AS IEC 60601.1.9:2017
IEC 60601-1-9:2007+AMD1:2013 CSV (ED.1.1)

AS IEC 60601.1.9:2017

Australian STANDARD

Medical electrical equipment

Part 1.9: General requirements for basic safety and essential performance—Collateral Standard: Requirements for environmentally conscious design

STANDARDS Australia

This Australian Standard® was prepared by Committee HE-003, Medical Electrical Equipment. It was approved on behalf of the Council of Standards Australia on 10 May 2017. This Standard was published on 28 June 2017.

The following are represented on Committee HE-003:

- Australasian College of Physical Scientists and Engineers in Medicine
- Australian and New Zealand College of Anaesthetists
- Australian Chamber of Commerce and Industry
- Australian Radiation Protection and Nuclear Safety Agency
- Australian Society of Anaesthetists
- College of Biomedical Engineering Engineers Australia
- Department of Defence (Australian Government)
- Engineers Australia
- Testing Interests (Australia)
- Therapeutic Goods Administration

This Standard was issued in draft form for comment as DR AS IEC 60601.1.9:2017.

Standards Australia wishes to acknowledge the participation of the expert individuals that contributed to the development of this Standard through their representation on the Committee and through the public comment period.

Keeping Standards up-to-date

Australian Standards® are living documents that reflect progress in science, technology and systems. To maintain their currency, all Standards are periodically reviewed, and new editions are published. Between editions, amendments may be issued.

Standards may also be withdrawn. It is important that readers assure themselves they are using a current Standard, which should include any amendments that may have been published since the Standard was published.

Detailed information about Australian Standards, drafts, amendments and new projects can be found by visiting **www.standards.org.au**

Standards Australia welcomes suggestions for improvements, and encourages readers to notify us immediately of any apparent inaccuracies or ambiguities. Contact us via email at **mail@standards.org.au**, or write to Standards Australia, GPO Box 476, Sydney, NSW 2001.

Australian Standard®

Medical electrical equipment

Part 1.9: General requirements for basic safety and essential performance—Collateral Standard: Requirements for environmentally conscious design

First published as AS IEC 60601.1.9:2017.

Published by SAI Global Limited under licence from Standards Australia Limited, GPO Box 476, Sydney, NSW 2001, Australia

ISBN 978 1 76035 820 4

NOTES

PREFACE

This Standard was prepared by the Standards Australia Committee HE-003, Medical Electrical Equipment.

The objective of this Standard is to improve the environmental impact for the entire range of medical equipment (ME), taking into account all stages of the product life cycle: product specification, design, manufacturing, sales, logistics, installation, use and end of life management.

This Standard is identical with, and has been reproduced from IEC 60601-1-9:2007+AMD1:2013 CSV (ED 1.1), *Medical electrical equipment*, Part 1-9: *General requirements for basic safety and essential performance—Collateral Standard: Requirements for environmentally conscious design.*

As this Standard is reproduced from an International Standard, the following applies:

(a) In the source text 'this International Standard' should read 'this Australian Standard'.

(b) A full point substitutes for a comma when referring to a decimal marker.

None of the normative references in the source document have been adopted as Australian or Australian/New Zealand Standards.

CONTENTS

FOREWORD

1) The International Electrotechnical Commission (IEC) is a worldwide organization for standardization comprising all national electrotechnical committees (IEC National Committees). The object of IEC is to promote international co-operation on all questions concerning standardization in the electrical and electronic fields. To this end and in addition to other activities, IEC publishes International Standards, Technical Specifications, Technical Reports, Publicly Available Specifications (PAS) and Guides (hereafter referred to as "IEC Publication(s)"). Their preparation is entrusted to technical committees; any IEC National Committee interested in the subject dealt with may participate in this preparatory work. International, governmental and non-governmental organizations liaising with the IEC also participate in this preparation. IEC collaborates closely with the International Organization for Standardization (ISO) in accordance with conditions determined by agreement between the two organizations.
2) The formal decisions or agreements of IEC on technical matters express, as nearly as possible, an international consensus of opinion on the relevant subjects since each technical committee has representation from all interested IEC National Committees.
3) IEC Publications have the form of recommendations for international use and are accepted by IEC National Committees in that sense. While all reasonable efforts are made to ensure that the technical content of IEC Publications is accurate, IEC cannot be held responsible for the way in which they are used or for any misinterpretation by any end user.
4) In order to promote international uniformity, IEC National Committees undertake to apply IEC Publications transparently to the maximum extent possible in their national and regional publications. Any divergence between any IEC Publication and the corresponding national or regional publication shall be clearly indicated in the latter.
5) IEC provides no marking procedure to indicate its approval and cannot be rendered responsible for any equipment declared to be in conformity with an IEC Publication.
6) All users should ensure that they have the latest edition of this publication.
7) No liability shall attach to IEC or its directors, employees, servants or agents including individual experts and members of its technical committees and IEC National Committees for any personal injury, property damage or other damage of any nature whatsoever, whether direct or indirect, or for costs (including legal fees) and expenses arising out of the publication, use of, or reliance upon, this IEC Publication or any other IEC Publications.
8) Attention is drawn to the Normative references cited in this publication. Use of the referenced publications is indispensable for the correct application of this publication.
9) Attention is drawn to the possibility that some of the elements of this IEC Publication may be the subject of patent rights. IEC shall not be held responsible for identifying any or all such patent rights.

