

Technical Information Report

AAMI TIR42: 2021

Evaluation of particulate
associated with vascular
medical devices

Evaluation of particulate associated with vascular medical devices

Approved 31 March 2021
by **AAMI**

Abstract: This document provides information for defining appropriate test methods, determining the source of particulate, assessing the clinical risk of particulate, and establishing product particulate limits. Particulate could arise from many sources including materials, environment, and clinical use. This TIR is intended to offer guidance to the medical device industry when evaluating the tendency for medical devices to release particulate, identifying particulate sources, applying analytical methods for particulate testing, and developing particulate limits based on clinical risk.

Keywords: acute, coating, emboli, hydrophilic, light microscopy, light obscuration, literature review, medical device, particle, particle counting, particulate limits, particulate matter, risk, simulated use, test method, validation

AAMI Technical Information Report

A technical information report (TIR) is a publication of the Association for the Advancement of Medical Instrumentation (AAMI) Standards Board that addresses a particular aspect of medical technology.

Although the material presented in a TIR may need further evaluation by experts, releasing the information is valuable because the industry and the professions have an immediate need for it.

A TIR differs markedly from a standard or recommended practice, and readers should understand the differences between these documents.

Standards and recommended practices are subject to a formal process of committee approval, public review, and resolution of all comments. This process of consensus is supervised by the AAMI Standards Board and, in the case of American National Standards, by the American National Standards Institute.

A TIR is not subject to the same formal approval process as a standard. However, a TIR is approved for distribution by a technical committee and the AAMI Standards Board.

Another difference is that, although both standards and TIRs are periodically reviewed, a standard must be acted on—reaffirmed, revised, or withdrawn—and the action formally approved usually every five years but at least every 10 years. For a TIR, AAMI consults with a technical committee about five years after the publication date (and periodically thereafter) for guidance on whether the document is still useful—that is, to check that the information is relevant or of historical value. If the information is not useful, the TIR is removed from circulation.

A TIR may be developed because it is more responsive to underlying safety or performance issues than a standard or recommended practice, or because achieving consensus is extremely difficult or unlikely. Unlike a standard, a TIR permits the inclusion of differing viewpoints on technical issues.

CAUTION NOTICE: This AAMI TIR may be revised or withdrawn at any time. Because it addresses a rapidly evolving field or technology, readers are cautioned to ensure that they have also considered information that may be more recent than this document.

All standards, recommended practices, technical information reports, and other types of technical documents developed by AAMI are voluntary, and their application is solely within the discretion and professional judgment of the user of the document. Occasionally, voluntary technical documents are adopted by government regulatory agencies or procurement authorities, in which case the adopting agency is responsible for enforcement of its rules and regulations.

Comments on this technical information report are invited and should be sent to AAMI, Attn: Standards Department, 901 N. Glebe Road, Suite 300, Arlington, VA 22203.

Published by
AAMI
901 N. Glebe Road, Suite 300
Arlington, VA 22203
www.aami.org

© 2021 by the Association for the Advancement of Medical Instrumentation

All Rights Reserved

Publication, reproduction, photocopying, storage, or transmission, electronically or otherwise, of all or any part of this document without the prior written permission of the Association for the Advancement of Medical Instrumentation is strictly prohibited by law. It is illegal under federal law (17 U.S.C. § 101, *et seq.*) to make copies of all or any part of this document (whether internally or externally) without the prior written permission of the Association for the Advancement of Medical Instrumentation. Violators risk legal action, including civil and criminal penalties, and damages of \$100,000 per offense. For permission regarding the use of all or any part of this document, complete the reprint request form at www.aami.org or contact AAMI at 901 N. Glebe Road, Suite 300, Arlington, VA 22203. Phone: +1-703-525-4890; Fax: +1-703-276-0793.

Printed in the United States of America

ISBN 978-1-57020-787-7

Contents

Page

Committee representation	iv
Foreword	v
Introduction	vi
1 Scope	1
2 Normative references	1
3 Definitions	1
4 Introduction	2
5 Key characteristics for evaluation of particulate	2
6 Sources of particulate	3
7 Particulate evaluation test methods	7
8 Literature review	12
9 Considerations for establishing quantitative limits for product particulate	22
Annex A Summary of particulate characterization technologies	30
Bibliography	34

Tables

Table A.1—Primary particulate quantitation and sizing technologies	30
Table A.2—Additional particulate quantitation and sizing technologies	31
Table A.3—Particulate chemical identification technologies	32

Committee representation

Association for the Advancement of Medical Instrumentation Medical Device Particulates Committee

This technical information report (TIR) was developed by the AAMI Medical Device Particulates Committee. Approval of the TIR does not necessarily imply that all committee members voted for its approval.

