



Cleanrooms and associated controlled environments

Part 1: Classification of air cleanliness by particle concentration



AS ISO 14644.1:2017

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Australian Standard®

Cleanrooms and associated controlled environments

Part 1: Classification of air cleanliness by particle concentration

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Preface

This Standard was prepared by the Australian members of Joint Standards Australia/Standards New Zealand Committee ME-060, Controlled Environment, to supersede AS/NZS ISO 14644.1:2002, *Cleanrooms and associated controlled environments, Part 1: Classification and air cleanliness*.

After consultation with stakeholders in both countries, Standards Australia and Standards New Zealand decided to develop this Standard as an Australian Standard rather than an Australian/New Zealand Standard.

The objective of this Standard is to specify the classification of air cleanliness in terms of concentration of airborne particles in cleanrooms and clean zones; and separative devices as defined in AS/NZS ISO 14644.7:2006.

This Standard is identical with, and has been reproduced from, ISO 14644-1:2015, *Cleanroom and associated controlled environments, Part 1: Classification of air cleanliness by particle concentration*.

As this document has been reproduced from an International Standard, the following applies:

- (a) In the source text 'this part of ISO 14644' should read 'this Australian Standard'.
- (b) A full point substitutes for a comma when referring to a decimal mark.

Australian or Australian/New Zealand Standards that are identical adoptions of international normative references may be used interchangeably. Refer to the online catalogue for information on specific Standards.

The terms 'normative' and 'informative' are used in Standards to define the application of the appendices or annexes to which they apply. A 'normative' appendix or annex is an integral part of a Standard, whereas an 'informative' appendix or annex is only for information and guidance.

This draft has been issued for public comment and ballot as a joint (AS/NZS) project with Standards New Zealand. Notwithstanding the joint nature of this public comment and ballot draft, and provided consensus is achieved, this project may not be published as a joint (AS/NZS) publication.

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Foreword

ISO (the International Organization for Standardization) is a worldwide federation of national standards bodies (ISO member bodies). The work of preparing International Standards is normally carried out through ISO technical committees. Each member body interested in a subject for which a technical committee has been established has the right to be represented on that committee. International organizations, governmental and non-governmental, in liaison with ISO, also take part in the work. ISO collaborates closely with the International Electrotechnical Commission (IEC) on all matters of electrotechnical standardization.

The procedures used to develop this document and those intended for its further maintenance are described in the ISO/IEC Directives, Part 1. In particular the different approval criteria needed for the different types of ISO documents should be noted. This document was drafted in accordance with the editorial rules of the ISO/IEC Directives, Part 2 (see www.iso.org/directives).

Attention is drawn to the possibility that some of the elements of this document may be the subject of patent rights. ISO shall not be held responsible for identifying any or all such patent rights. Details of any patent rights identified during the development of the document will be in the Introduction and/or on the ISO list of patent declarations received (see www.iso.org/patents).

Any trade name used in this document is information given for the convenience of users and does not constitute an endorsement.

For an explanation on the meaning of ISO specific terms and expressions related to conformity assessment, as well as information about ISO's adherence to the WTO principles in the Technical Barriers to Trade (TBT) see the following URL: [Foreword - Supplementary information](#)

The committee responsible for this document is ISO/TC 209, *Cleanrooms and associated controlled environments*.

This second edition cancels and replaces the first edition (ISO 14644-1:1999), which has been technically revised throughout.

ISO 14644 consists of the following parts, under the general title *Cleanrooms and associated controlled environments*:

- Part 1: Classification of air cleanliness by particle concentration
- Part 2: Monitoring to provide evidence of cleanroom performance related to air cleanliness by particle concentration
- Part 3: Test methods
- Part 4: Design, construction and start-up
- Part 5: Operations
- Part 7: Separative devices (clean air hoods, gloveboxes, isolators and mini-environments)
- Part 8: Classification of air cleanliness by chemical concentration (ACC)
- Part 9: Classification of surface cleanliness by particle concentration
- Part 10: Classification of surface cleanliness by chemical concentration

Attention is also drawn to ISO 14698, *Cleanrooms and associated controlled environments — Biocontamination control*:

- Part 1: General principles and methods
- Part 2: Evaluation and interpretation of biocontamination data

Introduction

Cleanrooms and associated controlled environments provide for the control of contamination of air and, if appropriate, surfaces, to levels appropriate for accomplishing contamination-sensitive activities. Contamination control can be beneficial for protection of product or process integrity in applications in industries such as aerospace, microelectronics, pharmaceuticals, medical devices, healthcare and food.

This part of ISO 14644 specifies classes of air cleanliness in terms of the number of particles expressed as a concentration in air volume. It also specifies the standard method of testing to determine a cleanliness class, including selection of sampling locations.

This edition is the result of a response to an ISO Systematic Review and includes changes in response to user and expert feedback validated by international enquiry. The title has been revised to “Classification of air cleanliness by particle concentration” to be consistent with other parts of ISO 14644. The nine ISO cleanliness classes are retained with minor revisions. [Table 1](#) defines the particle concentration at various particle sizes for the nine integer classes. [Table E.1](#) defines the maximum particle concentration at various particle sizes for intermediate classes. The use of these tables ensures better definition of the appropriate particle-size ranges for the different classes. This part of ISO 14644 retains the macroparticle descriptor concept; however, consideration of nano-scale particles (formerly defined as ultrafine particles) will be addressed in a separate standard.

