a) Requests for Code Interpretations should be accompanied by the following information:

(1) *Inquiry.* The Inquirer should propose a condensed and precise Inquiry, omitting superfluous background information and, when possible, composing the Inquiry in such a way that a "yes" or a "no" Reply, with brief limitations or conditions, if needed, can be provided by the Committee. The proposed question should be technically and editorially correct.

(2) *Reply.* The Inquirer should propose a Reply that clearly and concisely answers the proposed Inquiry question. Preferably, the Reply should be "yes" or "no," with brief limitations or conditions, if needed.

(3) *Background Information.* The Inquirer should include a statement indicating why the included paragraphs, figures, or tables are ambiguous or convey conflicting requirements. The Inquirer should provide any need or background information, such as described in 3(b) and 3(c), respectively, for Code revisions or additions, that will assist the Committee in understanding the proposed Inquiry and Reply.

If the Inquirer believes a revision of the Code requirements would be helpful to support the Interpretation, the Inquirer may propose such a revision for consideration by the Committee. In most cases, such a proposal is not necessary.

(b) Requests for Code Interpretations should be limited to an Interpretation of a particular requirement in the Code or in a Code Case. Except with regard to interpreting a specific Code requirement, the Committee is not permitted to consider consulting-type requests such as the following:

(1) a review of calculations, design drawings, welding qualifications, or descriptions of equipment or parts to determine compliance with Code requirements

(2) a request for assistance in performing any Code-prescribed functions relating to, but not limited to, material selection, designs, calculations, fabrication, inspection, pressure testing, or installation

(3) a request seeking the rationale for Code requirements

6 SUBMITTALS

(a) *Submittal.* Requests for Code Interpretation should preferably be submitted through the online Interpretation Submittal Form. The form is accessible at <http://go.asme.org/InterpretationRequest>. Upon submittal of the form, the Inquirer will receive an automatic e-mail confirming receipt. If the Inquirer is unable to use the online form, the Inquirer may mail the request to the following address:

Secretary
ASME Boiler and Pressure Vessel Committee
Two Park Avenue
New York, NY 10016-5990

All other Inquiries should be mailed to the Secretary of the BPV Committee at the address above. Inquiries are unlikely to receive a response if they are not written in clear, legible English. They must also include the name of the Inquirer and the company they represent or are employed by, if applicable, and the Inquirer's address, telephone number, fax number, and e-mail address, if available.

(b) *Response.* The Secretary of the appropriate Committee will provide a written response, via letter or e-mail, as appropriate, to the Inquirer, upon completion of the requested action by the Committee. Inquirers may track the status of their Interpretation Request at <http://go.asme.org/Interpretations>.

PERSONNEL

ASME Boiler and Pressure Vessel Standards Committees, Subgroups, and Working Groups

(21)

January 1, 2021

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THE AMERICAN CONCRETE INSTITUTE

The American Concrete Institute was organized in 1905 to provide industry standards in the field of concrete usage. The organization, which was formed as a result of meetings begun during the Engineering Congress at the Louisiana Purchase Exposition in St. Louis in 1904, was initially entitled the National Association of Cement Users. In 1913, the name of the Society was changed to the American Concrete Institute to better fit the actual scope of its activities and aims, which are to further engineering education, scientific investigation, and scientific research by organizing the efforts of its members for a nonprofit, public service in gathering, correlating, and disseminating information for the improvement of the design, construction, manufacture, use, and maintenance of concrete products and structures.

The day-to-day operation of ACI is administered by an Executive Director, under general supervision of its 18-member Board of Direction, which assigns a part of its administrative duties to standing committees, the ACI Standards Board, and various technical committees.

ACI — TECHNICAL ACTIVITIES COMMITTEE

The Technical Activities Committee, which is appointed by the Board of Direction, is responsible for Institute technical publications, review of standards, the technical program at conventions, and continuing studies of technical committees, from which arise recommendations for the activities, and the formation or discharge of these groups. TAC members are selected by the ACI Board to represent ACI's varied interests.

ACI — STANDARDS BOARD

The Standards Board, also appointed by the Board of Direction, is responsible for matters of policy, procedure, and appeal pertaining to ACI Standards. All proposed new standards or revisions to existing standards, including minority reports from sponsoring technical committees, are forwarded to the Standards Board through the Technical Activities Committee. On release by the Standards Board, these are published, and after ratification by letter ballot of the ACI membership at large are then available for public use. The primary functions of the Standards Board are to verify that proper standardization procedures have been followed and to rule on matters of policy as related to standards.

