



## **Lifts, escalators and moving walks**

### **Part 5.2: Safety of escalators and moving walks — Rules for the improvement of safety of existing escalators and moving walks (EN 115-2:2017, MOD)**

AS 1735.5.2:2019

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- Australasian Fire and Emergency Service Authorities Council
- Australian Building Codes Board
- Australian Chamber of Commerce and Industry
- Australian Elevator Association
- Australian Industry Group
- Communications, Electrical and Plumbing Union — Electrical Trades Division
- Engineers Australia
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## Preface

This Standard was prepared by the Standards Australia Committee ME-004, Lift Installations.

The objective of this Standard is to provide rules for improving the safety of existing escalators and moving walks with the aim of reaching an equivalent level of safety to that of a newly installed escalator and moving walk by the application of today's state of the art for safety.

This Standard covers the improvement of safety of existing escalators and moving walks for—

- (a) users;
- (b) maintenance and inspection personnel;
- (c) persons outside the escalator or moving walk (but in its immediate vicinity); and
- (d) authorized persons.

This Standard is not applicable to—

- (i) safety during transport, installation, repairs and dismantling of escalators and moving walks;
- (ii) spiral escalators; and
- (iii) accelerating moving walks.

This Standard is an adoption with national modifications, and has been reproduced from, EN 115-2:2010, *Safety of escalators and moving walks — Part 2: Rules for the improvement of safety of existing escalators and moving walks*.

The modifications are additional requirements and are set out in Appendix ZZ, which has been added at the end of the source text.

Appendix ZZ lists the variations to EN 115-2:2010 for the application of this Standard in Australia.

As this document has been reproduced from an International Standard, the following applies:

- (A) In the source text “this European Standard” should read “this Australian Standard”.
- (B) A full point substitutes for a comma when referring to a decimal marker.

Australian or Australian/New Zealand Standards that are identical adoptions of international normative references may be used interchangeably. Refer to the online catalogue for information on specific Standards.

The terms “normative” and “informative” are used in Standards to define the application of the appendices or annexes to which they apply. A “normative” appendix or annex is an integral part of a Standard, whereas an “informative” appendix or annex is only for information and guidance.

NOTES

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## Foreword

This document (EN 115-2:2010) has been prepared by Technical Committee CEN/TC 10 “Lifts, escalators and moving walks”, the secretariat of which is held by AFNOR.

This European Standard shall be given the status of a national standard, either by publication of an identical text or by endorsement, at the latest by January 2011, and conflicting national standards shall be withdrawn at the latest by January 2011.

Attention is drawn to the possibility that some of the elements of this document may be the subject of patent rights. CEN [and/or CENELEC] shall not be held responsible for identifying any or all such patent rights.

The EN 115 series of standards consists of the following parts, under the general title *Safety of escalators and moving walks*:

- *Part 1: Construction and installation;*
- *Part 2: Rules for the improvement of safety of existing escalators and moving walks;*
- *Part 3: Correlation between EN 115:1995 and its amendments and EN 115-1:2008.*

According to the CEN/CENELEC Internal Regulations, the national standards organizations of the following countries are bound to implement this European Standard: Austria, Belgium, Bulgaria, Croatia, Cyprus, Czech Republic, Denmark, Estonia, Finland, France, Germany, Greece, Hungary, Iceland, Ireland, Italy, Latvia, Lithuania, Luxembourg, Malta, Netherlands, Norway, Poland, Portugal, Romania, Slovakia, Slovenia, Spain, Sweden, Switzerland and United Kingdom.

## Introduction

### Background of this standard

More than 75 000 escalators and moving walks are in use today in the European Union (EU) and European Free Trade Association (EFTA) and almost 50 % were installed more than 20 years ago. However, this standard compares the safety level of escalators and moving walks installed after 1970 with those within EN 115-1:2008. This recognises that the first attempt to have a common standard for escalators and moving walks was the CIRA Recommendation 28 [1]. Escalators and moving walks were installed to the safety level appropriate at that time. This level is less than today's state of the art for safety.

New technologies and social expectations have led to today's state of the art for safety. This has led to the situation today of different levels of safety across Europe causing accidents. However, users and authorised persons expect a common acceptable level of safety.