The most significant change is the adoption of a more consistent statistical approach to the selection and the number of sampling locations; and the evaluation of the data collected. The statistical model is based on adaptation of the hypergeometric sampling model technique, where samples are drawn randomly without replacement from a finite population. The new approach allows each location to be treated independently with at least a 95 % level of confidence that at least 90 % of the cleanroom or clean zone areas will comply with the maximum particle concentration limit for the target class of air cleanliness. No assumptions are made regarding the distribution of the actual particle counts over the area of the cleanroom or clean zone; while in ISO 14644-1:1999 an underlying assumption was that the particle counts follow the same normal distribution across the room, this assumption has now been discarded to allow the sampling to be used in rooms where the particle counts vary in a more complex manner. In the process of revision it has been recognized that the 95 % UCL was neither appropriate nor was applied consistently in ISO 14644-1:1999. The minimum number of sampling locations required has been changed, compared with ISO 14644-1:1999. A reference table, [Table A.1](#), is provided to define the minimum number of sampling locations required based on a practical adaptation of the sampling model technique. An assumption is made that the area immediately surrounding each sampling location has a homogeneous particle concentration. The cleanroom or clean zone area is divided up into a grid of sections of near equal area, whose number is equal to the number of sampling locations derived from [Table A.1](#). A sampling location is placed within each grid section, so as to be representative of that grid section.

It is assumed for practical purposes that the locations are chosen representatively; a “representative” location (see [A.4.1](#)) means that features such as cleanroom or clean zone layout, equipment disposition and airflow systems should be considered when selecting sampling locations. Additional sampling locations may be added to the minimum number of sampling locations.

Finally, the annexes have been reordered to improve the logic of this part of ISO 14644 and portions of the content of certain annexes concerning testing and test instruments have been included from ISO 14644-3:2005.

The revised version of this part of ISO 14644 addresses the $\geq 5 \mu\text{m}$ particle limits for ISO Class 5 in the sterile products annexes of the EU, PIC/S and WHO GMPs by way of an adaptation of the macroparticle concept.

The revised version of this part of ISO 14644 now includes all matters related to classification of air cleanliness by particle concentration. The revised version of ISO 14644-2:2015 now deals exclusively with the monitoring of air cleanliness by particle concentration.

Cleanrooms may also be characterized by attributes in addition to the classification of air cleanliness by particle concentration. Other attributes, such as air cleanliness in terms of chemical concentration, may be monitored and the attribute's grade or level may be designated along with the classification of the ISO Class of cleanliness. These additional attributes do not suffice alone to classify a cleanroom or clean zone.

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Cleanrooms and associated controlled environments

Part 1: Classification of air cleanliness by particle concentration

1 Scope

This part of ISO 14644 specifies the classification of air cleanliness in terms of concentration of airborne particles in cleanrooms and clean zones; and separative devices as defined in ISO 14644-7.

Only particle populations having cumulative distributions based on threshold (lower limit) particle sizes ranging from 0,1 μm to 5 μm are considered for classification purposes.

The use of light scattering (discrete) airborne particle counters (LSAPC) is the basis for determination of the concentration of airborne particles, equal to and greater than the specified size at designated sampling locations.

This part of ISO 14644 does not provide for classification of particle populations that are outside the specified lower threshold particle-size range, 0,1 μm to 5 μm . Concentrations of ultrafine particles (particles smaller than 0,1 μm) will be addressed in a separate standard to specify air cleanliness by nano-scale particles. An M descriptor (see [Annex C](#)) may be used to quantify populations of macroparticles (particles larger than 5 μm).

This part of ISO 14644 cannot be used to characterize the physical, chemical, radiological, viable or other nature of airborne particles.

2 Normative references

The following documents, in whole or in part, are normatively referenced in this document and are indispensable for its application. For dated references, only the edition cited applies. For undated references, the latest edition of the referenced document (including any amendments) applies.

ISO 14644-2:2015, *Cleanrooms and associated controlled environments — Part 2: Monitoring to provide evidence of cleanroom performance related to air cleanliness by particle concentration*

ISO 14644-7, *Cleanrooms and associated controlled environments — Part 7: Separative devices (clean air hoods, gloveboxes, isolators and mini-environments)*

3 Terms and definitions

For the purposes of this document, the following terms and definitions apply.

3.1 General

3.1.1 cleanroom

Room within which the number concentration of airborne particles is controlled and classified, and which is designed, constructed and operated in a manner to control the introduction, generation and retention of particles inside the room

Note 1 to entry: The class of airborne particle concentration is specified.

Note 2 to entry: Levels of other cleanliness attributes such as chemical, viable or nanoscale concentrations in the air, and also surface cleanliness in terms of particle, nanoscale, chemical and viable concentrations might also be specified and controlled.

Note 3 to entry: Other relevant physical parameters might also be controlled as required, e.g. temperature, humidity, pressure, vibration and electrostatic.