



**General principles on reliability
for structures**

STANDARDS
Australia



Currently in preview, click buy full version

AS 5104:2017

This Australian Standard® was prepared by Committee BD-006, General Design Requirements and Loading on Structures. It was approved on behalf of the Council of Standards Australia on 4 July 2017.

This Standard was published on 7 September 2017.

The following are represented on Committee BD-006:

Australasian Wind Engineering Society
Australian Steel Institute
Cement Concrete and Aggregates Australia - Cement
Concrete Masonry Association of Australia
Engineers Australia
James Cook University
Property Council of Australia
Steel Reinforcement Institute of Australia
Swinburne University of Technology
Think Brick Australia
University of Newcastle

This Standard was issued in draft form for comment as DR AS 5104:2017.

Keeping Standards up-to-date

Ensure you have the latest versions of our publications and keep up-to-date about Amendments, Rulings, Withdrawals, and new projects by visiting:

www.standards.org.au

www.saiglobal.com (sales and distribution)

ISBN 978 1 76035 880 8

Australian Standard®

**General principles on reliability for
structures**

First published as AS 5104—2005.
This edition 2017.

COPYRIGHT

© ISO 2017 — All rights reserved
© Standards Australia Limited 2017

All rights are reserved. No part of this work may be reproduced or copied in any form or by any means, electronic or mechanical, including photocopying, without the written permission of the publisher, unless otherwise permitted under the Copyright Act 1968 (Cth).

Published by SAI Global Limited under licence from Standards Australia Limited, GPO Box 476, Sydney, NSW 2001, Australia.

Preface

This Standard was prepared by the Standards Australia Committee BD-006, General Design Requirements and Loading on Structures, to supersede AS 5104—2005, *General principles on reliability for structures*.

The objective of this Standard is to provide a risk and reliability-informed foundation for decision making concerning design and assessment of structures in the context of specific projects. This Standard describes how the principles of risk and reliability can be utilized to support decisions related to the design and assessment of structures and systems involving structures over their service life. Three different but related levels of approach are facilitated, namely, a risk-informed, a reliability-based, and a semi-probabilistic approach.

This Standard addresses societal functionality and sustainable societal development which may exceed the current objectives of the National Construction Code (NCC) and the Australian Building Codes Board Intergovernmental Agreement (IGA) that establishes the ABCB. For buildings to which the NCC applies, the objective requirements of the IGA and NCC apply.

This Standard encompasses precautionary principles which may lead to outcomes which would not meet the ABCB and COAG best practice regulation principles. As appropriate, the designer may apply the concept of so far as is reasonably practicable (SFAIRP).

Australian Standards commonly use the load resistance factor design method (LRFD) in the determination of reliability when using the semi-probabilistic methods outlined in Clause 9.4 of this Standard.

This Standard is identical with, and has been reproduced from ISO 2394:2015, *General principles on reliability for structures*.

As this Standard is reproduced from an International Standard, the following applies:

- (a) In the source text 'this International Standard' should read 'this Australian Standard'.
- (b) A full point substitutes for a comma when referring to a decimal marker.

None of the normative references in the source document have been adopted as Australian or Australian/New Zealand Standards.

The terms 'normative' and 'informative' have been used in this Standard to define the application of the annex to which they apply. A 'normative' annex is an integral part of a Standard, whereas an 'informative' annex is only for information and guidance.