This consolidated version of IEC 60601-1-9 consists of the first edition (2007) [documents 62A/571/FDIS and 62A/575/RVD] and its amendment 1 (2013) [documents 62A/874/FDIS and 62A/881/RVD]. It bears the edition number 1.1.

The technical content is therefore identical to the base edition and its amendment and has been prepared for user convenience. A vertical line in the margin shows where the base publication has been modified by amendment 1. Additions and deletions are displayed in red, with deletions being struck through.

International standard IEC 60601-1-9 has been prepared by IEC subcommittee 62A: Common aspects of electrical equipment used in medical practice, of IEC technical committee 62: Electrical equipment in medical practice.

The first edition of this publication constitutes a collateral standard to IEC 60601-1: *Medical electrical equipment – Part 1: General requirements for safety and essential performance* hereafter referred to as the general standard.

This publication has been drafted in accordance with the ISO/IEC Directives, Part 2.

In the 60601 series of publications, collateral standards specify general requirements for safety applicable to:

– a subgroup of MEDICAL ELECTRICAL EQUIPMENT (e.g. radiological equipment); or

– a specific characteristic of all MEDICAL ELECTRICAL EQUIPMENT, not fully addressed in the general standard (e.g. alarm systems).

In this collateral standard, the following print types are used:

– Requirements and definitions: roman type.

– *Test specifications: italic type.*

– Informative material appearing outside of tables, such as notes, examples and references: in smaller type. Normative text of tables is also in a smaller type.

– TERMS DEFINED IN CLAUSE 3 OF THE GENERAL STANDARD, IN THIS COLLATERAL STANDARD OR AS NOTED: SMALL CAPITALS.

In referring to the structure of this standard, the term

– “clause” means one of the four numbered divisions within the table of contents, inclusive of all subdivisions (e.g. Clause 4 includes subclauses 4.1, 4.2, etc.);

– “subclause” means a numbered subdivision of a clause (e.g. 4.1, 4.5 and 4.5.1 are all subclauses of Clause 4).

References to clauses within this standard are preceded by the term “Clause” followed by the clause number. References to subclauses within this standard are by number only.

In this standard, the conjunctive “or” is used as an “inclusive or” so a statement is true if any combination of the conditions is true.

The verbal forms used in this standard conform to usage described in Annex H of the ISO/IEC Directives, Part 2. For the purposes of this standard, the auxiliary verb:

– “shall” means that compliance with a requirement or a test is mandatory for compliance with this standard;

– “should” means that compliance with a requirement or a test is recommended but is not mandatory for compliance with this standard;

– “may” is used to describe a permissible way to achieve compliance with a requirement or test.

Clauses, subclauses and definitions for which a rationale is provided in informative Annex A are marked with an asterisk (*).

A list of all parts of the IEC 60601 series, published under the general title *Medical electrical equipment,* can be found on the IEC website.

The committee has decided that the contents of the base publication and its amendment will remain unchanged until the stability date indicated on the IEC web site under "http://webstore.iec.ch" in the data related to the specific publication. At this date, the publication will be

- reconfirmed;
- withdrawn;
- replaced by a revised edition, or
- amended.

NOTE The attention of National Committees is drawn to the fact that equipment manufacturers and testing organizations may need a transitional period following publication of a new, amended or revised IEC or ISO publication in which to make products in accordance with the new requirements and to equip themselves for conducting new or revised tests. It is the recommendation of the committee that the content of this publication be adopted for mandatory implementation nationally not earlier than 3 years from the date of publication.

