



Pump system energy assessment

STANDARDS
Australia



Currently in preview, click buy full version

AS ISO/ASME 14414:2020

This Australian Standard® was prepared by ME-030, Pumps. It was approved on behalf of the Council of Standards Australia on 26 March 2020.

This Standard was published on 9 April 2020.

The following are represented on Committee ME-030:

- Australasian Fire and Emergency Service Authorities Council
- Australian Building Codes Board
- Engineers Australia
- Fire Protection Association Australia
- Irrigation Australia Limited
- Master Plumbers Australia
- Pump Industry Australia
- Water Services Association of Australia

This Standard was issued in draft form for comment as DR AS ISO/ASME 14414:2020.

Keeping Standards up-to-date

Ensure you have the latest versions of our publications and keep up-to-date about Amendments, Rulings, Withdrawals, and new projects by visiting:

www.standards.org.au

ISBN 978 1 76072 805 2



Pump system energy assessment

First published as AS ISO/ASME 14414:2020.

COPYRIGHT

© ISO 2020 — All rights reserved
© Standards Australia Limited 2020

All rights are reserved. No part of this work may be reproduced or copied in any form or by any means, electronic or mechanical, including photocopying, without the written permission of the publisher, unless otherwise permitted under the Copyright Act 1968 (Cth).

Preface

This Standard was prepared by the Standards Australia Committee ME-030, Pumps.

The objective of this Standard is to specify requirements for conducting and reporting the results of a pumping system energy assessment (hereafter referenced as “assessment”) that considers the entire pumping system, from energy inputs to the work performed as the result of these inputs.

The objective of a pumping system energy assessment is to determine the current energy consumption of an existing system and identify ways to improve system efficiency.

These requirements consist of —

- (a) organizing and conducting an assessment,
- (b) analysing the data from the assessment, and
- (c) reporting and documenting assessment findings.

This Standard is designed to be applied, to open and closed loop pumping systems typically used at industrial, institutional, commercial, and municipal facilities, when requested.

This Standard is focused on assessing electrically-driven pumping systems, which are dominant in most facilities, but is also applicable with other types of drivers, such as steam turbines and engines. The Standard does not —

- (i) specify how to design a pumping system;
- (ii) give detailed qualifications and expertise required of the person using the Standard although provides a list of body of knowledge in Annex C;
- (iii) address the training or certification of persons;
- (iv) specify how to implement the recommendations developed during the assessment, but does include requirements for an action plan;
- (v) specify how to measure and validate the energy savings that result from implementing assessment recommendations;
- (vi) specify how to make measurements and how to calibrate test equipment used during the assessment;
- (vii) specify how to estimate the implementation cost or conduct financial analysis for recommendations developed during the assessment;
- (viii) specify specific steps required for safe operation of equipment during the assessment. The facility personnel in charge of normal operation of the equipment are responsible for ensuring that it is operated safely during the data collection phase of the assessment; or
- (ix) address issues of intellectual property, security, confidentiality, and safety.

This Standard is identical with, and has been reproduced from, ISO/ASME 14414:2019, *Pump system energy assessment*.

As this document has been reproduced from an International Standard, a full point substitutes for a comma when referring to a decimal marker.

Australian or Australian/New Zealand Standards that are identical adoptions of international normative references may be used interchangeably. Refer to the online catalogue for information on specific Standards.

The terms “normative” and “informative” are used in Standards to define the application of the appendices or annexes to which they apply. A “normative” appendix or annex is an integral part of a Standard, whereas an “informative” appendix or annex is only for information and guidance.