Contents

Preface	ii
Foreword	v
Introduction	vi
1 Scope	1
2 Terms and definitions	1
2.1 General terms	1
2.2 Terms related to design and assessment	5
2.3 Terms related to actions, action effects, and environmental influences	9
2.4 Terms related to structural response, resistance, material properties, and geometrical quantities	11
3 Symbols	12
3.1 General	12
3.2 Latin upper case letters	12
3.3 Latin lower case letters	13
3.4 Greek letters	13
3.5 Subscripts	14
4 Fundamentals	14
4.1 General	14
4.2 Aims and requirements to structures	15
4.2.1 Fundamental requirements to structures	15
4.2.2 Target performance level	15
4.3 Conceptual basis	16
4.3.1 Decisions concerning structures	16
4.3.2 Structural performance modelling	17
4.3.3 Uncertainty and treatment of knowledge	18
4.4 Approaches	18
4.4.1 General	18
4.4.2 Risk-informed and reliability-based approaches	18
4.4.3 Semi-probabilistic approaches	20
4.5 Documentation	20
5 Performance modelling	21
5.1 General	21
5.1.1 Structural performance and limit state concept	21
5.1.2 Performance and performance indicators	21
5.1.3 Basic performance requirement and design situations	21
5.1.4 Levels of verification	22
5.2 Performance model	22
5.2.1 General	22
5.2.2 Time-dependent aspects	22
5.2.3 System aspects	23
5.3 Limit states	23
5.3.1 Ultimate limit state	23
5.3.2 Serviceability limit states	23
5.3.3 Condition limit states	24
5.3.4 Limit state function	24
6 Uncertainty representation and modelling	25
6.1 General	25
6.1.1 Types of uncertainty	25
6.1.2 Treatment of uncertainty	26
6.1.3 Interpretation of probability	26
6.1.4 Probabilistic models	26
6.1.5 Population/outcome space	26

6.1.6	Hierarchical modelling of uncertainty	27
6.2	Models for structural analysis	27
6.2.1	General	27
6.2.2	Actions and environmental influences	28
6.2.3	Geometrical properties	30
6.2.4	Material properties	30
6.2.5	Responses and resistances	31
6.3	Models for consequences	33
6.4	Model uncertainty	33
6.5	Experimental models	34
6.6	Updating of probabilistic models	35
7	Risk-informed decision making	35
7.1	General	35
7.2	System identification	35
7.3	System modelling	36
7.4	Risk quantification	36
7.5	Decision optimization and risk acceptance	36
8	Reliability-based decision making	37
8.1	General	37
8.2	Decisions based on updated probability measures	38
8.3	Systems reliability versus component reliability	38
8.4	Target failure probabilities	39
8.5	Calculation of the probability of failure	39
8.5.1	General	39
8.5.2	Time-invariant reliability problems	40
8.5.3	Transformation of time-variant into time-invariant problems	40
8.5.4	Out-crossing approach	41
8.6	Implementation of probability-based design	41
9	Semi-probabilistic method	41
9.1	General	41
9.2	Basic principles	42
9.3	Representative and characteristic values	43
9.3.1	Actions	43
9.3.2	Resistances	43
9.4	Safety formats	44
9.4.1	General	44
9.4.2	Partial factor method	44
9.4.3	The design value method	46
9.5	Verification in case of cumulative damage	47
Annex A	(informative) Quality management	48
Annex B	(informative) Lifetime management of structural integrity	56
Annex C	(informative) Design based on observations and experimental models	64
Annex D	(informative) Reliability of geotechnical structures	72
Annex E	(informative) Code calibration	80
Annex F	(informative) Structural robustness	89
Annex G	(informative) Optimization and criterion on life safety	101
Bibliography	111

Foreword

ISO (the International Organization for Standardization) is a worldwide federation of national standards bodies (ISO member bodies). The work of preparing International Standards is normally carried out through ISO technical committees. Each member body interested in a subject for which a technical committee has been established has the right to be represented on that committee. International organizations, governmental and non-governmental, in liaison with ISO, also take part in the work. ISO collaborates closely with the International Electrotechnical Commission (IEC) on all matters of electrotechnical standardization.

The procedures used to develop this document and those intended for its further maintenance are described in the ISO/IEC Directives, Part 1. In particular the different approval criteria needed for the different types of ISO documents should be noted. This document was drafted in accordance with the editorial rules of the ISO/IEC Directives, Part 2 (see www.iso.org/directives).

Attention is drawn to the possibility that some of the elements of this document may be the subject of patent rights. ISO shall not be held responsible for identifying any or all such patent rights. Details of any patent rights identified during the development of the document will be in the Introduction and/or on the ISO list of patent declarations received (see www.iso.org/patents).

Any trade name used in this document is information given for the convenience of users and does not constitute an endorsement.