At the time this document was published, the **AAMI Medical Device Particulates Committee** had the following members:

Cochairs: Terry Irwin, MBA, CMQ/OE
Dinesh Patwardhan, PhD

Project Leader: Eleni Whatley, PhD

Members: Susanne Anderson, NAMSA
August Baur, Centurion Sterilization Services
Kendahl Bennis, Boston Scientific
Martin Boehm, Shire/Takeda Pharmaceuticals
Lindsey Borton, MPH, Smiths Medical
Carolyn Braithwaite-Nelson, Spectranetics/Philips
Alina Bridges, Mayo Clinic
Amitabh Chopra, Camarillo, CA
James Conti, PhD, Dynatek Labs
Lydia Edgewater, W.L. Gore & Associates
Paul Fioriti, B. Braun Medical
Dan Floyd, CISS-EO, DuPont Tyvek Medical and Pharmaceutical Protection
Doug Harbrecht, Sterility Assurance Ltd.
Stephanie Hsia, Medtronic Neurovascular
Yin Hu, MD, University Hospitals of Cleveland
Terry Irwin, Edwards Lifesciences
Allan Kimble, DuPuy Synthes USA/Johnson & Johnson
Stan Lam, PhD, Stryker Neurovascular
Rashi Mehta, West Virginia University Hospitals
Rupal Mehta, Rush University Medical Center
Tonya Morris, BS, Nelson Laboratories/Sotera Health
Dinesh Patwardhan, U.S. Food and Drug Administration/CDRH
Christin Pawleski-Hoell, Komedic Inc.
Sandi Schaible, WuXi Aptec Inc.
Kyle Timme, Cook Research
Craig Weinberg, PhD, Biomedical Device Consultants & Laboratories
Neal Zupec, Baxter Healthcare Corporation

Alternates: Brian Charles, PhD, Biomedical Device Consultants & Laboratories
Robert John Evjen, Boston Scientific
Siddharth Loganathan, Stryker Neurovascular
Gerald McLennell, PhD, Johnson & Johnson
Nicholas Packet, DuPont Tyvek Medical and Pharmaceutical Protection
Eugene Strobe, PhD, Dynatek Laboratories
Carl Swanson, NAMSA
Eleni Whatley, PhD, U.S. Food and Drug Administration/CDRH
Preston Zook, Nelson Laboratories/Sotera Health

NO Federal participation by federal agency representatives in the development of this technical information report does not constitute endorsement by the federal government or any of its agencies.

Foreword

This technical information report was developed by the AAMI Medical Device Particulates Committee. The objective is to provide technical information that will assist medical device manufacturers in determining acceptable levels of particulate on medical device products used to deliver or implant into the vasculature, or both.

The following verbal forms are used within AAMI documents to distinguish requirements from other types of provisions in the document:

- “shall” and “shall not” are used to express requirements;
- “should” and “should not” are used to express recommendations;
- “may” and “may not” are used to express permission;
- “can” and “cannot” are used as statements of possibility or capability;
- “might” and “might not” are used to express possibility;
- “must” is used for external constraints or obligations defined outside the document, “must” is not an alternative for “shall.”

Suggestions for improving this recommended practice are invited. Comments and suggested revisions should be sent to Standards, AAMI, 901 N Glebe Road, Suite 300, Arlington, VA 22203 or standards@aami.org.

NOTE This foreword does not contain provisions of the AAMI TIR42, *Evaluation of acute particulate generation associated with vascular medical devices* (AAMI TIR42:2021), but it does provide important information about the development and intended use of the document.

Introduction

This Technical Information Report (TIR) addresses the particulate matter on limited duration and implantable medical devices, and on accessory devices used in the vascular system during the delivery and implantation or exposure and removal of such devices. Unintentional particulate matter on medical devices can be a quality control issue because of the manufacturing environment or a device design-related issue. Sources of particulate in the manufacturing environment might include glove powders, lint and other fibers, paper particles, packaging materials, paint particles, and many other materials. Release of particulate during use is a characteristic of medical devices that may be addressed in product development. Particulate consisting of device materials can arise because of friction, abrasion or dissolution, and can have significant effects on patient outcome.

Evaluation of particulate associated with vascular medical devices

1 Scope

1.1 General

This document addresses particulate released from intravascular medical devices that have direct contact with circulating blood. It is intended to assist intravascular medical device manufacturers in defining appropriate test methods, determining the source of particulate, assessing the clinical risk of particulate, and establishing product particulate limits.

1.2 Inclusions

This document specifically includes particulate that could be acutely released into the vasculature from intravascular medical devices and accessories used with the devices. This might include particulate as a result of manufacturing, packaging, materials, coatings, and acute device use. This document only addresses particulate that might be released during acute intravascular device use, i.e., from introduction to device and accessory withdrawal.

1.3 Exclusions

This document does not address particulate released after removal of a non-implantable device or after removal of an implant's delivery system and accessories, i.e., chronic particulate release from an implanted device such as due to wear. It excludes intentional therapies in the form of particulate, for example drug coatings on balloons and embolization microspheres or beads, though their delivery systems are included.

This document specifically excludes particulate arising from the operating room or clinical environment in which the device is used.

This document does not address patient-generated particulate, such as those originating from plaque, that might be produced before, during, or following an acute device procedure. Liquids, such as lubricating fluids, are not considered to be particulate in the context of this document.

Routine monitoring of particulate levels on the device due to unintended changes in the manufacturing process or environment are not discussed in this document.

2 Normative references

There are no normative references in this document.

3 Definitions

For the purposes of this technical information report, the following terms and definitions apply.

3.1 Acute application

Time frame during device delivery, or exposure to the device, up until all accessories have been removed during typical procedures

NOTE Typical acute procedures usually last 2 hours or less. However, for the purposes of this document, acute procedures can last up to 24 hours.