ACI — TECHNICAL AND EDUCATIONAL COMMITTEES

Much of the important work of the American Concrete Institute is performed by technical committees that prepare committee reports and standards. Technical committees, composed of volunteer personnel, develop ACI recommendations in their respective fields. Their work, subject to review and approval by the Board of Direction through the Technical Activities Committee and the Standards Board, forms the basis for Institute Standards.

Educational committees, also composed of volunteer personnel, develop seminars, workshops, curriculum guides, and student manuals to further ACI's involvement in education. Their work, subject to review and approval by the ACI Educational Activities Committee, forms the basis for Institute manuals and training programs.

ORGANIZATION OF SECTION III

(21)

1 GENERAL

Section III consists of Division 1, Division 2, Division 3, and Division 5. These Divisions are broken down into Subsections and are designated by capital letters preceded by the letter “N” for Division 1, by the letter “C” for Division 2, by the letter “W” for Division 3, and by the letter “H” for Division 5. Each Subsection is published separately, with the exception of those listed for Divisions 2, 3, and 5.

- Subsection NCA — General Requirements for Division 1 and Division 2
- Appendices
- Division 1
 - Subsection NB — Class 1 Components
 - Subsection NCD — Class 2 and Class 3 Components*
 - Subsection NE — Class MC Components
 - Subsection NF — Supports
 - Subsection NG — Core Support Structures
- Division 2 — Code for Concrete Containments
 - Subsection CC — Concrete Containments
- Division 3 — Containment Systems for Transportation and Storage of Spent Nuclear Fuel and High-Level Radioactive Material
 - Subsection WA — General Requirements for Division 3
 - Subsection WB — Class TC Transportation Containments
 - Subsection WC — Class SC Storage Containments
 - Subsection WD — Class ISS Internal Support Structures
- Division 5 — High Temperature Reactors
 - Subsection HA — General Requirements
 - Subpart A — Metallic Materials
 - Subpart B — Graphite Materials
 - Subpart C — Composite Materials
 - Subsection HB — Class A Metallic Pressure Boundary Components
 - Subpart A — Low Temperature Service
 - Subpart B — Elevated Temperature Service
 - Subsection HC — Class B Metallic Pressure Boundary Components
 - Subpart A — Low Temperature Service
 - Subpart B — Elevated Temperature Service
 - Subsection HF — Class A and B Metallic Supports
 - Subpart A — Low Temperature Service
 - Subsection HG — Class SM Metallic Core Support Structures
 - Subpart A — Low Temperature Service
 - Subpart B — Elevated Temperature Service
 - Subsection HH — Class SN Nonmetallic Core Components
 - Subpart A — Graphite Materials
 - Subpart B — Composite Materials

* In the 2021 Edition, Subsections NC and ND have been incorporated into one publication, Subsection NCD (BPVC.III.1.NCD), Class 2 and Class 3 Components.

2 SUBSECTIONS

Subsections are divided into Articles, subarticles, paragraphs, and, where necessary, subparagraphs and subsubparagraphs.

3 ARTICLES

Articles are designated by the applicable letters indicated above for the Subsections followed by Arabic numbers, such as NB-1000. Where possible, Articles dealing with the same topics are given the same number in each Subsection, except NCA, in accordance with the following general scheme:

Article Number	Title
1000	Introduction or Scope
2000	Material
3000	Design
4000	Fabrication and Installation
5000	Examination
6000	Testing
7000	Overpressure Protection
8000	Nameplates, Stamping With Certification Mark, and Reports

The numbering of Articles and the material contained in the Articles may not, however, be consecutive. Due to the fact that the complete outline may cover phases not applicable to a particular Subsection or Article, the rules have been prepared with some gaps in the numbering.

4 SUBARTICLES

Subarticles are numbered in units of 100, such as NB-1100.

5 SUBSUBARTICLES

Subsubarticles are numbered in units of 10, such as NB-2130, and generally have no text. When a number such as NB-1110 is followed by text, it is considered a paragraph.