Currently in preview, click buy full version

Contents

Preface	ii
Foreword	vi
Introduction	viii
1 Scope	1
2 Normative references	1
3 Terms and definitions	2
4 Identification of the assessment team, authority and functions	2
4.1 Identification of assessment team functions.....	2
4.2 Assessment team structure, leadership and competency.....	3
4.3 Facility management support.....	3
4.4 Communications.....	3
4.5 Access to facilities, personnel and information.....	4
4.6 Assessment objectives, scope and boundaries.....	4
4.7 Action plan.....	4
4.7.1 General.....	4
4.7.2 Assessment scheduling.....	5
4.8 Initial data collection and evaluation.....	5
4.8.1 General.....	5
4.8.2 Initial facility specialist interviews.....	5
4.8.3 Energy project history.....	6
4.8.4 Energy cost.....	6
4.8.5 Initial system data.....	6
4.9 Objective check.....	6
5 Conducting the assessment	6
5.1 Assessment levels.....	6
5.1.1 General.....	6
5.1.2 Level 1 assessments.....	7
5.1.3 Level 2 assessments.....	8
5.1.4 Level 3 assessments.....	8
5.2 Walk through.....	9
5.3 Understanding system functional requirements.....	10
5.4 Determining system boundaries and system energy demand.....	10
5.5 Information needed to assess the efficiency of a pumping system.....	10
5.5.1 General.....	10
5.5.2 Electrical motor/drive information.....	10
5.5.3 Pump information.....	11
5.5.4 Liquid properties information.....	12
5.5.5 Detailed system data.....	12
5.5.6 Measured data.....	13
5.6 Data collection.....	14
5.6.1 System information.....	14
5.6.2 Measurement of pump and motor operating data.....	14
5.6.3 Pressure.....	14
5.6.4 Flow.....	14
5.6.5 Input power.....	15
5.7 Cross validation.....	15
5.8 Wrap-up meeting and presentation of initial findings and recommendations.....	15
6 Reporting and documentation	16
6.1 Final assessment report.....	16
6.2 Data for third party review.....	16
6.3 Review of final report by assessment team members.....	16

Annex A	(normative) Report contents	17
Annex B	(informative) Recommendations on efficient system operation and energy reduction — Examples	21
Annex C	(informative) Expertise, experience and competencies	39
Annex D	(informative) Recommended guidelines for analysis software	42
Annex E	(informative) Example of pre-screening worksheet	44
Annex F	(informative) Specific energy consumption	46
Annex G	(informative) Pumping system parasitic power	50
Annex H	(informative) Example of pumping system efficiency indicator	53
Bibliography	56

Foreword

ISO (the International Organization for Standardization) is a worldwide federation of national standards bodies (ISO member bodies). The work of preparing International Standards is normally carried out through ISO technical committees. Each member body interested in a subject for which a technical committee has been established has the right to be represented on that committee. International organizations, governmental and non-governmental, in liaison with ISO, also take part in the work. ISO collaborates closely with the International Electrotechnical Commission (IEC) on all matters of electrotechnical standardization.

The procedures used to develop this document and those intended for its further maintenance are described in the ISO/IEC Directives, Part 1. In particular, the different approval criteria needed for the different types of ISO documents should be noted. This document was drafted in accordance with the editorial rules of the ISO/IEC Directives, Part 2 (see www.iso.org/directives).

Attention is drawn to the possibility that some of the elements of this document may be the subject of patent rights. ISO shall not be held responsible for identifying any or all such patent rights. Details of any patent rights identified during the development of the document will be in the Introduction and/or on the ISO list of patent declarations received (see www.iso.org/patents).

Any trade name used in this document is information given for the convenience of users and does not constitute an endorsement.

For an explanation of the voluntary nature of standards, the meaning of ISO specific terms and expressions related to conformity assessment, as well as information about ISO's adherence to the World Trade Organization (WTO) principles in the Technical Barriers to Trade (TBT) see www.iso.org/iso/foreword.html.

This document was prepared by Technical Committee ISO/TC 115, *Pumps*, in collaboration with ASME EA Standards Committee — *Industrial System Energy Assessment*.

Any feedback or questions on this document should be directed to the user's national standards body. A complete listing of these bodies can be found at www.iso.org/members.html.

This second edition cancels and replaces the first edition (ISO 14414:2015), which has been technically revised. It also incorporates the Amendment ISO 14414:2015/Amd.1:2016. The main changes compared to the previous edition are as follows:

- [4.2](#) has been slightly modified
- [Table 2](#) has been modified to add descriptions of “histogram” and “duration” diagrams;
- [5.6.4](#) has been redrafted
- the term “specific energy” has been replaced by “specific energy consumption”;
- [Formulae G.1, G.2 and G.4](#) have been corrected to align with ISO 17769-1;
- the bibliography has been modified;
- the document has been editorially revised.

ASME is the registered trademark of The American Society of Mechanical Engineers.

This standard was developed under procedures accredited as meeting the criteria for American National Standards. The Standards Committee that approved the standard was balanced to ensure that individuals from competent and concerned interests have had an opportunity to participate. The proposed code or standard was made available for public review and comment that provides an opportunity for additional public input from industry, academia, regulatory agencies, and the public-at-large.