For an explanation on the meaning of ISO specific terms and expressions related to conformity assessment, as well as information about ISO's adherence to the WTO principles in the Technical Barriers to Trade (TBT), see the following URL: [Foreword - Supplementary information](#).

The committee responsible for this document is ISO/TC 98, *Codes for design of structures*, SC 2, *Reliability of structures*.

This fourth edition cancels and replaces the third edition (ISO 2394:1998), which has been technically revised.

Introduction

The present fourth edition of this International Standard is intended to reflect advances in the common basis for decision making related to load-bearing structures relevant to the construction industry. Advances range from the development of systematic and rational treatment of risk to implementation of reliability-based design through codes and standards.

Compliance with this International Standard should therefore promote harmonization of design practice internationally and unification between the respective codes and standards such as for actions and resistances for the respective structural materials.

The principles and appropriate instruments to ensure adequate levels of reliability provide for special classes of structures or projects where the common experience base need to be extended in a rational manner.

In particular, a risk framework has been introduced which is scenario based, facilitates unified modelling approaches over different applications, accounts for consequences of both a direct and indirect nature, and has emphasis on robustness.

Whereas requirements to safety and reliability in the previous edition of this International Standard took their basis in efficiency requirements of a heuristic character, these are now based on risk considerations and socio-economics. This, in turn, facilitates a more relevant use of the International Standard in the context of sustainable societal developments and adaptation for application of the International Standard in different nation states in accordance with economic capacity and preferences.

The present International Standard, thus, enables the possibility to regulate, verify, and document the adequate safe performance of structures and also to consider them in a broader sense as part of societal systems. The International Standard provides for approaches at three levels, namely the following:

- risk informed;
- reliability based;
- semi-probabilistic.

The methodical basis for this edition of ISO 2394 is described in the Probabilistic Model Code^[8] and Risk Assessment in Engineering — Principles, System Representation and Risk Criteria^[9] by the Joint Committee on Structural Safety (JCSS) and EN 1990 (2007), where the reader will find additional information of relevance for its use.

Informative Annexes are included to this International Standard as a support to its users in the interpretations and use of the principles contained in its clauses.

Australian Standard[®]

General principles on reliability for structures

1 Scope

This International Standard constitutes a risk- and reliability-informed foundation for decision making concerning design and assessment of structures both for the purpose of code making and in the context of specific projects.

The principles presented in this International Standard cover the majority of buildings, infrastructure and civil engineering works, whatever the nature of their application and use or combination of the materials used¹⁾. The application of this International Standard will require specific adaptation and detailing in special cases where there are potentially extreme consequences of failure²⁾.

This International Standard is intended to serve as a basis for those committees responsible for the task of preparing international standards, national standards, or codes of practice in accordance with given objectives and context in a particular country.

The present International Standard describes how the principles of risk and reliability can be utilized to support decisions related to the design and assessment of structures and systems involving structures over their service life. Three different but related levels of approach are facilitated, namely, a risk-informed, a reliability-based, and a semi-probabilistic approach.

The general principles are applicable to the design of complete structures (buildings, bridges, industrial structures, etc.), the structural elements and joints making up the structures and the foundations. The principles of this International Standard are also applicable to the successive stages in construction, the handling of structural elements, their erection, and all work on-site, as well as the use of structures during their design working life, including maintenance and rehabilitation, and decommissioning.

Risk and reliability are concepts accounting for and describing actions, structural response, durability, life-cycle performance, consequences, design rules, workmanship, quality control procedures, and national requirements, all of which are mutually dependent.

The application of this International Standard necessitates knowledge beyond what is contained in the Clauses and the Annexes. It is the responsibility of the user to ensure that this knowledge is available and applied.

2 Terms and definitions

2.1 General terms

2.1.1

structure

organized combination of connected parts including geotechnical structures designed to provide resistance and rigidity against various actions

2.1.2

structural member

physically distinguishable part of a structure, e.g. column, beam, plate, foundation

1) The present International Standard is completely general from the perspective of basic principles and can be applied for any structure below, on, and over the surface of the Earth.

2) This concerns, for example, structures of nuclear power plants and offshore oil and gas facilities in highly sensitive environments.