6 PARAGRAPHS

Paragraphs are numbered in units of 1, such as NB-2121.

7 SUBPARAGRAPHS

Subparagraphs, when they are *major* subdivisions of a paragraph, are designated by adding a decimal followed by one or more digits to the paragraph number, such as NB-1132.1. When they are *minor* subdivisions of a paragraph, subparagraphs may be designated by lowercase letters in parentheses, such as NB-2121(a).

8 SUBSUBPARAGRAPHS

Subsubparagraphs are designated by adding lowercase letters in parentheses to the *major* subparagraph numbers, such as NB-1132.1(a). When further subdivisions of *minor* subparagraphs are necessary, subsubparagraphs are designated by adding Arabic numerals in parentheses to the subparagraph designation, such as NB-2121(a)(1).

9 REFERENCES

References used within Section III generally fall into one of the following four categories:

(a) *References to Other Portions of Section III.* When a reference is made to another Article, subarticle, or paragraph, all numbers subsidiary to that reference shall be included. For example, reference to Article NB-3000 includes all material in Article NB-3000; reference to NB-3100 includes all material in subarticle NB-3100; reference to NB-3110 includes all paragraphs, NB-3111 through NB-3113.

(b) *References to Other Sections.* Other Sections referred to in Section III are the following:

(1) *Section II, Materials.* When a requirement for a material, or for the examination or testing of a material, is to be in accordance with a specification such as SA-105, SA-370, or SB-160, the reference is to material specifications in Section II. These references begin with the letter “S.”

(2) *Section V, Nondestructive Examination.* Section V references begin with the letter “T” and relate to the non-destructive examination of material or welds.

(3) *Section IX, Welding and Brazing Qualifications.* Section IX references begin with the letter “Q” and relate to welding and brazing requirements.

(4) *Section XI, Rules for Inservice Inspection of Nuclear Power Plant Components.* When a reference is made to inservice inspection, the rules of Section XI shall apply.

(c) *Reference to Specifications and Standards Other Than Published in Code Sections*

(1) Specifications for examination methods and acceptance standards to be used in connection with them are published by the American Society for Testing and Materials (ASTM). At the time of publication of Section III, some such specifications were not included in Section II of this Code. A reference to ASTM E94 refers to the specification so designated by and published by ASTM, 100 Barr Harbor Drive, West Conshohocken, PA 19428.

(2) Dimensional standards covering products such as valves, flanges, and fittings are sponsored and published by The American Society of Mechanical Engineers and approved by the American National Standards Institute.** When a product is to conform to such a standard, for example ASME B16.5, the standard is approved by the American National Standards Institute. The applicable year of issue is that suffixed to its numerical designation in Table NCA-7100-1, for example ASME B16.5-2003. Standards published by The American Society of Mechanical Engineers are available from ASME (<https://www.asme.org/>).

(3) Dimensional and other types of standards covering products such as valves, flanges, and fittings are also published by the Manufacturers Standardization Society of the Valve and Fittings Industry and are known as Standard Practices. When a product is required by these rules to conform to a Standard Practice, for example MSS SP-100, the Standard Practice referred to is published by the Manufacturers Standardization Society of the Valve and Fittings Industry, Inc. (MSS), 127 Park Street, NE, Vienna, VA 22180. The applicable year of issue of such a Standard Practice is that suffixed to its numerical designation in Table NCA-7100-1, for example MSS SP-58-2009.

(4) Specifications for welding and brazing materials are published by the American Welding Society (AWS), 8669 NW 36 Street, No. 130, Miami, FL 33166. Specifications of this type are incorporated in Section II and are identified by the AWS designation with the prefix “SF,” for example SFA-5.1.

(5) Standards applicable to the design and construction of tanks and flanges are published by the American Petroleum Institute and have designations such as API-605. When documents so designated are referred to in Section III, for example API-605-1988, they are standards published by the American Petroleum Institute and are listed in Table NCA-7100-1.

(d) *References to Appendices.* Section III uses two types of appendices that are designated as either Section III Appendices or Subsection Appendices. Either of these appendices is further designated as either Mandatory or Nonmandatory for use. Mandatory Appendices are referred to in the Section III rules and contain requirements that must be followed in construction. Nonmandatory Appendices provide additional information or guidance when using Section III.