Furthermore the life cycle of escalators and moving walks is longer than most other transportation systems and building equipment, which therefore means that the design, performance and safety can fall behind modern technologies. If all existing escalators and moving walks are not upgraded to today's state of the art of safety the number of injuries will increase (especially in areas which can be accessed by the general public, recognizing the change of behaviour and changing attitudes towards safety in general). If escalators or moving walks were installed before 1970 on the base of manufacturer's and national standards or were installed after 1970 but not in accordance with CIRA Recommendation 28, then they should be the subject of a separate risk assessment in addition to the recommendations of this standard to determine whether a safety upgrade or a full replacement is appropriate.

### Approach of this standard

This standard

- categorises various hazards and hazardous situations, each of which has been analysed by a risk assessment (see in particular Annex A);
- is intended to provide corrective actions to progressively and selectively improve, step by step, the safety of all existing escalators and moving walks towards today's state of the art for safety (see Clause 5);
- enables each escalator and moving walk to be audited and safety measures to be identified and implemented in a step by step and selective fashion according to the frequency and severity of any single risk (see Table B.2);
- lists the high, medium and low risks and corrective actions which can be applied in separate steps in order to mitigate the risks (see Table B.2).

### Use of this standard

This standard can be used as a guideline for:

- a) national authorities to determine its own programme of implementation in a step by step process via a filtering process (see Annex A) in a reasonable and practicable<sup>1)</sup> way based on the level of risk (e.g. high, medium, low) and social and economic considerations;

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1) "Reasonable and practicable" is defined as follows: "In deciding what is reasonably practicable the seriousness of a risk to injury should be weighted against the difficulty and cost of removing or reducing that risk. Where the difficulty and costs are high, and a careful assessment of the risk shows it to be comparatively unimportant, action may not need to be taken. On the other hand where the risk is high, action should be taken at whatever cost."

- b) owners to follow their responsibilities according to existing regulations (e.g. use of Work Equipment Directive);
- c) maintenance companies and/or inspection bodies to inform the owners on the safety level of their installations;
- d) owners to upgrade the existing escalator or moving walk on a voluntary basis in accordance with c) if no regulations exist.

In making an audit of an existing escalator or moving walk installation Annex B can be used to identify the hazards and corrective actions in this standard. However, where a hazardous situation is identified which is not covered in this standard a separate risk assessment should be made. This risk assessment should be based on ISO 14798 [2].

## 1 Scope

**1.1** This European Standard gives rules for improving the safety of existing escalators and moving walks with the aim of reaching an equivalent level of safety to that of a newly installed escalator and moving walk by the application of today's state of the art for safety.

NOTE Due to situations such as the existing machine or building designs, it may not be possible in all cases to reach today's state of the art for safety. Nevertheless the objective is to improve the level of safety wherever possible.

**1.2** This standard includes the improvement of safety of existing escalators and moving walks for:

- a) users;
- b) maintenance and inspection personnel;
- c) persons outside the escalator or moving walk (but in its immediate vicinity);
- d) authorised persons.

**1.3** This standard is not applicable to:

- a) safety during transport, installation, repairs and dismantling of escalators and moving walks;
- b) spiral escalators;
- c) accelerating moving walks.

However, this standard can usefully be taken as a reference basis.

## 2 Normative references

The following referenced documents are indispensable for the application of this document. For dated references, only the edition cited applies. For undated references, the latest edition of the referenced document (including any amendments) applies.

EN 115-1:2008+A1:2010, *Safety of escalators and moving walks — Part 1: Construction and installation*

EN 13015:2001+A1:2008, *Maintenance for lifts and escalators — Rules for maintenance instructions*

EN 60204-1:2006, *Safety of machinery — Electrical equipment of machines — Part 1: General requirements (IEC 60204-1:2005, modified)*

EN ISO 12100-1:2003, *Safety of machinery — Basic concepts, general principles for design — Part 1: Basic terminology, methodology (ISO 12100-1:2003)*

EN ISO 13850, *Safety of machinery — Emergency stop — Principles for design (ISO 13850:2006)*

EN ISO 13857:2008, *Safety of machinery — Safety distances to prevent hazard zones being reached by upper and lower limbs (ISO 13857:2008)*

## 3 Terms and definitions

For the purposes of this document, the terms and definitions given in EN ISO 12100-1:2003, EN 115-1:2008+A1:2010 and the following apply.