ASME does not “approve”, “rate”, or “endorse” any item, construction, proprietary device, or activity. ASME does not take any position with respect to the validity of any patent rights asserted in connection with any items mentioned in this document, and does not undertake to ensure anyone utilizing a standard against liability for infringement of any applicable letters patent, nor assumes any such liability. Users of a standard are expressly advised that determination of the validity of any such patent rights, and the risk of infringement of such rights, is entirely their own responsibility.

Participation by federal agency representative(s) or person(s) affiliated with industry is not to be interpreted as government or industry endorsement of this standard.

ASME accepts responsibility for only those interpretations of designated documents issued in accordance with the established ASME procedures and policies, which precludes the issuance of interpretations by individuals. ASME will not issue written interpretations of this edition of this standard.

ISO/ASME 14414 was approved as an American National Standard by the American National Standards Institute on 2015-02-06.

Currently in preview, click buy full version

Introduction

Pumping systems account for a significant portion of a facility's energy consumption in many industries. In the majority of pumping systems, the energy added to the working liquid by the pump is much greater than is required by the process. The excess energy added to the system (e.g. due to throttled control valve) increases heat, noise and vibration but also increases the system's maintenance costs. Oversized pumps will cause excessive energy within a system. Increasing the size of the components within the system such as pipes, valves and heat exchangers can, however, result in lower energy consumption.

This document provides a method to assess pump systems, to identify and quantify pump system energy consumption reduction opportunities and reliability improvement opportunities. It gives a common definition for what constitutes an assessment for both users and providers of assessment services. Its objective is to provide clarity for these types of services which have been variously described as energy assessments, energy audits, energy surveys and energy studies.

In all cases, systems (energy-using logical groups of equipment organized to perform a specific function) are analysed through various techniques such as measurement, resulting in identification, documentation and prioritization of energy performance improvement opportunities.

When contracting for assessment services, facility personnel can use this document to define and communicate their desired scope of assessment activity to third party contractors or consultants.

This document is expected to contribute to decreased energy consumption and consequently to decreased carbon footprint.

This document includes the required assessment report content in [Annex A](#). It gives examples of efficient system operation and energy reduction opportunities in [Annex B](#), information on competencies and experiences welcomed to perform audit in [Annex C](#), guidelines for analysis software in [Annex D](#), a typical example of pre-screening worksheet in [Annex E](#), information on specific energy consumption in [Annex F](#), information on the concept of parasitic power in [Annex G](#) and examples of pumping system efficiency indicator in [Annex H](#).

This document is developed within the framework of ISO 50001, ISO 50002 and ISO 50003.

Australian Standard®

Pump system energy assessment

1 Scope

This document sets the requirements for conducting and reporting the results of a pumping system energy assessment (hereafter referenced as “assessment”) that considers the entire pumping system, from energy inputs to the work performed as the result of these inputs.

The objective of a pumping system energy assessment is to determine the current energy consumption of an existing system and identify ways to improve system efficiency.

These requirements consist of

- organizing and conducting an assessment,
- analysing the data from the assessment, and
- reporting and documenting assessment findings.

This document is designed to be applied, to open and closed loop pumping systems typically used at industrial, institutional, commercial, and municipal facilities, when requested.

This document is focused on assessing electrically-driven pumping systems, which are dominant in most facilities, but is also applicable with other types of drivers, such as steam turbines and engines. The document does not

- a) specify how to design a pumping system,
- b) give detailed qualifications and expertise required of the person using the International Standard although provides a list of body of knowledge in [Annex C](#),
- c) address the training or certification of persons,
- d) specify how to implement the recommendations developed during the assessment, but does include requirements for an action plan,
- e) specify how to measure and validate the energy savings that result from implementing assessment recommendations,
- f) specify how to make measurements and how to calibrate test equipment used during the assessment,
- g) specify how to estimate the implementation cost or conduct financial analysis for recommendations developed during the assessment,
- h) specify specific steps required for safe operation of equipment during the assessment. The facility personnel in charge of normal operation of the equipment are responsible for ensuring that it is operated safely during the data collection phase of the assessment,
- i) address issues of intellectual property, security, confidentiality, and safety.

2 Normative references

The following documents are referred to in the text in such a way that some or all of their content constitutes requirements of this document. For dated references, only the edition cited applies. For undated references, the latest edition of the referenced document (including any amendments) applies.

ISO 17769-1, *Liquid pumps and installation — General terms, definitions, quantities, letter symbols and units — Part 1: Liquid pumps*