(1) Section III Appendices are contained in a separate book titled “Appendices.” These appendices have the potential for multiple subsection applicability. Mandatory Appendices are designated by a Roman numeral followed, when appropriate, by Arabic numerals to indicate various articles, subarticles, and paragraphs of the appendix, such as II-1500 or XIII-1210. Nonmandatory Appendices are designated by a capital letter followed, when appropriate, by Arabic numerals to indicate various articles, subarticles, and paragraphs of the appendix, such as D-1200 or Y-1440.

**The American National Standards Institute (ANSI) was formerly known as the American Standards Association. Standards approved by the Association were designated by the prefix “ASA” followed by the number of the standard and the year of publication. More recently, the American National Standards Institute was known as the United States of America Standards Institute. Standards were designated by the prefix “USAS” followed by the number of the standard and the year of publication. While the letters of the prefix have changed with the name of the organization, the numbers of the standards have remained unchanged.

(2) Subsection Appendices are specifically applicable to just one subsection and are contained within that subsection. Subsection-specific mandatory and nonmandatory appendices are numbered in the same manner as Section III Appendices, but with a subsection identifier (e.g., NF, NH, D2, etc.) preceding either the Roman numeral or the capital letter for a unique designation. For example, NF-II-1100 or NF-A-1200 would be part of a Subsection NF mandatory or nonmandatory appendix, respectively. For Subsection CC, D2-IV-1120 or D2-D-1330 would be part of a Subsection CC mandatory or nonmandatory appendix, respectively.

(3) It is the intent of this Section that the information provided in both Mandatory and Nonmandatory Appendices may be used to meet the rules of any Division or Subsection. In case of conflict between Appendix rules and Division/Subsection rules, the requirements contained in the Division/Subsection shall govern. Additional guidance on Appendix usage is provided in the front matter of Section III Appendices.

SUMMARY OF CHANGES

Errata to the BPV Code may be posted on the ASME website to provide corrections to incorrectly published items, or to correct typographical or grammatical errors in the BPV Code. Such Errata shall be used on the date posted.

Information regarding Special Notices and Errata is published by ASME at <http://go.asme.org/BPVCerrata>.

Changes given below are identified on the pages by a margin note, **(21)**, placed next to the affected area.

<i>Page</i>	<i>Location</i>	<i>Change</i>
v	List of Sections	(1) Listing for Section III updated (2) Section XIII added (3) Code Case information updated
vii	Foreword	(1) Subparagraph (k) added and subsequent subparagraph redesignated (2) Second footnote revised (3) Last paragraph added
x	Submittal of Technical Inquiries to the Boiler and Pressure Vessel Standards Committees	Paragraphs 1(a)(3)(-b), 2(b), and 5(a)(3) revised
xiii	Personnel	Updated
xxxv	Organization of Section III	(1) In para. 1, Division 1 listing updated (2) In para. 9(c)(3), "MSS SP-89-2003" corrected by errata to "MSS SP-58-2009"
1	NCA-1110	Revised and restructured to add NCA-1111 and NCA-1112
1	NCA-1130	In subpara. (a), last sentence revised
1	NCA-1140	(1) Subparagraphs (a)(2)(-a), (a)(2)(-b), and (h) revised (2) Subparagraph (i) added
3	NCA-1210	In first sentence, cross-references updated
4	NCA-1230	(1) In NCA-1231 and NCA-1232, first sentence, cross-references updated (2) In NCA-1233, first paragraph, first two cross-references updated
4	NCA-1260	First three cross-references updated
6	NCA-2110	(1) In subpara. (c), last line revised (2) In subpara. (d), first sentence revised
6	NCA-2131	Subparagraph (a) revised in its entirety
6	NCA-2134	In subpara. (b), last cross-reference updated
10	NCA-3110	Second line revised
11	NCA-3130	(1) In NCA-3131(b) and NCA-3131(g), cross-references updated (2) In NCA-3132(b), first sentence, cross-references updated
12	NCA-3200	(1) Revised in its entirety (2) In endnote 7, cross-reference updated (see Endnotes) (3) Former Table NCA-3200-1 redesignated as Table NCA-3200-2 and new Table NCA-3200-1 added
23	NCA-3300	Deleted
23	NCA-3400	Deleted
23	NCA-3500	Deleted
23	NCA-3600	Deleted
23	NCA-3700	Deleted
23	NCA-3810	Second sentence revised
23	NCA-3812	In first paragraph, second line, cross-references updated
23	NCA-3820	In first two lines, cross-references updated
24	NCA-3841	Subparagraph (g) revised and subparas. (h) through (j) added
24	NCA-3842.2	Subparagraph (g) revised
26	NCA-3862.1	In subpara. (b), cross-reference to NC/ND updated