IMPORTANT – The "colour inside" logo on the cover page of this publication indicates that it contains colours which are considered to be useful for the correct understanding of its contents. Users should therefore print this publication using a colour printer.

INTRODUCTION

The objective of this collateral standard is to improve the ENVIRONMENTAL IMPACT for the entire range of MEDICAL ELECTRICAL EQUIPMENT, taking into account all stages of the product LIFE CYCLE:

– product specification;
– design;
– manufacturing;
– sales, logistics, installation;
– use;
– END OF LIFE management.

This means protecting the ENVIRONMENT and human health from HAZARDOUS SUBSTANCES, conserving raw materials and energy, minimizing the generation of WASTE, as well as minimizing the adverse ENVIRONMENTAL IMPACTS associated with WASTE. The criteria needed to reach this goal must be integrated into all stages of the MEDICAL ELECTRICAL EQUIPMENT LIFE CYCLE from the specification stage to END OF LIFE management.

The ENVIRONMENTAL IMPACTS of ME EQUIPMENT through all LIFE CYCLE stages are determined from the MEDICAL ELECTRICAL EQUIPMENT'S ENVIRONMENTAL ASPECTS defined during the identification of need, product planning, and design stages (see Table A.1). Consideration of ENVIRONMENTAL ASPECTS as early as possible in these stages can produce numerous benefits that might include lower costs, stimulation of innovation and creativity, and increased knowledge about the product. It can also provide new business opportunities, and improved product quality as well as reduction of adverse ENVIRONMENTAL IMPACTS. The assessment of the ENVIRONMENTAL ASPECTS and IMPACTS of MEDICAL ELECTRICAL EQUIPMENT is a developing science and it is anticipated that this collateral standard will require periodic updating as the science develops.

The requirements given in this collateral standard do not replace national or international laws and regulations.

Environmental protection is one element of the overall RISK MANAGEMENT PROCESS as required by the general standard.

The acceptability of MEDICAL ELECTRICAL EQUIPMENT'S ENVIRONMENTAL IMPACTS are balanced against other factors such as the product's intended function, performance, safety, cost, marketability, quality, legal and regulatory requirements. This balance can differ depending on the intended function of the MEDICAL ELECTRICAL EQUIPMENT. For example, a solution appropriate for life-saving or life-supporting MEDICAL ELECTRICAL EQUIPMENT might not be appropriate for a device intended to correct a minor ailment. A MANUFACTURER of MEDICAL ELECTRICAL EQUIPMENT might have to justify, as a result of RISK MANAGEMENT, that a medical benefit outweighs the associated adverse ENVIRONMENTAL IMPACTS.

INTRODUCTION TO THE AMENDMENT

The first edition of IEC 60601-1-9 was published in 2007. This amendment is intended to update the references to IEC 60601-1:2005 to include Amendment 1:2012 and to make a few minor editorial updates.

AUSTRALIAN STANDARD

Medical electrical equipment

Part 1.9: General requirements for basic safety and essential performance— Collateral Standard: Requirements for environmentally conscious design

1 Scope, object and related standards

1.1 * Scope

This International Standard applies to the reduction of adverse ENVIRONMENTAL IMPACTS of MEDICAL ELECTRICAL EQUIPMENT, hereafter referred to as ME EQUIPMENT.

MEDICAL ELECTRICAL SYSTEMS are excluded from the scope of this collateral standard.

1.2 Object

The object of this collateral standard is to specify general requirements, in addition to those of the general standard, for the reduction of the adverse ENVIRONMENTAL IMPACT of ME EQUIPMENT, and to serve as the basis for particular standards.

1.3 Related standards

1.3.1 IEC 60601-1

For ME EQUIPMENT, this collateral standard complements IEC 60601-1.

When referring to IEC 60601-1 or to this collateral standard, either individually or in combination, the following conventions are used:

– "the general standard" designates IEC 60601-1 alone (IEC 60601-1:2005+A1:2012);

– "this collateral standard" designates IEC 60601-1-9 alone (IEC 60601-1-9:2007+A1:2013);

– "this standard" designates the combination of the general standard and this collateral standard.

1.3.2 Particular standards

A requirement in a particular standard takes priority over the corresponding requirement in this collateral standard.

1.3.3 Environmental standards

This standard takes into account the ISO 14000 series of environmental standards with particular emphasis on ISO 14062 [8][1)].

2 Normative references

The following ~~referenced~~ documents, in whole or in part, are normatively referenced in this document and are indispensable for its application ~~of this document~~. For dated references,

1) Figures in square brackets refer to the Bibliography.