Page	Location	Change
26	NCA-3862.2	Subparagraphs (a) and (c) revised
27	NCA-3920	In subparas. (a) and (b), second line revised
28	NCA-4110	In subpara. (c), first cross-reference updated
29	NCA-4134.2	Subparagraph (b) revised
29	NCA-4134.3	Subparagraph (d) revised
29	NCA-4134.6	First sentence deleted by errata
31	NCA-4134.18	Subparagraphs (b) and (c) revised
32	Table NCA-4134.17-1	In Records 3, 4, 6, 7, 8, 9, 16, and 18, cross-references updated
32	Table NCA-4134.17-2	In first column, cross-references updated for Records 3, 4, and 11
33	NCA-4252.1	Subparagraphs (b) and (c) revised
35	NCA-4255.5	Subparagraphs (a)(3), (a)(3)(-a), (a)(3)(-b), (a)(3)(-c), and (a)(4) revised
48	NCA-5121	In subpara. (b), last sentence revised
48	NCA-5125	(1) Subparagraph (f) revised and corrected by errata (2) Subparagraphs (g) and (i) revised
49	NCA-5131	In subpara. (a), fourth line revised
49	NCA-5210	Cross-references updated
49	NCA-5220	In subparas. (i) and (m), cross-reference updated
49	NCA-5230	In subpara. (b), cross-references updated
51	NCA-5300	Subparagraph (a) revised
54	Table NCA-7100-1	Revised
57	Table NCA-7100-3	Revised
63	Table NCA-8100-1	In Notes (7) and (11), cross-references to NC/ND updated
62	NCA-8151	Second paragraph added
67	NCA-8161	In subpara. (b), cross-references updated
67	NCA-8162	Revised
69	NCA-8322.1	In subparas. (a) and (d), cross-references updated
70	NCA-8412	Revised
70	NCA-8500	Added
71	NCA-9200	(1) Definition of <i>combined license</i> added (2) Definitions of <i>certificate</i> , <i>construction permit</i> , <i>enforcement authority</i> , <i>Inspector</i> , <i>nuclear facility</i> , <i>Quality System Certificate</i> , and <i>regulatory authority</i> revised (3) In definition of <i>fabricator (Division 2)</i> , last cross-reference updated

LIST OF CHANGES IN RECORD NUMBER ORDER

DELETED

CROSS-REFERENCING AND STYLISTIC CHANGES IN THE BOILER AND PRESSURE VESSEL CODE

There have been structural and stylistic changes to BPVC, starting with the 2011 Addenda, that should be noted to aid navigating the contents. The following is an overview of the changes:

Subparagraph Breakdowns/Nested Lists Hierarchy

- First-level breakdowns are designated as (a), (b), (c), etc., as in the past.
- Second-level breakdowns are designated as (1), (2), (3), etc., as in the past.
- Third-level breakdowns are now designated as (-a), (-b), (-c), etc.
- Fourth-level breakdowns are now designated as (-1), (-2), (-3), etc.
- Fifth-level breakdowns are now designated as (+a), (+b), (+c), etc.
- Sixth-level breakdowns are now designated as (+1), (+2), etc.

Footnotes

With the exception of those included in the front matter (roman-numbered pages), all footnotes are treated as endnotes. The endnotes are referenced in numeric order and appear at the end of each BPVC section/subsection.

Submittal of Technical Inquiries to the Boiler and Pressure Vessel Standards Committees

Submittal of Technical Inquiries to the Boiler and Pressure Vessel Standards Committees has been moved to the front matter. This information now appears in all Boiler Code Sections (except for Code Case books).

Cross-References

It is our intention to establish cross-reference link functionality in the current edition and moving forward. To facilitate this, cross-reference style has changed. Cross-references within a subsection or subarticle will not include the designator/identifier of that subsection/subarticle. Examples follow:

- *(Sub-)Paragraph Cross-References.* The cross-references to subparagraph breakdowns will follow the hierarchy of the designators under which the breakdown appears.
 - If subparagraph (-a) appears in X.1(c)(1) and is referenced in X.1(c)(1), it will be referenced as (-a).
 - If subparagraph (-a) appears in X.1(c)(1) but is referenced in X.1(c)(2), it will be referenced as (1)(-a).
 - If subparagraph (-a) appears in X.1(c)(1) but is referenced in X.1(e)(1), it will be referenced as (c)(1)(-a).
 - If subparagraph (-a) appears in X.1(c)(1) but is referenced in X.2(c)(2), it will be referenced as X.1(c)(1)(-a).
- *Equation Cross-References.* The cross-references to equations will follow the same logic. For example, if eq. (1) appears in X.1(a)(1) but is referenced in X.1(b), it will be referenced as eq. (a)(1)(1). If eq. (1) appears in X.1(a)(1) but is referenced in a different subsection/subarticle/paragraph, it will be referenced as eq. X.1(a)(1)(1).

ARTICLE NCA-1000

SCOPE OF SECTION III

NCA-1100 GENERAL

(21) **NCA-1110 SCOPE^{1, 2}**

NCA-1111 Rules of Section III

The rules of this Section constitute requirements for the design, construction, stamping, and overpressure protection of items used in nuclear power plants and other nuclear facilities. This Section consists of the following four Divisions:

(a) *Division 1.* Metallic vessels, heat exchangers, storage tanks, piping systems, pumps, valves, core support structures, supports, and similar items.

(b) *Division 2.* Concrete containment vessels.

(c) *Division 3.* Metallic containment systems for storage or transportation of spent nuclear fuel and high level radioactive materials and waste.

(d) *Division 5.* Metallic vessels, heat exchangers, storage tanks, piping systems, pumps, valves, core support structures, supports, and similar items and graphite and composite core components and assemblies for High Temperature Reactors.

Except as specifically referenced in Division 3 and Division 5, the General Requirements of Subsection NCA apply to Division 1 and Division 2. General Requirements for Division 3 are in Subsection WA. General Requirements for Division 5 are in Subsection HA.

NCA-1112 Rules of Section XI

Section XI contains rules for inservice inspections and testing of items used in nuclear power plants, in two Divisions:

(a) *Division 1.* Rules for Inspection and Testing of Components of Light-Water-Cooled Plants

(b) *Division 2.* Requirements for Reliability and Integrity Management (RIM) Programs for Nuclear Power Plants

NCA-1120 DEFINITIONS

Definitions of key terms used in Subsection NCA are included in [Article NCA-9000](#).

NCA-1130 LIMITS OF THESE RULES

(21)

(a) The rules of this Section provide requirements for new construction and include consideration of mechanical and thermal stresses due to cyclic operation. They do not cover deterioration that may occur in service as a result of environmental effects such as radiation, corrosion, erosion, or instability of the material.³ These effects shall be taken into account with a view to realizing the design or the specified life of the components and supports. The changes in properties of materials subjected to neutron radiation can be checked periodically by means of material surveillance programs. These rules provide requirements for new construction of concrete containments. They are applicable only to those components that are designed to provide a pressure-retaining or pressure-containing barrier. They are not applicable to other concrete structures in the nuclear facility, as for example to concrete shield and support structures, except as they directly affect the components.

(b) The rules are not intended to be applicable to valve operators, controllers, position indicators, pump impellers, pump drivers, or other accessories and devices, unless they are pressure-retaining parts or act as core support structures or supports. If such items are in a support load path, the provisions of NF-1100 apply.

(c) The rules of this Section do not apply to instruments, or permanently sealed fluid filled tubing systems furnished with instruments, but do apply to instrument, control, and sampling piping when specified in Design Specifications.

(d) Auxiliary systems for concrete containments that are required to assure functional adequacy of the vessels in accordance with the requirements of the Design Specification, including but not limited to concrete cooling systems, thermal insulation, corrosion protection, leakage monitors, and strain monitoring systems, must be delineated fully by appropriate performance, reliability, and test requirements. These rules are not intended to otherwise be applicable to auxiliary systems.

NCA-1140 USE OF CODE EDITIONS, ADDENDA, AND CASES

(21)

(a) See (1) and (2) below.